

HYPERSENSPECTRAL REMOTE SENSING OF VEGETATION AND AGRICULTURAL CROPS

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INTRODUCTION

There are now over 40 years of research in hyperspectral remote sensing (or imaging spectroscopy) of vegetation and agricultural crops (Thenkabail *et al.*, 2011a). Even though much of the early research in hyperspectral remote sensing was overwhelmingly focused on minerals, now there is substantial literature in characterization, monitoring, modeling, and mapping of vegetation and agricultural crops using ground-based, platform-mounted, airborne, Unmanned Aerial Vehicle (UAV) mounted, and spaceborne hyperspectral remote sensing (Swatantran *et al.*, 2011; Atzberger, 2013; Middleton *et al.*, 2013; Schlemmer *et al.*, 2013; Thenkabail *et al.*, 2013; Udelhoven *et al.*, 2013; Zhang *et al.*, 2013). The state-of-the-art in hyperspectral remote sensing of vegetation and agriculture shows significant enhancement over conventional remote sensing, leading to improved and targeted modeling and mapping of specific agricultural characteristics such as: (a) biophysical and biochemical quantities (Galvão, 2011; Clark and Roberts, 2012), (b) crop type/species (Thenkabail *et al.*, 2013), (c) management and stress factors such as nitrogen deficiency, moisture deficiency, or drought conditions (Delalieux *et al.*, 2009; Gitelson, 2013; Slonecker *et al.*, 2013), and (d) water use and water productivities (Thenkabail *et al.*, 2013). At the same time, overcoming Hughes' phenomenon or curse of dimensionality of data and data redundancy (Plaza *et al.*, 2009) is of great importance to make rapid advances in a much wider utilization of hyperspectral data. This is because, for a specific application, a large number of hyperspectral bands are redundant (Thenkabail *et al.*, 2013). Selecting the relevant bands will require the use of data mining techniques (Burger and Gowen, 2011) to focus on utilizing the optimal or best ones to maximize the efficiency of data use and reduce unnecessary computing.

“Hyperspectral Remote Sensing (or Imaging Spectroscopy) is the future of remote sensing, providing continuous data along the electromagnetic spectrum (spectral signatures of objects) rather than few data points averaged over broad wavelengths”

EVOLUTION OF HYPERSPECTRAL SENSORS

Detailed discussions on hyperspectral sensors on various platforms can be found in a number of publications (Ortenberg, 2011; Qi, 2011; Staenz and Held, 2012; Verrelst *et al.*, 2012; Cook *et al.*, 2013; Middleton *et al.*, 2013). An overwhelming proportion of hyperspectral data of vegetation and agricultural crops hitherto has been based on hand-held spectrometers such as the Analytical Spectral Devices (ASD, 2013) suite of instruments as a result of their easy use, absence of hindrance from cloud cover, and as a result of high cost of airborne systems and very few existing spaceborne systems (e.g., Thenkabail *et al.*, 2000;

Table 1. Characteristics of space-borne hyperspectral sensors (either in orbit or planned for launch) compared with ASD spectroradiometer^{a,b}

Sensor	Spatial (meters)	Spectral (#)	Swath (km)	band range (µm)	band widths (µm)	Irradiance (W m ⁻² sr ⁻¹ mm ⁻¹)	Data Points (# per hectares)	Launch (date)
1. Hyperion, EO-1 (USA)	30	220 (196 ^b)	7.5	196 effective Calibrated bands VNIR (band 8 to 57) 427.55 to 925.85 nm; SWIR (band 79 to 224) 932.72 to 2395.53 nm	10 nm wide (approx.) for all 196 bands	See data in Neckel and Labs (1984). Plot it and obtain values for Hyperion bands	11.1	2000-present
2. CHRIS, PROBA (ESA)	25	19	17.5	200-1050	1.25-11	same as above	16	2001-present
3. HypsIRI VSWIR (USA)	60	210	145	210 bands in 380 - 2500 nm	10 nm wide (approx.) for all 210 bands	See data in Neckel and Labs (1984). Plot it	2.77	2020+
4. HypsIRI TIR (USA)	60	8	145	7 bands in 7500-12000 nm and 1 band in 3000-5000 nm (3980 nm center)	7 bands in 7500-12000 nm	See data in Neckel and Labs (1984). Plot it	2.77	2020+
5. EnMAP (Germany)	30	92	30	420-1030	5 - 10	same as above	11.1	2015+
6. PRISMA (Italy)	30	108	30	950-2450	10 - 20	same as above	11.1	2014+
7. ASD spectroradiometer	1134 cm ² @ 1.2 m Nadir view 18 degree Field of view	2100 effective 1 nm width between 400-2500 nm	N/A	2100 effective bands	<10 1 nm wide (approx.) in 400-2500nm	See data in Neckel and Labs (1984). Plot it and obtain values for Hyperion bands	88183	last 30+ years

Note:

a = information for the table from *Hyperspectral Remote Sensing of Vegetation* book edited by Thenkabail et al., 2011.

b = Of the 242 bands, 196 are unique and calibrated. These are: (A) Band 8 (427.55 nm) to band 57 (925.85 nm) that are acquired by visible and near-infrared (VNIR) sensor; and (B) Band 79 (932.72 nm) to band 224 (2395.53 nm) that are acquired by short wave infrared (SWIR) sensor.

Poças *et al.*, 2012). These spectrometers, typically, operate from 400 to 2500 nm with a very narrow bandwidth of 1 to 10 nanometers. Further, there is an emerging hyperspectral capability that has shown potential for vegetation information in the Thermal Infrared spectrum or TIR (e.g., Thermo-Nicolet Nexus 670 FTIR 250-25000 nm) (Hecker *et al.*, 2013; Hook *et al.*, 2013; Slonecker *et al.*, 2013) and there are also emerging overhead sensors, such as spatially enhanced broadband array spectrograph system (SEBASS) that bring a new set of hyperspectral capabilities to the table.

Over the years, NASA has been extensively using the Airborne Visible/Infrared Imaging Spectrometer (AVIRIS), and, more recently, AVIRIS next generation (AVIRIS NG), and the

MODIS/ASTER Airborne Simulator (MASTER) instruments. The airborne sensors can cover areas repeatedly, but are costly and not easy to routinely schedule acquisition. There are also hyperspectral imaging (HIS) sensors with limited spectrum bandwidths, such as the CAP/Archer, that are providing low-end hyperspectral data at reasonable costs. More recently, there have been efforts to fly hyperspectral imagers onboard UAVs, which offer a new platform to gather data in real time repeatedly without limitation of cloud cover issues. However, the technology is still under development with a wide array of issues ranging from geometric registration, calibration over large areas, limitation of large area coverage to security concerns of operating UAVs.

Given the above facts, spaceborne hyperspectral platforms (e.g., Table 1; also see Ortenberg, 2011; Qi, 2011) offer powerful option for repeated, consistent global coverage. For example, already there are now ~64,000 Hyperion images (Figure 1) acquired by the Earth Observing-1 (EO-1; Middleton *et al.*, 2013) satellite from 2000 to 2013. These images, each of 7.5 km by 180 km in 242 bands over 400 to 2500 nm, offer a great opportunity to study terrestrial land features including vegetation and agricultural crops around the world with much greater detail and higher accuracies than any multispectral sensor (Thenkabail *et al.*, 2011b). For example, it is feasible to establish a significant spectral library of agricultural crops (Figure 2 derived from Hyperion images) around the world using Hyperion images with adequate prior knowledge about what was grown where and when (which in turn can be gathered from field data from national databases for many places in the world). However, the poor signal to noise ratio of Hyperion as well as atmospheric effects influencing the signatures

“Development of precise spectral libraries of various vegetation or crop types and their species, gathered at various phenological growth stages, is one of the primary requirement to make fullest use of the tremendous strengths of hyperspectral data”

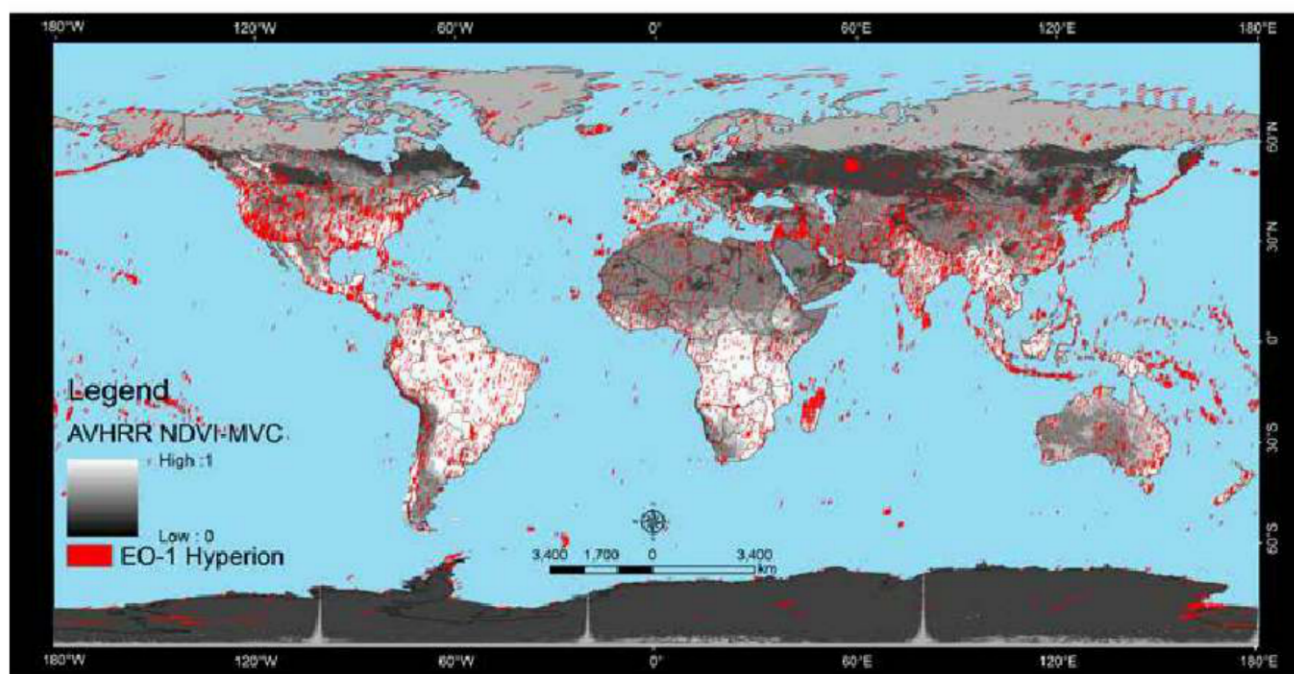


Figure 1. Hyperion hyperspectral image coverage of the World from 2001-2013. Hyperion, the first commercial hyperspectral sensor, onboard Earth Observing-1 (EO-1) was launched on November 21, 2000 and has acquired a total of ~64,000 images by August, 2013. Each of these 185 km x 7.5 km image tiles has a total of 242 bands, with each being 10 nm widespread over 400-2500 nanometer, 30 m spatial resolution, and 12-bits radiometric resolution. With each image having 5.25 gigabyte of data, there is 336 terabyte of data from ~64,000 images. These images are freely downloadable from USGS EarthExplorer (<http://earthexplorer.usgs.gov/>).

need to be kept in mind. Similarly, much of the forest vegetation (e.g., species composition) or other natural vegetation in specific locations may remain the same over years. Hence, one could use the collection of ~64,000 Hyperion images (Figure 1) to establish spectral libraries of specific forest or other vegetation species or categories. Also, hyperspectral images such as Hyperion will allow us to simulate other broadband data (e.g.,

Landsat, IKONOS, Resourcesat) and will help us compare the broadband classification results with narrowband classification results (Bruzzone *et al.*, 1997; Thenkabail *et al.*, 2013) over the same area. Such studies will allow for better understanding of strengths, limitations, and challenges of using hyperspectral data and prepare us for applications when new hyperspectral missions (e.g., Table 1) are launched and ready. As

can be seen in various spectral signatures (Figure 2) there are distinct differences between various vegetation categories or species at specific portions of the spectrum. For example, cotton, rice, and wheat crops are best discriminated using SWIR bands (e.g., 1520 nm, 1820 nm) than anywhere in visible and NIR bands. Similarly, others have shown (Thenkabail *et al.*, 2004a; Pu and Bell, 2013; Thenkabail *et al.*, 2013) primary forest was most distinctive from secondary forest at wavebands centered around 1045 nm, 1640 nm, and 2130 nm. This tells us that hyperspectral data offers many windows of opportunity to spectrally distinguish complex vegetation. However, it requires rigorous quantitative analysis after addressing data normalization and harmonization issues including radiometric and atmospheric corrections (Thenkabail *et al.*, 2004a; Pu and Bell, 2013; Thenkabail *et al.*, 2013), and numerous data mining approaches (Bajwa and Kulkarni, 2011).

and Thenkabail, 2014). Data mining methods lead to: (a) reduction in data dimensionality (Bajwa and Kulkarni, 2011), (b) reduction in data redundancy (Burger and Gowen, 2011), and (c) extraction of unique information. However, these data mining approaches often reduce data without identifying which wavebands are redundant and which have unique information content. This requires us to identify redundant bands and separate them from valuable bands, a concept first proposed by Thenkabail *et al.* (e.g., 2000) and applied by numerous researchers later on. Often, bands that adjoin one another (e.g., a 10 nm wide narrow bands centered at 680 nm and a 10 nm wide narrowband centered at 690 nm) are nearly perfectly correlated (R-square >0.99) (Thenkabail *et al.*, 2013). Since such bands provide similar information, it is best to select one unique band, i.e., the band that provides maximum information. A series of research papers by (Thenkabail *et al.*,

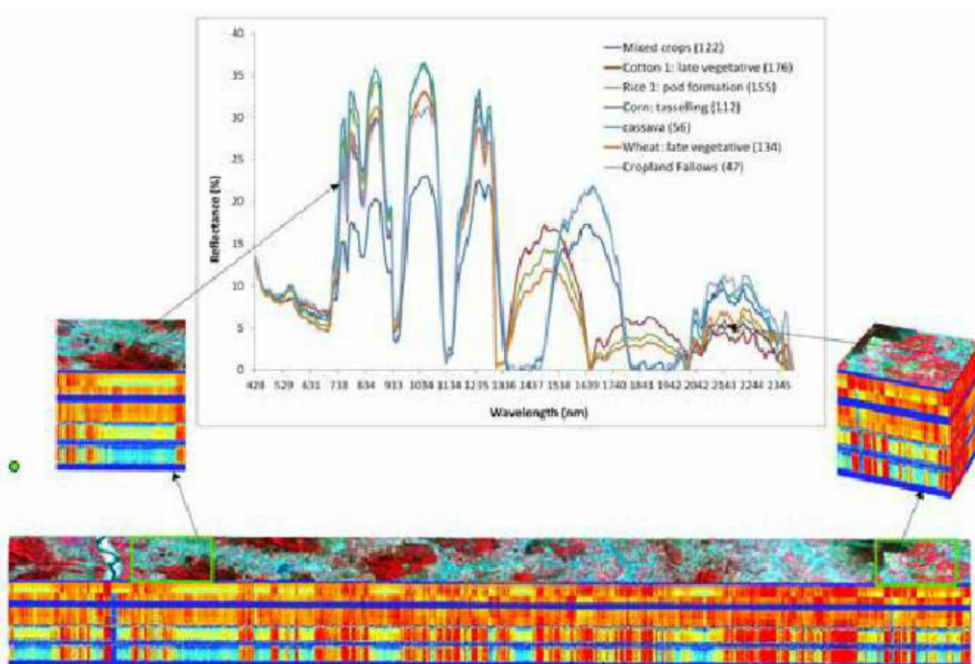


Figure 2. Hyperspectral data cube derived from Hyperion images for an agricultural area.

**“Typically,
~3 to 8 HNBs
help attain
best possible
R-square values
in modeling
agricultural
crop
biophysical and
biochemical
variables,
beyond which
the relationship
becomes
asymptotic”**

DATA REDUNDANCY AND OPTIMAL (OR BEST) HYPERSPECTRAL NARROWBANDS (HNBs)

The need to address large data volumes that separates real data from noise in hyperspectral imagery cannot be over-emphasized. Data volumes are reduced through data mining methods such as feature selection (e.g., principal component analysis, derivative analysis, wavelets, the lambda by lambda correlation plots; (Thenkabail *et al.*, 2000), and partial least squares and vegetation indices (Mundt *et al.*, 2006; Bajwa and Kulkarni, 2011; Swatantran *et al.*, 2011; Banskota *et al.*, 2013; Thenkabail *et al.*, 2013; Thorp *et al.*, 2013; Marshall

2000; Thenkabail, 2002; Thenkabail *et al.*, 2004a; Thenkabail *et al.*, 2004b; Thenkabail *et al.*, 2013) conducted rigorous accuracy assessments (Congalton and Green, 1999; Congalton and Green, 2008) and established that ~15 to 20 narrowbands (e.g., Figure 3) out of 242 Hyperion HNBs provide optimal information in classifying crops and vegetation (leaving ~220 HNBs redundant). For example, seven vegetation categories (Figure 3), when classified using 157 calibrated, non-atmospheric window portions of Hyperion bands, achieved an accuracy of 92% for 15 best bands (Figure 3). Research by Thenkabail *et al.* (2013, 2011, 2004, 2002) identified ~15 to 20 HNBs as optimal (e.g., Figure 3), but meta-analysis based on a wide array of research papers consider up to 28 HNBs (Table 2, Figure 4a) as non-redundant. Relative to HNBs,

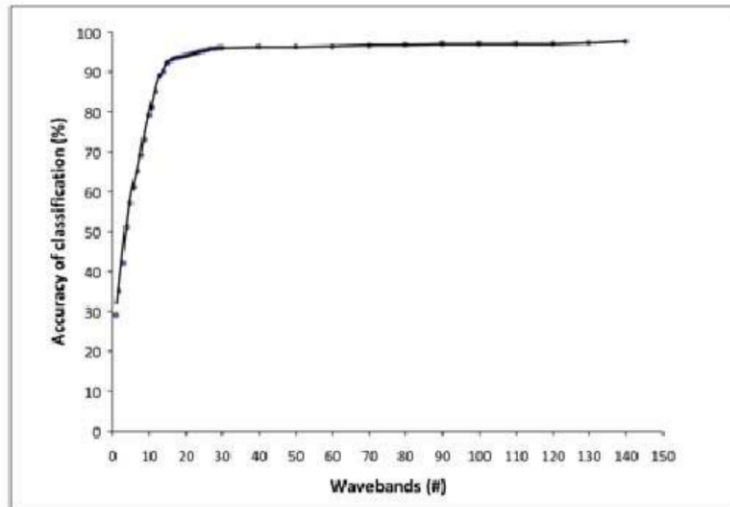


Figure 3. Figure depicting information content relative to the number of Hyperion bands for classifying 7 vegetation classes in Central Africa. The 7 vegetation classes are: slash and burn agriculture, agricultural fallows (1-3 years), agricultural fallows (>3 years), wetlands, young secondary forest, mature secondary forest, and primary forest. About 15 hyperspectral Hyperion bands achieve 92% accuracy, beyond which the additional bands provide little or no increase in accuracy.



“Eliminating the redundant bands, and establish optimal bands, is an important step in hyperspectral data analysis. Overall, ~15 to 20, but no more than about 28 HNBs provide optimal information in study of vegetation or crop characterization and classification”

Table 2. Optimal (non-redundant) hyperspectral narrow bands to study vegetation and agricultural crops^{1,2,3,4,5,6}

Waveband number (#)	Waveband center (λ)	Waveband width (Δλ)	Importance and physical significance of waveband in vegetation and cropland studies
A. Ultraviolet			
1	375	5	fPAR, leaf water: fraction of photosynthetically active radiation (fPAR), leaf water content
B. Blue bands			
2	405	5	Nitrogen, Senescing: sensitivity to changes in leaf nitrogen. reflectance changes due to pigments is moderate to low. Sensitive to senescing (yellow and yellow green leaves).
3	490	5	Carotenoid, Light use efficiency (LUE), Stress in vegetation: Sensitive to senescing and loss of chlorophyll\browning, ripening, crop yield, and soil background effects
C. Green bands			
4	515	5	Pigments (Carotenoid, Chlorophyll, anthocyanins), Nitrogen, Vigor: positive change in reflectance per unit change in wavelength of this visible spectrum is maximum around this green waveband
5	531	1	Light use efficiency (LUE), Xanophyll cycle, Stress in vegetation, pest and disease: Senescing and loss of chlorophyll\browning, ripening, crop yield, and soil background effects
6	550	5	Chlorophyll: Total chlorophyll; Chlorophyll/carotenoid ratio, vegetation nutritional and fertility level; vegetation discrimination; vegetation classification
7	570	5	Pigments (Anthocyanins, Chlorophyll), Nitrogen: negative change in reflectance per unit change in wavelength is maximum as a result of sensitivity to vegetation vigor, pigment, and N.
D. Red bands			
8	682	5	Biophysical quantities and yield: leaf area index, wet and dry biomass, plant height, grain yield, crop type, crop discrimination
E. Red-edge bands			
9	705	5	Stress and chlorophyll: Nitrogen stress, crop stress, crop growth stage studies
10	720	5	Stress and chlorophyll: Nitrogen stress, crop stress, crop growth stage studies
11	700-740	700-740	Chlorophyll, senescing, stress, drought: first-order derivative index over 700-740 nm has applications in vegetation studies (e.g., blue-shift during stress and red-shift during healthy growth)

F. Near infrared (NIR) bands			
12	855	20	Biophysical quantities and yield: leaf area index, wet and dry biomass, plant height, grain yield, crop type, crop discrimination, total chlorophyll
13	910	5	
G. Near infrared (NIR) bands			Moisture, biomass, and protein: peak NIR reflectance. Useful for computing crop moisture sensitivity index.
14	970	10	
H. Far near infrared (FNIR) bands			
15	1075	5	Biophysical and biochemical quantities: leaf area index, wet and dry biomass, plant height, grain yield, crop type, crop discrimination, total chlorophyll, anthocyanin, carotenoids
16	1180	5	
17	1245	5	Water absorption band
			Water sensitivity: water band index, leaf water, biomass. Reflectance peak in 1050-1300 nm.
I. Early short-wave infrared (ESWIR) bands			
18	1450	5	Vegetation classification and discrimination: ecotype classification; plant moisture sensitivity. Moisture absorption trough in early short wave infrared (ESWIR)
19	1518	5	
20	1650	5	Moisture and biomass: A point of most rapid rise in spectra with unit change in wavelength in SWIR. Sensitive to plant moisture.
21	1725	5	
			Heavy metal stress, Moisture sensitivity: Heavy metal stress due to reduction in Chlorophyll. Sensitivity to plant moisture fluctuations in ESWIR. Use as an index with 1548 or 1620 or 1690 nm..
			Lignin, biomass, starch, moisture: sensitive to lignin, biomass, starch. Discriminating crops and vegetation.
J. Far short-wave infrared (FSWIR) bands			
22	1950	5	Water absorption band: highest moisture absorption trough in FSWIR. Use as an index with any one of 2025 nm, 2133 nm, and 2213 nm. Affected by noise at times.
23	2025	5	
24	2133	5	Litter (plant litter), lignin, cellulose: litter-soil differentiation: moderate to low moisture absorption trough in FSWIR. Use as an index with any one of 2025 nm, 2133 nm, and 2213 nm.
25	2205	5	
26	2260	5	Litter (plant litter), lignin, cellulose: typically highest reflectivity in FSWIR for vegetation. Litter-soil differentiation
27	2295	5	
28	2359	5	Litter, lignin, cellulose, sugar, starch, protein; Heavy metal stress: typically, second highest reflectivity in FSWIR for vegetation. Heavy metal stress due to reduction in Chlorophyll
			Moisture and biomass: moisture absorption trough in far short-wave infrared (FSWIR). A point of most rapid change in slope of spectra based on land cover, vegetation type, and vigor.
			Stress: sensitive to soil background and plant stress
			Cellulose, protein, nitrogen: sensitive to crop stress, lignin, and starch

Note:

- 1 = most hyperspectral narrowbands (HNBs) that adjoin one another are highly correlated for a given application. Hence from a large number of HNBS, these non-redundant (optimal) bands are selected
- 2 = these optimal HNBS are for studying vegetation and agricultural crops. When we use these wavebands, we can attain highest possible classification accuracies in classifying vegetation categories or crop types
- 3 = wavebands selected here are based on careful evaluation of large number of studies. These studies are widely discussed and referenced in Thenkabail et al. 2011, Thenkabail et al., 2012, Thenkabail et al., 2013, Marshall and Thenkabail, 2014, Thenkabail et al., 2004a, Thenkabail et al., 2004b, Thenkabail et al., 2002, and Thenkabail et al., 2000.
- 4 = for details on physical relevance of these wavebands please refer to Thenkabail et al. 2011, Thenkabail et al., 2012
- 5 = the hyperspectral vegetation indices (HVIs) recommended in Table 2 are derived using these HNBS
- 6 = this Table is derived, modified, and revised based on recent work discussed in Thenkabail et al., 2013, Marshall and Thenkabail, 2014, Thenkabail et al., 2004a, Thenkabail et al., 2004b, Thenkabail et al., 2002, and Thenkabail et al., 2000.

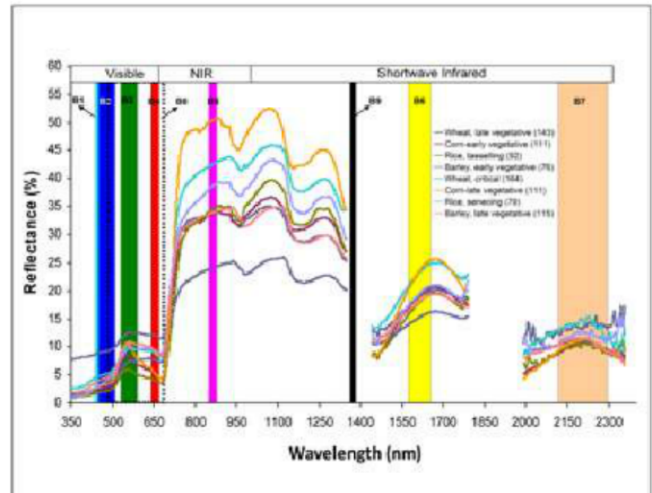
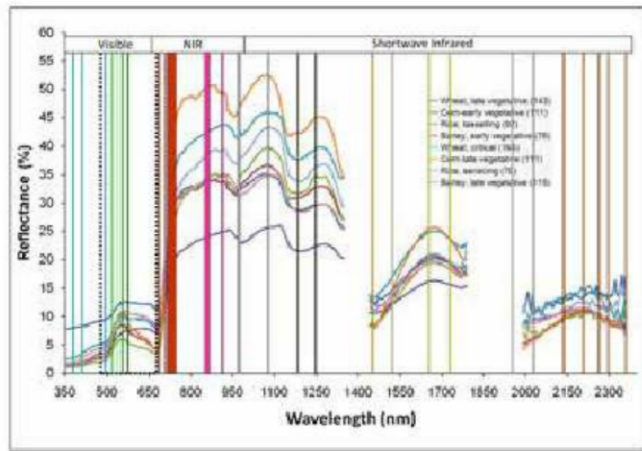


Figure 4. Optimal hyperspectral narrowbands (HNBs; Figure 4a) and Landsat-8 broadband (BBs; Figure 4b): showing the band centers and widths. These band centers and widths are plotted on spectroradiometer measured hyperspectral signatures for certain key crops. The 28 bands shown in Figure 4a are derived from Table 2. The 9 non-thermal bands of the Landsat-8 are shown in Figure 4b.

broadbands (e.g., 6 non-thermal Landsat), typically, achieve ~30% fewer accuracies (Thenkabail *et al.*, 2004b). Methods of classification of vegetation using HNBs include multivariate or partial least square regressions, discriminant analysis, unsupervised classification, supervised approaches, spectral angle mapper (SAM), artificial neural networks, and support vector machines (SVM) (Zhang *et al.*, 2000; Thenkabail *et al.*, 2011b).

“Hughes’ Phenomenon: With the increased number of hyperspectral narrowbands the number of samples (i.e., training pixels) required to maintain minimum statistical confidence and functionality in hyperspectral data for classification purposes grows exponentially, making it very difficult to address this issue adequately. This problem is known as Hughes’ Phenomenon and can be addressed by overcoming data redundancy and/or through obtaining large number of training pixels for each class”.

HUGHES’ PHENOMENON

Hyperspectral data can have 100s or even 1000s of bands. However, with increased number of hyperspectral narrowbands the number of samples (i.e., training pixels) required to maintain minimum statistical confidence and functionality in hyperspectral data for classification purposes grows exponentially, making it very difficult to address this issue adequately. For example, if we were to classify 10 land cover classes using 100s or 1000s of HNBs, we will require very large training samples for each class in order to establish statistical integrity of classification, whereas broadband data like Landsat can be classified with significantly fewer training samples for every class. Also, greater dimension of hyperspectral data allows greater number of classes to be achieved. Naturally, it is of great advantage to have a large number of HNBs to classify complex land cover classes. However, its statistical integrity can only be maintained if each class has enough training samples to train the classifier and equally large number of training samples for each class to establish the class accuracy. So, what is a blessing can also turn to a curse. This phenomenon is known as *Hughes’ phenomenon* or *curse of dimensionality* of data (Thenkabail *et al.*, 2011b; Thenkabail *et al.*, 2013). Nevertheless, it must be stated that modern access to multitude ways of instantaneous gathering of image data (e.g., potential from hundreds and even thousands of micro satellites such as Planet Labs gathering images over Planet), evolution of super-computing on desktop and mobile platforms, and smart algorithms will help overcome this “curse”.



HYPERSPECTRAL NARROWBANDS IN THE STUDY OF VEGETATION AND AGRICULTURAL CROPS

Over the years, extensive research has been conducted in identifying optimal (best) HNBs for study of vegetation and agricultural crops (Thenkabail *et al.*, 2000; Thenkabail, 2002; Thenkabail *et al.*, 2004a; Thenkabail *et al.*, 2004b; Blackburn, 2007; Thenkabail *et al.*, 2011b; Miphokasap *et al.*, 2012; Gitelson, 2013; Mariotto *et al.*, 2013; Thenkabail *et al.*, 2013; Marshall and Thenkabail, 2014). A review of these and meta-analysis leads to 28 HNBs (Table 2, Figure 4a) that are non-redundant and optimal in studying a wide range of agricultural crops and vegetation. An overwhelming proportion of the 28 HNBs were in short-wave infrared: 7 in far SWIR (FSWIR), and 4 in early SWIR (ESWIR). This was followed by 4 in green, 3 each in far near infrared (FNIR), near infrared (NIR), and red-edge, 1 in red, and 1 in ultraviolet (Table 2, Figure 4a). The advantage of using optimal spectral analysis (OSA) involving optimal HNBs (Figure 4a, Table 2), as opposed to continuous spectra (i.e., every HNB in 400 to 2500 nm) or whole spectra analysis (WSA), are several. These include:

1. Avoiding a large number of redundant data (~88%) and focusing on utilizing non-redundant bands (~12%), which in turn helps in overcoming Hughes' phenomenon;
2. Constituting specific hyper spectral vegetation's indices (HVI) from HNBs;
3. Obtaining the same or nearly the same classification accuracies using optimal 28 HNBs as opposed to a full range of bands (e.g., 242 bands of Hyperion), because accuracies asymptote after a certain number of wavebands (e.g., ~15 to 20 HNBs attain >90% accuracy in classifying 7 vegetation classes as shown in Figure 3); and
4. Increasing the computation speed and optimizing the resources in computing and analyzing;

Nevertheless, there is considerable debate for using whole spectra analysis (e.g., continuous and entire spectra over 700-740 nm) using such methods as partial least squares regression, wavelet analysis, continuum removal, and spectral angle mapper (Nielsen, 2001; Delalieux *et al.*, 2009; Thenkabail *et al.*, 2011b; Mirzaie *et al.*, 2014). The use of WSA is justified when:

1. Spectral signatures of objects need to be matched with spectral signatures from existing spectral library;
2. Integrated spectra over a continuum (e.g., first-order derivative greenness vegetation index over 600 to 760 nm, 700 to 740 nm, or integrated over other HNBs) need to be taken advantage of; and
3. Computing power and other resources are not a limitation.

It must be noted that the 28 HNBs (Table 2, Figure 4a) discussed here are limited to the 400 to 2500 nm spectral domain. There is substantial potential to use thermal

hyperspectral wavebands (Schlerf *et al.*, 2012) in addition to these HNBs. Therefore, there should be considerable effect for further advances in developing optimal HNBs in the study of vegetation and agricultural crops if we include thermal hyperspectral bands. Moreover, recent efforts involve combining LiDAR, Hyperspectral, and Thermal (G-LiHT) imagery (Cook *et al.*, 2013), which will further advance our understanding in classifying, monitoring, modeling, and mapping vegetation and agricultural crops (Ribeiro da Luz and Crowley, 2010).

HYPERSPECTRAL VEGETATION INDICES IN THE STUDY OF VEGETATION AND AGRICULTURAL CROPS

Hyperspectral vegetation indices (HVIs) (Haboudane *et al.*, 2004; Bian *et al.*, 2010; Galvão, 2011; Roberts, 2011; Thenkabail *et al.*, 2011b; Gitelson, 2013; Thenkabail *et al.*, 2013) allow us to target studies on very specific characteristics of vegetation and agricultural crops such as biomass, leaf area index (LAI), pigments (e.g., chlorophyll, carotenoid, anthocyanin), stress (e.g., due to drought, disease), management properties (e.g., nitrogen, tillage), and other biochemical properties (e.g., lignin, cellulose, plant residue) (Haboudane *et al.*, 2004; Blackburn, 2007; Thenkabail *et al.*, 2011b). There is a potential to have an index for each of these characteristics (Table 3). The biggest limitation of broad band indices derived from sensors such as Landsat is, more or less, that one index such as NDVI is used for studying all vegetation or crop characteristics. In contrast, HVIs have following specific advantages (Table 3):

1. Establish unique indices to study specific vegetation or crop variable (e.g., hyperspectral water\moisture indices or HWMI to study plant water or moisture; hyperspectral biomass and structural indices or HBSIs to study biomass; hyperspectral biochemical indices or HBCIs to study plant pigments and so on; see Table 3).
2. Provide significant improvement by explaining ~10% to 30% greater variability over broadband indices in modeling and mapping vegetation biophysical and biochemical properties (Haboudane *et al.*, 2004; Thenkabail *et al.*, 2011b; Bolton and Friedl, 2013; Mariotto *et al.*, 2013; Thenkabail *et al.*, 2013); and
3. Create better opportunity to develop multi-band indices. Typically, 2 to 8 bands provide best information in terms of R-square values, beyond which addition of bands does not increase the R-square and the relationship becomes asymptotic (Thenkabail *et al.*, 2004a; Thenkabail *et al.*, 2004b; Mariotto *et al.*, 2013; Marshall and Thenkabail, 2014).

Table 3. Hyperspectral vegetation indices or HVIs1,2,3,4,5

Band number (#)	Hyperspectral narrowband (λ_1)	Bandwidth ($\Delta\lambda_1$)	Hyperspectral narrowband (λ_2)	Bandwidth ($\Delta\lambda_2$)	Hyperspectral vegetation index (HVI)	Best index under each catogory
I. Hyperspectral biomass and structural indices (HBSIs) [to best study biomass, LAI, palnt height, and grain yield]						
HBSI1	855	20	682	5	(855-682)/(855+682)	HBSI: Hyperspectral biomass and structural index
HBSI2	910	20	682	5	(910-682)/(910+682)	
HBSI3	550	5	682	5	(550-682)/(550+682)	
HBSI4	1075	5	682	5	(1075-682)/(1075+682)	
HBSI5	1245	5	682	5	(1245-682)/(1245+682)	
HBSI6	1650	5	682	5	(1650-682)/(1650+682)	
HBSI7	2205	5	682	5	(2205-682)/(2205+682)	
II. Hyperspectral biochemical indices (HBCIs) [pigments like carotenoids, anthocyanins as well as Nitrogen, chlorophyll]						
HBCI8	550	5	515	5	(550-515)/(550+515)	HBCI: Hyperspectral biochemical index
HBCI9	550	5	490	5	(550-490)/(550+490)	
HBCI10	720	5	550	5	(720-550)/(720+550)	
HBCI11	550	5	375	5	(550-375)/(550+375)	
HBCI12	855	20	550	5	(855-550)/(855+550)	
HBCI13	550	5	682	5	(550-682)/(550+682)	
III. Hyperspectral Red-edge indices (HREIs) [to best study plant stress, drought]						
HREI14	700-740	40	first-order derivative integrated over red-edge (700-740 nm)			HREI: Hyperspectral red-edge index
HREI15	855	5	720	5	(855-720)/(855+720)	
HREI16	910	5	705	5	(910-705)/(910+705)	
IV. Hyperspectral water and moisture indices (HWMI) [to best study plant water and mosture]						
HWMI17	855	20	970	10	(855-970)/(855+970)	HWMI: Hyperspectral water and moisture index
HWMI18	1075	5	970	10	(1075-970)/(1075+970)	
HWMI19	1075	5	1180	5	(1075-1180)/(1075+1180)	
HWMI20	1245	5	1180	5	(1245-1180)/(1245+1180)	
HWMI21	1650	5	1450	5	(1650-1450)/(1650+1450)	
HWMI22	2205	5	1450	5	(2205-1450)/(2205+1450)	
HWMI23	2205	5	1950	5	(2205-1950)/(2205+1950)	
V. Hyperspectral Light-use efficiency Index (HLEI)[to best study light use efficiency or LUE]						
HLUE24	570	5	531	1	(570-531)/(570+531)	HLEI: Hyperspectral light-use efficiency index
VI. Hyperspectral legnin cellulose index (HLCI) [to best study plant legnin, cellulose, and plant residue]						
HLCI25	2205	5	2025	1	(2205-2025)/(2205+2025)	HLCI: Hyperspectral legnin cellulose index

Note:

- 1 = adopted with modifications from comprehensive research reported in Thenkabail et al., 2012, Thenkabail et al., 2011;
- 2 = physical relevance of these two band hyperspectral indices are presented and discussed in Table IV in Thenkabail et al. 2012; and various chapters in the book by Thenkabail et al. 2011
- 3 = the first index under each of the six categories performs the best; but further research needs to confirm this
- 4 = for extensive research on hyperspectral wavebands and vegetation indices refer to papers by Thenkabail et al., 2002, 2004, 2011, 2013
- 5 = Under each of the 6 categories (I to VI), you may select the best index (mentioned first in the category and highlighted)

Table 4. Best hyperspectral narrowband (HNB) combinations.

Best 4 bands	550, 682, 855, 970
Best 6 bands	550, 682, 855, 970, 1075, 1450
Best 8 bands	550, 682, 855, 970, 1075, 1180, 1450, 2205
Best 10 bands	550, 682, 720, 855, 970, 1075, 1180, 1245, 1450, 2205
Best 12 bands	550, 682, 720, 855, 910, 970, 1075, 1180, 1245, 1450, 1650, 2205
Best 16 bands	490, 515, 550, 570, 682, 720, 855, 910, 970, 1075, 1180, 1245, 1450, 1650, 1950, 2205
Best 20 bands	490, 515, 531, 550, 570, 682, 720, 855, 910, 970, 1075, 1180, 1245, 1450, 1650, 1725, 1950, 2205, 2260, 2359

HYPERSPECTRAL NARROWBAND COMBINATIONS

Various 4, 6, 8, 10, 12, 16, and 20 best HNB combinations (Table 4) can be required to compare with various corresponding broadband data available to us such as the 9 non-thermal bands of Landsat-8 OLI, 4 band IKONOS, and 4 band IRS. Meta-analysis of literature (e.g., Thenkabail *et al.*, 2011b; Thenkabail *et al.*, 2013) indicates various HNB best-band combinations (Table 4). These HNB combinations work the best in classifying or modeling vegetation or agricultural crops when they come from various portions of the spectrum (e.g., visible, near infrared, shortwave infrared). The number of HNB bands to use and their combinations will depend on the complexity of vegetation or crop types involved. For example, in order to classify 2 crop types over a small area with high degree of accuracy, only the best 4 bands may suffice. But when multiple crops are involved, 16 or 20 bands or even all

28 bands (Table 2, Figure 4a) maybe required. In modeling biophysical or biochemical quantities of vegetation or crops, one can compose HVIs (Table 3) based on two band combinations (Table 3) or multiple bands (Table 2, Table 4). It is possible to establish multiple HNB band based indices in modeling crop or vegetation biophysical or biochemical quantities. However, past researches (Thenkabail *et al.*, 2000; Thenkabail *et al.*, 2002; Thenkabail *et al.*, 2004a; Thenkabail *et al.*, 2004b; Bian *et al.*, 2010; Clark and Roberts, 2012; Mariotto *et al.*, 2013; Marshall and Thenkabail, 2014) have shown that R-square values are maximum anywhere between the use of ~3 to 8 bands (Thenkabail *et al.*, 2004b; Mariotto *et al.*, 2013; Marshall and Thenkabail, 2014), beyond which the relationship between the number of HNBs and R-square is asymptotic.

CONCLUSIONS

A summary of the strengths, limitations, and challenges involved in hyperspectral remote sensing (or imaging spectroscopy) of vegetation and agricultural crops is provided in this paper.

The paper identifies optimal HNB- centers and widths (Figure 4b, Table 2) and HVIs (Table 4) that are best for classifying, separating, monitoring, modeling, and mapping vegetation and agricultural crops. Overall, ~15 to 20, but no more than about 28 HNBs (Table 2) provide optimal information in vegetation or crop classification. Typically, HNBs achieve about ~30% higher accuracies compared to 6 non-thermal broadbands in classifying 5 to 12 vegetation or crop categories. Beyond these optimal HNBs, the accuracies asymptote with an increase in the number of HNBs.

There are specific HVIs (Table 3) that best characterize and model vegetation and crop biophysical and biochemical properties. These HVIs are grouped into 6 distinct categories:

1. Hyperspectral biomass and structural indices (HBSIs),
2. Hyperspectral biochemical indices (HBCIs),
3. Hyperspectral red-edge indices (HREIs),
4. Hyperspectral water and moisture indices (HWBIs),
5. Hyperspectral light-use efficiency index (HLUEI), and
6. Hyperspectral lignin-cellulose index (HLCI).

It must be noted, that the use of the first index from each of the six categories is, typically, the best index for the category

“It is obvious that there is a need for Whole Spectral Analysis (WSA) as well as Optimal Spectral Analysis (OSA)”



(i.e., first index in each of the 6 categories in Table 3). Further, HVIs involving multiple HNBs (Table 4) have great promise and need further research. Typically, in biophysical and biochemical modeling ~3 to 8 bands help attain high R-square values before the relationship becomes asymptotic.

It is now reasonable to state, based on meta analysis, that ~12% of the HNBs in EO-1 Hyperion (i.e., for example, ~30 HNBs out of a total of 242 HNBs each of 10 nm wide from 400-2500 nm) are non-redundant for a given application such as in study of vegetation or agricultural crops. This would mean that about 88% of Hyperion bands (e.g., ~212 HNBs out of a total of 242 HNBs) are redundant. However, it must be noted that wavebands that are redundant for one application (e.g., agriculture), may be very valuable in another application (e.g., geology).

It is obvious that there is a need for Whole Spectral Analysis (WSA) as well as Optimal Spectral Analysis (OSA). WSA is of great value under certain conditions such as when: (a) the ability exists to use integrated spectra over a continuum (e.g., integrating spectra over 500 to 600 nm), (b) accurate spectral libraries exist to match class spectra with target spectra from spectral library, (c) spectral signature over an entire spectral range such as 400 to 2500 nm wavelengths are preferred, (d) the Hughes' phenomenon can be overcome by using very large training and accuracy assessment sample sizes, and (e) massive computing power exists to overcome handling very large data volumes. OSA (Table 2) is preferred in situations involving factors such as when: (i) large number of HNBs are redundant (as is often the case for a given application), (ii) overcoming Hughes' phenomenon (e.g., when training samples for classification and accuracy assessment are insufficient in dealing with very large dimensions of hyperspectral data), (iii) specific physiologically meaningful HVIs are required, and (iv) clear efficiency of working with non-redundant bands is meaningful and facilitates rapid applications of data without making significant compromise in classification or modeling accuracies.

“The future of remote sensing is likely to involve regular and routine acquisition of hyperspectral data from which broadbands (e.g., Landsat bands) are simulated. This is a “win win” situation providing data continuity for the past satellites and at the same time providing advanced spectral signatures needed for increased understanding of global vegetation and agriculture”.

It is obvious that there are inadequate hyperspectral libraries at present and there is a clear need to establish hyperspectral libraries of vegetation and agricultural crops that take into consideration a wide array of factors such as, for example, crop types, genotypes, phenology, background influences, and consistency of platform from which the data is acquired.

Spaceborne hyperspectral data acquisition is likely to be a preferred option due to its consistency and global coverage. Issues of cloud cover will be addressed to significant extent through constellations acquiring data throughout the growing period of crops, for example, along with advanced processing schemes.

The future of remote sensing may involve regular and routine acquisition of hyperspectral data from which broadbands (e.g., Landsat bands) are simulated. In such a case, broadbands can be used for data continuity studies of existing systems such as the Landsat or IKONOS or Resourcesat, whereas hyperspectral data and its derivatives (e.g., specific HNBs, HVIs, hyperspectral libraries of species types and crop types) are used for advanced studies of agricultural crop and vegetation classification, monitoring, modeling, and mapping.

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