

1                   **PERFORMANCE OF FREQUENTLY USED INTERPOLATION**  
2                   **METHODS TO PREDICT SPATIAL DISTRIBUTION OF SELECTED**  
3                   **SOIL PROPERTIES IN AN AGRICULTURAL WATERSHED, ETHIOPIA**

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10  
11                   ABSTRACT. *Soil maps of an agricultural watershed provide a wealth of knowledge and can be*  
12                   *a vital tool for implementing site specific soil managements. Hence, watershed based soil*  
13                   *assessment was conducted to select an optimum spatial interpolation method, while aiming for*  
14                   *sustainable soil managements. Thus, intensive soil sampling was undertaken to investigate the*  
15                   *performance of ordinary kriging (OK), inverse distance weighting (IDW) and radial basis*  
16                   *functions (RBF) for predicting the spatial distribution of soil texture, pH, soil organic carbon*  
17                   *(SOC) and available phosphorus (AP). The 72ha study area was divided into a 100m by 100m*  
18                   *grids and approximately at the center of each grid, topsoil (10-15cm) samples were collected over*  
19                   *75 locations across the entire study area. The exponential and Gaussian models were best fitted*  
20                   *in the semivariogram of the measured soil variables. The performance of each interpolation*  
21                   *method was assessed quantitatively in terms of Nash-Sutcliffe efficiency (E), coefficient of*  
22                   *determination ( $R^2$ ) and index of agreement (d). The interpolated maps generated based on the*  
23                   *highest value of E displayed OK was best performed for SOC and sand. RBF was most suitable*

24 *for mapping of AP and clay, while IDW gave better result when applied to pH. The highest value*  
25 *of  $R^2$ ,  $E$  and  $d$  (0.51, 0.51, and 0.83, respectively) resulted from the spatial interpolation of AP.*  
26 *Generally, the methodology used in this study was adequate for spatial interpolation and*  
27 *evaluation of measured soil properties and can serve as a general method for surface map*  
28 *generation in future studies of similar regions.*

29 ***Keywords:*** *Agricultural watershed, radial basis functions, semivariogram, interpolation.*

## 30 INTRODUCTION

31 Soils continually undergo development and vary over a wide range of spatial and temporal scales.  
32 Spatial scales reach from the micro-environment (quite small area) to the watershed and beyond,  
33 while temporal scales extend from seconds to centuries and longer (Addis et al., 2015). Therefore,  
34 any effort to enhance soil productivity in different types of cropping method may not yield  
35 appropriate results without a careful understanding of soil variability. The spatial variability of soil  
36 is often measured using a number of interpolation methods. Selecting an ideal spatial interpolation  
37 method for map generation is crucial in surface analysis (Zandi et al., 2011).

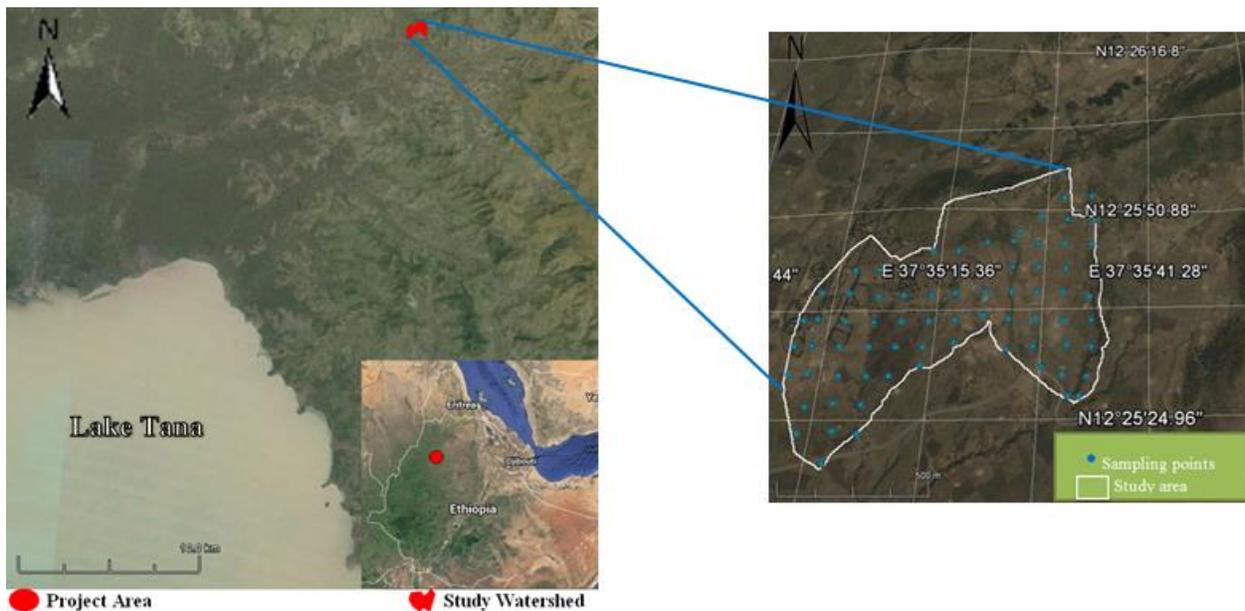
38 The goal of spatial interpolation is to estimate the magnitude of the variable ( $Z_0$ ) at location  $X_0$ ,  
39  $Y_0$  using surrounding points with known X and Y coordinates and magnitude of variable ( $Z$ )  
40 (Meijerink et al., 1994). However, spatial interpolation and interpretation is predominantly human  
41 dependent, and therefore subjective (Furrer and Genton, 1999). The spatial interpolation methods,  
42 including geostatistics, have been developed for and applied in various disciplines (Zhou et al.,  
43 2007). Numerous factors including sampling density, sample volume, spacing, sampling design  
44 and variation in the data affect the predictive ability of a spatial interpolation method (Li and Heap,

45 2008). These factors make it difficult to select an appropriate spatial interpolation method for a  
46 given input dataset (Burrough and McDonnell, 1998).

47 The precision of various spatial interpolation techniques for predicting unmeasured values have  
48 been documented by a number of researchers (Weber and Englund, 1992; Nalder and Wein, 1998;  
49 Kravchenko and Bullock, 1999). Nevertheless, there have been many conflicting findings  
50 regarding the relative performance of different spatial interpolation methods and the use of basic  
51 statistics to predetermine both interpolation techniques and their parameters (Robinson and  
52 Metternicht, 2005).

53 Spatial interpolation techniques are developed for specific data types or a particular variable (Li  
54 and Heap, 2008). Most of the methods perform at an acceptable level for estimating soil attributes  
55 in gentle terrain, whereas few perform well in rugged terrain (Pandey et al., 2010; Yao et al., 2013).  
56 Three of the most popular interpolation methods, IDW, RBF and ordinary kriging have been  
57 commonly used in agricultural research (Zandi et al., 2011). Several studies, however, have found  
58 that IDW to be more accurate than kriging for mapping of soil organic matter (SOM) and soil NO<sub>3</sub>  
59 levels (Gotway et al., 1996) and for mapping of P and K levels (Wollenhaupt et al. 1994).  
60 Similarly, research conducted by Robinson and Metternicht, (2005) reported that IDW predicted  
61 the subsoil pH with greater accuracy than kriging and spline. However, kriging has been the  
62 preferred method for predicting agricultural yield data (Birrell et al., 1996; Batchelor et al., 2002;  
63 Whelan et al., 2002), topsoil pH (Robinson and Metternicht, 2005) and for mapping of soil Zn  
64 (Leenaers et al., 1990). In contrast, research conducted by Zandi et al. (2011) showed that RBF  
65 outperformed OK and IDW for interpolating topsoil pH and this study tried to test the validity of  
66 such methods at a sub-watershed scale. Surface soil map generation for an agricultural watershed  
67 provide a wealth of information and can be an important tool for implementing various site specific

68 soil managements but, such information for soil of Gumara-Maksegnit agricultural watershed is  
69 lacking and hence, need to be assessed. Considering these different and conflicting findings, the  
70 objectives of this research were to i) analyze the performance of frequently used spatial  
71 interpolation techniques (IDW, OK and RBF) for predicting topsoil pH, soil organic carbon (SOC),  
72 available phosphorus (AP) and texture; and, ii) determine the optimum spatial interpolation  
73 method for mapping of selected soil properties in agricultural watershed.



74 ● Project Area      ♥ Study Watershed  
75 **Figure 1. Location of the study sub-watersheds and the distribution of observed soil**  
76 **samples.**

## 77 MATERIALS AND METHODS

### 78 STUDY AREA DESCRIPTION

79 The study was carried out in the Ayeye and Aba-Kaloye sub-watersheds ( $37^{\circ}35'15''E$ ,  
80  $12^{\circ}25'50''N$ ), which are located near Lake Tana basin in the northwestern Amhara region, Ethiopia  
81 (fig. 1). The two sub-watersheds have a total area of 72 ha and the elevation ranges from 1,997 m  
82 to 2,532 m, while the hillslopes range from nearly flat ( $< 2\%$ ) to extremely steep ( $> 50\%$ ). The  
83 climate of the area is characterized by intense rainfall events occurring mainly between June and

84 August and a dry period between November and April; average annual rainfall is 1170 mm (Addis  
85 et al., 2015). The study area, which is part of the northern highlands of Ethiopia, belongs to the  
86 Trapp series of Tertiary volcanic eruptions (Mohr, 1963). In the study sub-watersheds, some of  
87 the factors causing considerable nutrient depletion in agricultural lands are related to soil erosion  
88 by water, the cultivation of the steep and fragile soils, limited recycling of cow dung and crop  
89 residue, deforestation, and overgrazing.

## 90 **SOIL SAMPLING METHOD**

91 The study sub-watersheds were under agricultural land-use system (crop production) with varying  
92 landscape features, including elevation, slope, aspect, soil categories and land management. The  
93 soil sampling sites were selected using a well-organized regular sampling interval in a GIS  
94 environment, coupled with a systematic selection of the most representative soil-landscape  
95 features as it was described by Buttafuoco *et al.* (2012). The systematic method is the most  
96 commonly used technique and provide more accurate results than random sampling pattern (Wang  
97 and Qi, 1998; Kavianpoor et al., 2012) and is an appropriate method when no other information is  
98 available regarding the soil variability prior to sampling. Therefore, the 72 ha study area was  
99 divided into a 100 m by 100 m square grid using arcgis and a total of 75 soil samples across the  
100 entire sub-watersheds were collected from the topsoil horizon with the best available tool (bucket  
101 auger) for analyses. The pH value of the soil was measured with a pH meter in the supernatant  
102 suspension of 1:2.5 ratios (sample to water mixture). Soil texture was measured following the  
103 procedure as described by Gee and Or (2002), and organic carbon was determined by wet oxidation  
104 method as described by De Vos et al. (2007). Available Phosphorus (AP) was extracted using  
105 sodium bicarbonate solution at pH 8.5 following the procedure described by Olsen (1954). In this

106 study, classical statistical analyses were used to describe soil properties and geo-statistical analyses  
107 were used to select an optimum spatial interpolation method.

## 108 **SPATIAL INTERPOLATION TECHNIQUES**

109 Frequently used spatial interpolation techniques (OK, RBF and IDW) were selected to predict the  
110 spatial continuous surfaces of soil properties in the study sub-watersheds. Naturally, the selected  
111 interpolation techniques are commonly described as weighted average methods, and they all share  
112 the same basic mathematical formulation (Webster and Oliver, 2001; Li and Heap, 2008) and  
113 calculated as:

$$114 \quad \check{z}(x_0) = \sum_{i=0}^n \lambda_i z(x_i) \quad (1)$$

115 Where  $n$  represents the number of sampled points used for the prediction,  $\check{z}$  is the predicted value  
116 of an attribute at the point of interest  $x_0$ ,  $z$  is the observed value at the sampled point  $x_i$ , and  $\lambda_i$  is  
117 the weight assigned to the sampled point (Webster and Oliver, 2001).

### 118 ***Kriging***

119 Kriging is a statistical procedure for interpolating values at unmeasured locations between  
120 locations with sampled data (Nielsen and Wendroth, 2003). Kriging analysis is applicable for  
121 environmental disciplines such as agricultural yield mapping (Blackmore, 1999), spatial  
122 continuous soil surface generation (Goovaerts, 1999), spatial variability assessment of rainfall  
123 (Naoum and Tsanis, 2004) and air pollution modelling (Wong et al., 2004). Ordinary kriging is a  
124 type of kriging that considers the mean is constant but unknown across the spatial domain of  
125 interest (Li and Heap, 2008). Kriging utilizes the spatial variance structure available in a  
126 semivariogram and provides a best linear unbiased estimate of an unmeasured value calculated  
127 from weighted values measured in a local neighborhood (Nielsen and Wendroth, 2003).

128 Semivariance ( $\gamma$ ) is an important concept in geostatistics (Webster and Oliver, 2001) and can be  
129 estimated from the observed values as follows:

$$130 \quad \gamma(h) = \frac{1}{2N(h)} \sum_{i=1}^{N(h)} [z(x_i) - z(x_i + h)]^2 \quad (2)$$

131 Where  $h$  is the distance between point  $x_i$  and  $x_0$  and  $\gamma(h)$  is commonly referred to as semivariogram  
132 (Webster and Oliver, 2001),  $N(h)$  is the number of data pairs within a given class of distance and  
133 direction. A plot of  $\gamma(h)$  against  $h$  is known as the experimental semivariogram, which displays  
134 several important features (e.g. nugget, sill and range) (Burrough and McDonnell, 1998). If the  
135 ratio of nugget to sill is close to 1, it reflects a weak degree of spatial dependency (Cambardella et  
136 al., 1994). The “range” is a value of distance at which the “sill” is reached (Li and Heap, 2008) and  
137 the range provides information about the size of a search window used in the spatial interpolation  
138 methods (Burrough and McDonnell, 1998). GS+ was used to obtain the semivariogram model of  
139 each observed soil properties (Robertson et al., 2008) and model with the least reduced sum of  
140 squares (RSS) was further examined to find the number of neighbors that returned the best cross-  
141 validation result (Robinson and Metternicht, 2005).

#### 142 ***Inverse Distance Weighted (IDW)***

143 Inverse Distance Weighted (IDW) is a deterministic method that uses a weighted average of nearby  
144 locations, with closer points to the center of the cell being estimated having greater weight in the  
145 averaging process (Zeiler, 2010). The most important factor that affects the accuracy of IDW is  
146 the value of the power parameter (Isaaks and Srivastava, 1989). IDW is commonly used for  
147 estimating soil properties or (attributes) (Leenaers et al., 1990; Wollenhaupt et al., 1994; Gotway  
148 et al., 1996) using the following formula:

149 
$$z(x_0) = \frac{\sum_{i=1}^n z(x_i) d_{ij}^{-r}}{\sum_{i=1}^n d_{ij}^{-r}} \quad (3)$$

150 Where  $x_0$  is the estimation point and  $x_i$  are the data points within a chosen neighborhood. The  
151 weights ( $r$ ) are related to distance by  $d_{ij}$ , which is the distance between the estimation point and  
152 the data points. One of the concerns with the IDW method is that higher or lower values of the site  
153 under consideration will be overlooked if they are not sampled (EPA, 2012) so if the peaks and  
154 valleys of the data are not represented in the sample, this technique may be wildly inaccurate in  
155 some locations. Since IDW is a deterministic technique, it does not take into account the spatial  
156 structure of the sample points. Thus, the results can be influenced by sampling density and  
157 sampling interval. In addition, if the sampling of input points is sparse or uneven, the results may  
158 not sufficiently represent the desired surface (Watson and Philip, 1985).

159 ***Radial Basis Functions (RBF)***

160 Radial Basis Functions (RBF) is a family of five deterministic exact interpolation techniques: thin-  
161 plate spline, spline with tension, completely regularized spline, multi-quadratic function and  
162 inverse multi-quadratic function (Zeiler, 2010). The differences among RBFs are small, so the  
163 generated surfaces are almost similar (Burrough and McDonnell, 1998). Unlike IDW (which is  
164 also an exact interpolator), RBF can predict values above the maximum or below the minimum of  
165 the measured values (Zeiler, 2010). RBFs are used to produce smooth surfaces from a large number  
166 of sample points. The functions produce good results for gently varying surfaces such as elevation.  
167 However, the techniques are inappropriate when large changes in the surface values occur within  
168 short distances and/or when you suspect the sample data is prone to measurement error or  
169 uncertainty (Zeiler, 2010).

170 **MODEL EVALUATION TECHNIQUES**

171 Spatial interpolation methods are increasingly used in a wide range of disciplines despite  
172 increasing concern about their accuracy and precision (Hartkamp et al., 1999; Huo et al., 2012).  
173 The concern about their accuracy and precision is because they were developed either for specific  
174 disciplines or even for specific variables based on the data properties modelled and each method  
175 has its own specific assumptions and features (global versus local, exact versus inexact,  
176 deterministic versus stochastic, and gradual versus abrupt) (Li and Heap, 2008). Therefore, several  
177 error measurement methods have been used to assess the accuracy and precision of the  
178 interpolation methods (Li and Heap, 2008, 2011).

179 In this study, cross-validation with a single variogram was used to assess the performance of each  
180 spatial interpolation method. Cross-validation is an appropriate method to evaluate models when  
181 two independent datasets (calibration and validation) cannot be built because of the reduced  
182 number of data points (jack-knifing) (Guisan and Zimmermann, 2000). The model is fit to a portion  
183 of the data, and then the attained equation is applied to the remaining data points to determine their  
184 estimated values (Davis, 1987; Li and Heap, 2008). The estimated values from cross-validation  
185 were used to calculate an error estimator (Willmott, 1982). The performance of each interpolation  
186 method was assessed quantitatively in terms of mean error (ME), mean squared error (MSE), root  
187 mean squared error (RMSE), Nash-Sutcliffe efficiency (E), coefficient of determination ( $R^2$ ) and  
188 index of agreement (d).

### 189 *Nash-Sutcliffe Efficiency (E)*

190 The Nash-Sutcliffe efficiency (E) is a normalized statistic that explains the relative magnitude of  
191 the residual variance (“noise”) associated with the observed data variance (Nash and Sutcliffe,  
192 1970; Moriasi et al., 2007). The efficiency E documented by Nash and Sutcliffe, (1970) is defined  
193 as follows:

194 
$$E = 1 - \frac{\sum_{i=1}^n (E_i - O_i)^2}{\sum_{i=1}^n (O_i - \bar{O})^2} \quad (4)$$

195 Where n is the number of observations or samples,  $O_i$  is the observed value of sample  $i$ ,  $E_i$  is the  
 196 estimated value of sample  $i$ , and  $\bar{O}$  is the mean of observed values.

197 The range of E lies between  $-\infty$  and 1.0 with  $E = 1$  describing a perfect fit. Values between 0.0  
 198 and 1.0 are generally viewed as acceptable levels of performance, whereas values  $< 0.0$  indicate  
 199 that the mean observed value is a better predictor than the estimated value (unacceptable  
 200 performance) (Moriasi et al., 2007). The key weakness of the Nash-Sutcliffe efficiency is the fact  
 201 that larger values in a dataset are strongly overestimated whereas lower values are neglected  
 202 (Legates and McCabe, 1999).

203 ***Coefficient of Determination ( $R^2$ )***

204 The coefficient of determination,  $R^2$ , is the squared value of the coefficient of correlation (Krause  
 205 et al., 2005). It is defined as follows:

206 
$$R^2 = \left[ \frac{\sum_{i=1}^n (O_i - \bar{O}) (E_i - \bar{E})}{\sqrt{\sum_{i=1}^n (O_i - \bar{O})^2} \sqrt{\sum_{i=1}^n (E_i - \bar{E})^2}} \right]^2 \quad (5)$$

207 Where n is the number of observations or samples,  $O_i$  is the observed value of sample  $i$ ,  $E_i$  is the  
 208 estimated value of sample  $i$ ,  $\bar{O}$  is the mean of observed values and  $\bar{E}$  is the mean of estimated  
 209 values.

210 The range of  $R^2$  lies between 0 and 1, and describes how much of the observed value is explained  
 211 by the predicted value (Krause et al., 2005). A value of 1 means the predicted value is equal to the  
 212 observed value, where a value of zero means there is no correlation between the predicted and  
 213 observed values.

214 ***Index of Agreement (d)***

215 The index of agreement,  $d$ , as reported by Willmott (1981) is a standardized measure of the degree  
216 of model accuracy. The range of  $d$  is the same as  $R^2$  ranging from 1 (perfect fit) to 0 (no correlation)  
217 (Moriassi et al., 2007). The index of agreement is described as follows:

218 
$$d = \frac{\sum_{i=1}^n (E_i - O_i)^2}{\sum_{i=1}^n (|\check{E}_i| + |\check{O}_i|)^2} \quad (6)$$

219 Where  $n$  is the number of observations or samples,  $O_i$  is the observed value of sample  $i$ ,  $E_i$  is the  
220 estimated value of sample  $i$ ,  $\bar{O}$  is the mean of observed values,  $\check{O}_i$  is the difference between the  
221 observed value for sample  $i$  and the mean observed value ( $O_i - \bar{O}$ ), and  $\check{E}_i$  is the difference between  
222 the estimated value of sample  $i$  and the mean observed value ( $E_i - \bar{O}$ ).

223 Krause et al. (2005) have reported relatively high value of  $d$  (more than 0.65) even for poor model  
224 fits. It has also been found that  $d$  is overly sensitive to extreme values due to the squared  
225 differences (Legates and McCabe, 1999).

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**Table 1. Descriptive statistics summary for soil physical and chemical properties of the study sub-watersheds.**

| Soil attributes         | No. of samples | Minimum | Maximum | Range  | Mean  | SD <sup>[b]</sup> | se (mean) <sup>[b]</sup> | CV <sup>[b]</sup> | Skewness | Kurtosis |
|-------------------------|----------------|---------|---------|--------|-------|-------------------|--------------------------|-------------------|----------|----------|
| SOC (%) <sup>[a]</sup>  | 75             | 0.13    | 5.22    | 5.09   | 1.81  | 0.97              | 0.11                     | 0.53              | 0.67     | 0.81     |
| AP (ppm) <sup>[a]</sup> | 75             | 0.32    | 155.92  | 155.60 | 22.98 | 38.95             | 4.50                     | 1.69              | 2.32     | 4.92     |
| pH                      | 75             | 5.68    | 7.37    | 1.69   | 6.57  | 0.34              | 0.04                     | 0.05              | 0.18     | -0.15    |
| Clay (%)                | 75             | 10      | 58      | 48     | 29.19 | 10.22             | 1.18                     | 0.35              | 0.17     | -0.22    |
| Silt (%)                | 75             | 18      | 62      | 44     | 35.64 | 6.51              | 0.75                     | 0.18              | 0.49     | 2.96     |
| Sand (%)                | 75             | 16      | 62      | 46     | 35.17 | 10.55             | 1.22                     | 0.30              | 0.73     | -0.17    |

227 <sup>[a]</sup> SOC is soil organic carbon and AP is available phosphorous.228 <sup>[b]</sup> SD is standard deviation, se (mean) is standard error of mean and CV is coefficient of variation.

## RESULTS AND DISCUSSION

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According to laboratory measurements soil texture was rather heterogeneous across the study area, primarily clay loams, loams and sandy loams with the clay contents ranging from 10% to 58%, and sand contents from 16% to 62%. The descriptive statistical summary of the measured soil physical and chemical properties of the study sub-watersheds is presented in table 1. Variability of soil properties can be described by the minimum and maximum values, standard deviation (SD), and coefficient of variation (CV). Among these values, the coefficient of variation (CV) is the most selective factor as it is a useful statistic for comparing the degree of variation from one data series to another, even if the means are drastically different from each other (Wei et al., 2008). According to the soil variability guidelines provided by Wilding (1985), the property shows low variability when CV is less than or equals to 0.15, moderate variability when the CV is between 0.15 to 0.35, and the most variability when the CV is greater than 0.35. Based on these guidelines, AP, SOC and clay contents were the most variable soil properties, while silt and sand contents had moderate variability, and pH was the least variable (table 1). A similar study by Sun et al., (2003) and Addis et al. (2015) documented that AP showed the highest variation, while pH had the least, based on the CVs. The range of SOC increased from 0.13% at the outlet to greater than 3.2% at upper catchment areas. A lognormal ordinary kriging was used for AP as the coefficient of skewness is greater than 1 (table 1).

**Table 2. Coefficients of the theoretical semivariogram statistic produced for different ordinary kriging models of the selected soil properties.**

| Variable          | Model type         | Nugget [Co]   | Sill [Co+C]  | Range Ao (m) | RSS <sup>[a]</sup> | R <sup>2[a]</sup> | nugget/sill ratio [Co/(Co+C)] |
|-------------------|--------------------|---------------|--------------|--------------|--------------------|-------------------|-------------------------------|
| SOC               | Linear             | 0.32          | 1.07         | 497.85       | 1.21               | 0.92              | 0.30                          |
|                   | Spherical          | 0.35          | 1.06         | 282          | 8.72E-03           | 0.97              | 0.33                          |
|                   | Exponential        | 0.23          | 1.88         | 711          | 0.01               | 0.94              | 0.12                          |
|                   | <b>Gaussian</b>    | <b>0.18</b>   | <b>1.01</b>  | <b>503</b>   | <b>8.01E-03</b>    | <b>0.97</b>       | <b>0.18</b>                   |
| Lognormal<br>I AP | Linear             | 1.64          | 3.17         | 497.85       | 5.35               | 0.65              | 0.52                          |
|                   | Spherical          | 0.001         | 2.85         | 246          | 0.11               | 0.93              | 0.00                          |
|                   | Exponential        | 0.001         | 3.03         | 119          | 0.17               | 0.9               | 0.00                          |
|                   | <b>Gaussian</b>    | <b>0.22</b>   | <b>2.86</b>  | <b>118</b>   | <b>0.09</b>        | <b>0.94</b>       | <b>0.08</b>                   |
| pH                | Linear             | 0.09          | 0.41         | 497.85       | 2.04E-03           | 0.79              | 0.22                          |
|                   | Spherical          | 0.09          | 0.37         | 1979         | 1.06E-04           | 0.79              | 0.24                          |
|                   | Exponential        | 0.09          | 0.37         | 1097         | 1.13E-04           | 0.77              | 0.24                          |
|                   | <b>Gaussian</b>    | <b>0.09</b>   | <b>0.39</b>  | <b>1104</b>  | <b>9.11E-05</b>    | <b>0.92</b>       | <b>0.23</b>                   |
| Clay              | Linear             | 0.0063        | 0.011        | 497.85       | 7.91E-07           | 0.93              | 0.57                          |
|                   | Spherical          | 0.006         | 0.014        | 1074         | 6.50E-07           | 0.94              | 0.43                          |
|                   | <b>Exponential</b> | <b>0.0059</b> | <b>0.02</b>  | <b>1110</b>  | <b>5.58E-07</b>    | <b>0.95</b>       | <b>0.30</b>                   |
|                   | Gaussian           | 0.0071        | 0.014        | 541          | 1.35E-06           | 0.88              | 0.51                          |
| Silt              | Linear             | 0.0035        | 0.005        | 497.85       | 4.90E-06           | 0.85              | 0.70                          |
|                   | Spherical          | 0.0035        | 0.007        | 1634         | 1.64E-07           | 0.86              | 0.50                          |
|                   | <b>Exponential</b> | <b>0.0034</b> | <b>0.007</b> | <b>749</b>   | <b>1.30E-07</b>    | <b>0.89</b>       | <b>0.49</b>                   |
|                   | Gaussian           | 0.0039        | 0.008        | 824          | 2.80E-07           | 0.75              | 0.49                          |
| Sand              | Linear             | 0.0073        | 0.012        | 497.85       | 1.39E-06           | 0.86              | 0.61                          |
|                   | Spherical          | 0.007         | 0.014        | 1037         | 1.19E-06           | 0.88              | 0.50                          |
|                   | <b>Exponential</b> | <b>0.0069</b> | <b>0.02</b>  | <b>1067</b>  | <b>1.07E-06</b>    | <b>0.89</b>       | <b>0.35</b>                   |

|  |          |        |       |     |          |      |      |
|--|----------|--------|-------|-----|----------|------|------|
|  | Gaussian | 0.0082 | 0.017 | 651 | 2.24E-06 | 0.77 | 0.48 |
|--|----------|--------|-------|-----|----------|------|------|

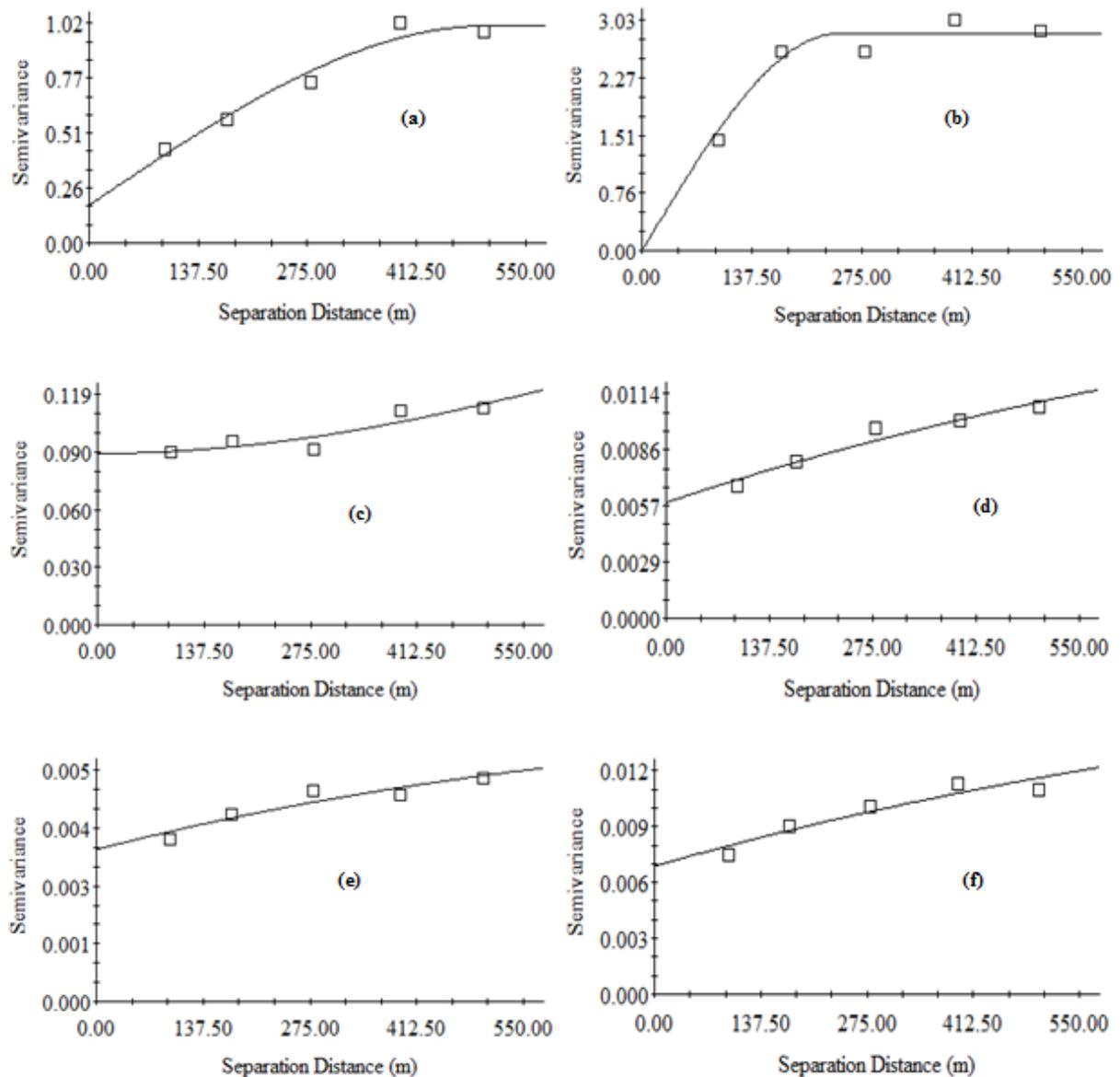
250 <sup>[a]</sup> RSS is residual sum squares and R<sup>2</sup> is coefficient of determination. Bolded RSS values were chosen as the best model.

## 251           **COMPARISON OF THE INTERPOLATION METHODS**

252   The spatial variability of selected soil properties was assumed to be identical in different directions  
253   and the isotropic experimental semivariogram for each observed soil variable was calculated using  
254   Eq. (2). The results of the experimental semivariograms show that the exponential and Gaussian  
255   models were best fitted and the model with the least residual sum of squares (RSS) was chosen  
256   (table 2). Selecting an appropriate spatial interpolation method for a given input dataset is difficult,  
257   as they are data-specific or even variable-specific. Therefore, the choice of spatial interpolation  
258   techniques is subjective (Furrer and Genton, 1999). This study does not overlook the possibility  
259   of anisotropy and directional semivariograms have been examined but the directional  
260   semivariograms are not very good, thus, the study end up using an isotropic semivariogram. The  
261   isotropic semivariograms for the selected soil properties are shown in figures 2a-2f. The  
262   semivariograms of clay, silt and sand contents were best-fitted with the exponential function and  
263   each of their coefficients of determination ( $R^2$ ) is greater than 0.89, which suggested that clay, silt,  
264   and sand contents had stronger spatial structure.

265   Typically, the nugget to sill ratio or relative nugget effect [ $C_0/(C_0+C)$ ] reflects the spatial  
266   autocorrelation (Li and Reynolds, 1995). The relative nugget effect was calculated for each  
267   observed soil properties and used to assess the degree of spatial dependence and correlation related  
268   with each soil variables (Jabro et al., 2010). The relative nugget effect of each observed soil  
269   properties were then classified into one of the three classes to describe the spatial dependence  
270   (Cambardella et al., 1994). If the relative nugget effect was less than or equal to 0.25, the soil  
271   property was categorized as strongly spatially dependent; if the relative nugget effect was greater  
272   than 0.25 and less than 0.75, the soil property was categorized as moderately spatially dependent;  
273   and if the relative nugget effect was greater than 0.75, the soil property was categorized as weakly

274 spatially dependent (Cambardella et al., 1994; Jabro et al., 2010). The relative nugget effect of  
 275 clay, silt, and sand for the best fitted model ranged from 0.30 to 0.49, indicating moderately  
 276 spatially dependent.

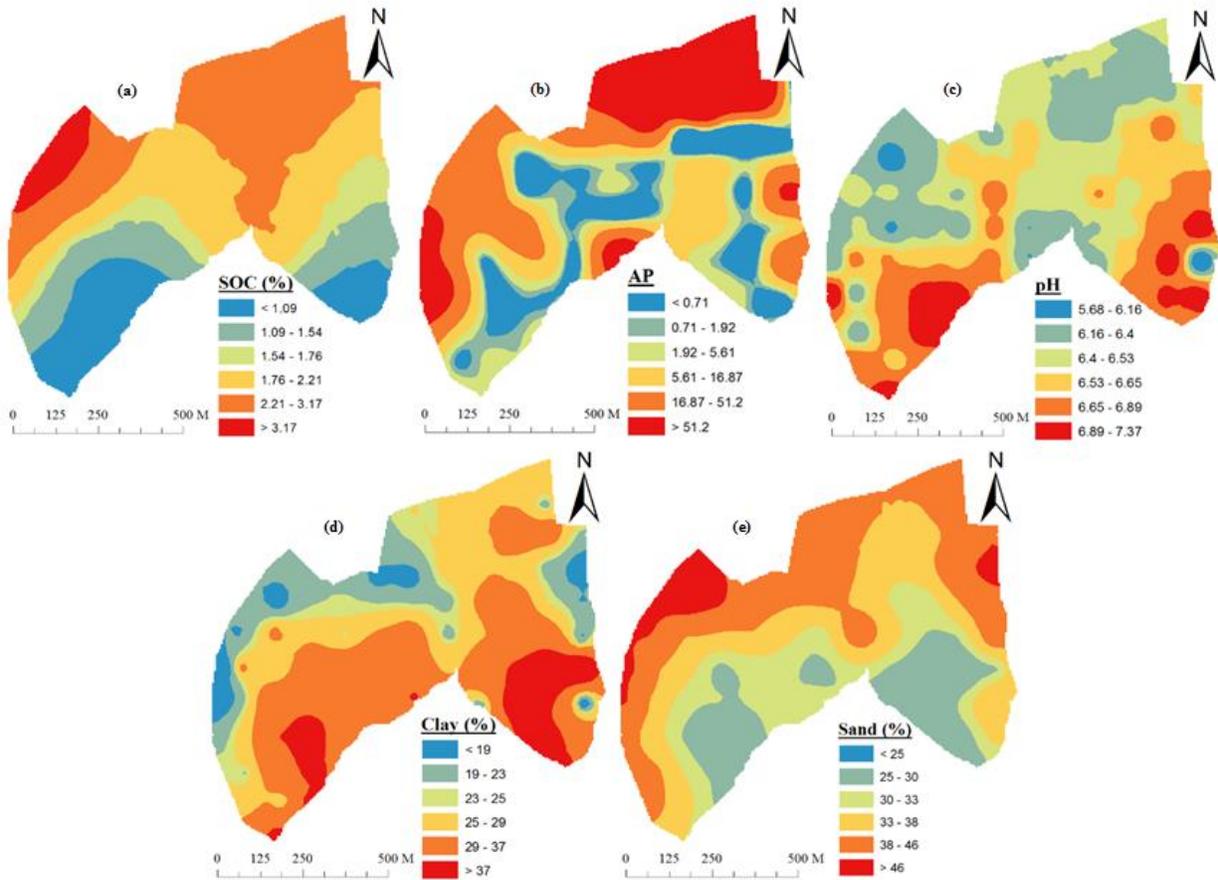


277  
 278 **Figure 2.** Fitted semivariogram for the selected soil property of (a) SOC using the Gaussian  
 279 model, (b) AP using the Gaussian model, (c) pH using the Gaussian model, (d) clay using  
 280 exponential model, (e) silt using exponential model and (f) sand using exponential model.

281 The semivariograms of SOC, AP and pH were well fitted to the Gaussian model and each of their  
282 coefficients of determination ( $R^2$ ) is greater than or equal to 0.92, which suggest that SOC, AP,  
283 and pH had stronger spatial structure. The spatial analysis of SOC and pH shows a clear structure  
284 with a strong to moderate relative nugget effect (0.8 to 0.49) (table 2). A similar study by  
285 Cambardella et al. (1994) documented that pH and silt had strong spatial dependence. The ranges  
286 of spatial dependencies were large and differ between 118 m for AP to 1110 m for clay indicating  
287 that the optimum sampling interval varies greatly among different soil properties.

288 The quantitative summary of the performance of each interpolation method is shown in table 3. In  
289 this study, 5 to 25 neighboring points were considered for each interpolation method. Meanwhile,  
290 a power of 1, 2, and 3 were tested and the best weighting parameter for IDW was found to be a  
291 power of two. With regards to RBF, the five kernel functions were tested although the best kernel  
292 function was found to be completely regularized spline. Ordinary kriging for observed soil  
293 property and lognormal ordinary kriging for available phosphorous were also tested.

294 The mean error (ME), mean squared error (MSE) and root mean squared error (RMSE) were  
295 calculated as measures of accuracy and the Nash-Sutcliffe efficiency (E), coefficient of  
296 determination ( $R^2$ ) and index of agreement (d) were determined as measures of effectiveness for  
297 each observed soil property (table 3). The lowest root mean square error (RMSE) for clay, silt and  
298 sand contents were found with a neighborhood of 15, 5 and 16 points, respectively. The lowest  
299 root mean square error (RMSE) for SOC, AP and pH were found with a neighborhood of 5, 8 and  
300 15 points, respectively. The predictions of the selected soil properties except AP were relatively  
301 unbiased as the mean errors (ME) were almost equals to 0 (table 3).



302

303 **Figure 3.** Best interpolated soil map of (a) SOC using ordinary kriging, (b) AP using RBF, (c)  
 304 pH using IDW, (d) clay using RBF and (e) sand using ordinary kriging.

305 The interpolated maps generated based on the highest value of the Nash-Sutcliffe efficiency (E)  
 306 resulted from the cross-validation of the selected soil properties can be seen in figure 3.

307 Interpolation of SOC using the Gaussian model with the highest Nash-Sutcliffe efficiency of 0.44

308 is shown in figure 3A. The study area had SOC that ranges from 0.13% to 5.22% and the highest

309 SOC (> 3.17%) was occurred in northwest of Aba-Kaloye sub-watershed. The lowest SOC (0.13%

310 to 1.54%) values occur on the central to the outlets of the sub-watersheds which were intensively

311 cultivated. Interpolation of AP using RBF with the highest Nash-Sutcliffe efficiency of 0.51 is

312 shown in figure 3B. AP content in the central part of the study area was less than the mean value

313 (22.98 ppm), and areas where AP content was twice the mean value was observed in northeast of  
314 Ayeye sub-watershed (figure 3B). Interpolation of pH over the study sub-watersheds using IDW  
315 technique with E equals to 0.45 is shown in figure 3C. These results disagreed with those found  
316 by Laslett et al. (1987) and Robinson and Metternicht (2005) where topsoil pH was better estimated  
317 by using OK than by using IDW. The observed soil pH data had a value ranged from 5.68 to 7.37  
318 which suggests the area is very good for crop production. The areas where pH was lower than the  
319 mean value (6.57) was observed in northwest of Aba-Kaloye sub-watershed, and areas where pH  
320 was greater than the mean value were found around the outlets of the sub-watersheds (figure 3C).  
321 Meanwhile, RBF proved to be the better method for interpolating clay content of the study sub-  
322 watersheds with the Nash-Sutcliffe efficiency of 0.17 (figure 3D). The areas where clay contents  
323 were lower than the mean value (29.19%) were observed in northwest of Aba-Kaloye sub-  
324 watershed, and areas where clay contents were greater than the mean value were found at the  
325 outlets of the sub-watersheds (figure 3D). Exponential ordinary kriging proved to be the best  
326 method for interpolating sand contents with E equals to 0.17 (figure 3E). The Nash-Sutcliffe  
327 efficiency for all measured soil properties except silt showed a positive value (table 3). Silt was  
328 the only measured soil property for which the resulting Nash-Sutcliffe efficiency of each  
329 interpolation method had a negative value (less than  $-0.34$ ), that suggested the prediction would  
330 have been more reliable if the sample mean had been used instead. Generally, the methodology  
331 used in this study was adequate for spatial interpolation and evaluation of measured soil properties  
332 and can serve as a general method for spatial continuous surfaces map generation in future studies  
333 of similar regions.

334

335

**Table 3. Quantitative summary of the performance of the three interpolation methods for the study sub-watersheds.**

| Variable | Interpolation methods |                     |                    |                  |                  |                |                    |       |        |      |       |                |                    |      |        |      |       |                |
|----------|-----------------------|---------------------|--------------------|------------------|------------------|----------------|--------------------|-------|--------|------|-------|----------------|--------------------|------|--------|------|-------|----------------|
|          | OK <sup>[a]</sup>     |                     |                    |                  |                  |                | IDW <sup>[a]</sup> |       |        |      |       |                | RBF <sup>[a]</sup> |      |        |      |       |                |
|          | ME <sup>[b]</sup>     | RMSE <sup>[b]</sup> | MSE <sup>[b]</sup> | d <sup>[b]</sup> | E <sup>[b]</sup> | R <sup>2</sup> | ME                 | RMSE  | MSE    | d    | E     | R <sup>2</sup> | ME                 | RMSE | MSE    | d    | E     | R <sup>2</sup> |
| SOC      | 0                     | 0.72                | 0.51               | 0.78             | 0.44             | 0.44           | -0.01              | 0.73  | 0.53   | 0.75 | 0.43  | 0.43           | 0                  | 0.72 | 0.52   | 0.76 | 0.44  | 0.44           |
| AP       | 1.48                  | 32.35               | 1046.8             | 0.67             | 0.30             | 0.30           | 0.09               | 31.04 | 963.69 | 0.72 | 0.36  | 0.36           | 0.01               | 27.2 | 739.67 | 0.83 | 0.51  | 0.51           |
| pH       | 0                     | 0.32                | 0.1                | 0.58             | 0.16             | 0.17           | 0                  | 0.3   | 0.1    | 0.65 | 0.18  | 0.19           | 0                  | 0.33 | 0.11   | 0.59 | 0.17  | 0.17           |
| Clay     | 0.06                  | 9.34                | 87.17              | 0.58             | 0.15             | 0.17           | 0.04               | 9.28  | 86.09  | 0.61 | 0.16  | 0.17           | 0.04               | 9.23 | 85.21  | 0.62 | 0.17  | 0.18           |
| Silt     | 0.03                  | 7.49                | 56.17              | 0.33             | -0.34            | 0              | 0.07               | 7.58  | 57.48  | 0.32 | -0.37 | 0              | 0                  | 7.49 | 56.15  | 0.33 | -0.34 | 0              |
| Sand     | -0.08                 | 9.51                | 90.44              | 0.64             | 0.17             | 0.18           | -0.44              | 10.08 | 101.66 | 0.54 | 0.11  | 0.13           | -0.09              | 9.99 | 99.89  | 0.57 | 0.14  | 0.16           |

337 <sup>[a]</sup> OK is ordinary kriging, IDW is inverse distance weight and RBF is radial base function.

338 <sup>[b]</sup> ME is mean error, RMSE is root mean square error, MSE is mean square error, d is index of agreement and E is Nash-Sutcliffe  
 339 efficiency.

340

341 The spatial interpolation techniques used for each soil properties indicated that values of  $R^2$  range  
342 from 0.00 to 0.51. The highest value of  $R^2$ , E and d (0.51, 0.51, and 0.83, respectively) resulted  
343 from the spatial interpolation of AP using RBF (table 3). A comparison of E with  $r^2$  displays the  
344 fact that the two criteria had a strong positive correlation with the correlation coefficient (r) equals  
345 to 0.92. The correlation between E and index of agreement (d) was also significantly positively  
346 correlated (r = 0.98). Similarly, the correlation between  $R^2$  and index of agreement (d) was also  
347 significantly positively correlated (r = 0.96).

## 348 CONCLUSIONS

349 This study aims to analyze the performance of frequently used spatial interpolation techniques  
350 (IDW, OK and RBF) and determine the optimum spatial interpolation method for mapping of  
351 selected soil properties, which were sampled in mountainous agricultural sub-watersheds,  
352 Ethiopia. The descriptive analyses revealed that AP, SOC and clay contents were the most variable  
353 soil properties, with CV greater than 0.35 while, silt and sand contents were moderately variable,  
354 with CV vary from 0.18 to 0.30. Cross-validation was used to get the best agreement between the  
355 observed data and the predicted values of selected spatial interpolation methods. This study  
356 considered 5 to 25 neighboring points for each interpolation method. Meanwhile, the five kernel  
357 functions and a power of 1, 2, and 3 were tested for RBF and IDW, respectively. The best kernel  
358 function for RBF was found to be completely regularized spline, while the best weighting  
359 parameter for IDW was found to be a power of two.

360 The Nash-Sutcliffe efficiency (E) for each soil property except silt showed a positive value of (E  
361  $\geq 0.17$ ), therefore, the methodology used in this study can serve as a general method for surface  
362 map generation in future studies of similar regions. When comparing the resulting values of the  
363 efficiency criteria, for each interpolation technique, the OK method was best performed for SOC

364 and sand contents. RBF method was produced more accurate maps for AP and clay contents, while  
365 IDW performed best for interpolating topsoil pH. The surface maps for the selected soil properties  
366 indicated that values of  $R^2$  range from 0.00 to 0.51. The highest value of  $R^2$ , E and d (0.51, 0.51,  
367 and 0.83, respectively) resulted from the spatial interpolation of AP using RBF. The results of the  
368 accuracy measuring parameters for each spatial interpolation method showed that there was no  
369 single interpolation method that significantly outperformed the others.

370 The choice of spatial interpolation techniques were project and user dependent, which may play a  
371 significant role in the resultant prediction maps. Therefore, future research in the area should  
372 consider the different spatial interpolation methods, land management practices, land-use and  
373 topography to improve the outputs. Finally, environmental models which use soil map as an input  
374 might consider the influence of the soil map produced by different spatial interpolation techniques.

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