- 1 Efficiency of different breeding strategies in improving the faba bean productivity
- 2 for sustainable agriculture
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16 Abstract

17 Breeding methods applied to faba bean are either based on self pollination by developing lines under insect proof cages or baed on open pollination by developing lines under natural pollination conditions. 18 19 The purpose of this research is to compare the performance of pedigree selection method (PSM) as a self pollinated breeding method and recurrent selection methods (RSM) and synthetics (SYN) as an 20 open pollinated method). Eleven diverse accessions were used to develop in F6 generation 24 lines 21 using PSM, 35 lines using RSM and nine synthetics from 2012 to 2016. The different developed lines 22 were evaluated in two winter cropping seasons (2014/2015) and (2016/2017) in an alpha design with 23 24 two replications. Significant differences among lines and among breeding methods were obtained for 25 biological and grain yield, days to flowering, days to maturity, branches per plant, pods per plant, hundred seed weight. Synthetic populations had higher yield than RSM lines; whereas RSM lines 26 27 revealed higher yield performance than PSM lines. Multivariate analysis indicates that most of the 28 variation among different lines developed by different methods is due to variation in number of branches, days to flowering, biological and grain yield. Open pollinated cultivars were found to be more 29 appropriate to increase the yield in farmers' fields and may play a critical role in conserving wild 30 pollinators, but ensuring optimal yields might require capacity building for farmers concerning wild 31 32 pollinators.

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34 Key words: faba bean, breeding methods, recurrent selection, pedigree method, synthetic cultivars.

36 Introduction

Faba bean (*Vicia faba* L.) cultivation can be traced back to the beginning of agriculture (Cubero 1973).
It is one of the oldest crops grown in the Fertile Crescent (Caracuta et al. 2015). Presently, faba bean is
one of the most important grain legumes in East Asia, East and North Africa and the Middle East, and

40 is classified as the fourth most widely grown cool season legume (FAOSTAT 2019). The crop is grown

- 41 across a wide agro-geographical region (Bond et al. 1985) in more than 38 different diversified cropping
- 42 systems. It remains an important crop because of its high-yield potential, nutrition-dense grains, high
- 43 protein content and role as a forage crop (Burstin et al. 2011). The major constraints of this crop are 44 yield instability due to several diseases (Maalouf et al. 2013; Maalouf et al. 2016) and various abiotic
- 45 stresses e.g. drought and heat or cold during flowering (Maalouf et al. 2015).
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47 Faba bean is a partial allogamous crop and is an entomophilous species, it requires insect pollinators to ensure appropriate production. Though the pollination impact of faba bean has been identified as only 48 "modest" (production reduced by 10 - <40% in case of absence of pollinators, Klein et al. 2007), 49 50 pollination can highly affect yields of faba bean (Aouar-sadli et al. 2008; Nayak et al. 2014; Andersson et al. 2014) and even balance negative effects of heat stress (Bishop et al. 2016). Pollinator decline 51 (Biesmeijer et a. 2006; Potts et al. 2016; Hallmann et al. 2017) can cause collapse of plant-pollinator 52 53 networks (Lever et al. 2014) and affects not only agriculture, but all ecosystem services to a high extent 54 and can cause simultaneous interlinked poverty spirals (Christmann 2019b). Pollinator protection is crucial for humankind (Christmann 2019 a, b; Potts et al. 2016). Egan et al. (2018) warned that breeding 55 can negatively affect the attractivity of crops for pollinators and the health of pollinators, they suggested 56 these potential impacts should be studied more. Bailes et al. (2018) analyzed different sugar 57 concentrations and the force needed to open the flower of faba bean. This study can widen the spectrum 58 59 of breeders' research. The color of petals is also a main determinant to make a flower attractive for 60 pollinators, Miguel-Peñaloza et al. (2019) showed the impacts of color on the example of another 61 Fabacaea (Desmodium grahamii).

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Currently, faba bean is pollinated mainly by wild bees, e.g. carpenter bees, bumblebees (Bombus 63 lapidarius, B.pascuorum, B. hortorum), mason bees, longhorn bees, digger bees (Anthophora plumipes), 64 leafcutter bees and hoverflies; honeybees harvest faba bean nectar as well, but can face problems to 65 open the flower and pollinate effectively (see also Marzinzig et al. 2018; Aouar-sadli et al. 2008; Klein 66 et al. 2007). Marzinzig et al. (2018) highlighted the importance of specialized wild pollinators with long 67 tongue like B. hortorum as effective pollinators of faba bean, but the wide range of species promotes 68 faba bean pollination also in regions, where specific species are not available (e.g. bumblebees prefer 69 70 moderate temperature and do not live in Ethiopia, which is an important producer country).

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72 However, are current fields - research sites and agricultural landscapes managed by farmers attractive for wild pollinators or can the fields be improved on large scale? Wildflower strips (WFS), 73 74 the most common approach for pollinator conservation in agricultural lands, are not favored by farmers even if a compensation is paid (Kleijn et al. 2019). As WFS require external funds to motivate farmers, 75 they are not an option for Low and Middle Income Countries (LIC, MIC) producing faba bean on large 76 scale like Ethiopia or India. Protection on large scale is only possible in LIC and MIC, if the habitat 77 enhancement creates a win-win-situation for farmers and the environment (Christmann and Aw-Hassan 78 2012; Christmann et al. 2017; Christmann et al. in review). 79

80 The TEEB-based Farming with Alternative Pollinators (FAP) approach (Christmann et al. in review; Christmann 2019a; Christmann et al. 2017; Christmann and Aw-Hassan 2012) does not require 81 rewards for farmers, as it induces higher net income per surface. FAP uses only marketable habitat 82 83 enhancement plants (MHEPs) instead of weeds and includes nesting and water support out of local materials. Goulson et al. (2015) clearly pointed out, that pollinator decline is not only caused by lack of 84 floral resources (addressed by WFS), but also by parasites and pesticides. Different to the WFS-85 approach, FAP has also an explicit focus on capacity building for farmers (Christmann et al. 2017; 86 Christmann et al. in review), this is essential. The multidisciplinary FAP approach has potential for 87 scalability even in LIC producing faba bean. Broad introduction of FAP-faba bean planting instructions 88 might contribute to biodiversity protection in agricultural lands (Aichi target 7) and higher climate 89 change resilience. 90

However, it requires broader collaboration between scientists, agricultural trainers and farmers than just providing enhanced seeds: capacity building concerning pollinator diversity, habitat requirements and sustainable field management. Whereas breeders of pollinator-independent crops can work far from farmers, in laboratories and stations, breeders and researchers working on pollinator dependent faba bean need to engage also in capacity building for farmers, because the knowledge of farmers on pollination and pollinators is low and agricultural practices can undermine pollination services (Christmann et al. in review; Aizen et al. 2019).

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99 The major breeding purpose in faba bean is to increase yield and yield stability through combining different donors for resistance to biotic and abiotic stresses and increasing the level resistance or 100 tolerance to biotic and abiotic stresses. As the value of the outcrossing rate varied from 4 to 84% (Bond 101 and Pope 1974; Suso et al. 1999a, b), breeders tend to develop breeding lines using different breeding 102 methodologies. The first breeding methods (Pedigree methods, Single Seed Descend) consist of 103 developing pure lines with high level of autofertility. Several authors have proposed in their breeding 104 programs to transform the mating system of faba beans towards autogamy (Kambal et al. 1976; Adcock 105 and Lawes 1976; Bozzini and Chiaretti 1999) to develop inbred lines. These lines are uniform and are 106 specifically adapted to organic farms (Ghaouti et al. 2008). However due to the partially allogamous 107 nature of the crop and its susceptibility to inbreeding depression, it might not be easy to handle faba 108 bean by the pedigree breeding method or any other breeding method used on self-pollinating crops 109 (Lawes et al. 1983). The second approach is the application of recurrent breeding method as proposed 110 by Hallauer (1981) and Rowland (1987). Hallauer (1981) suggested that recurrent selection would be a 111 useful breeding method for preventing the loss of potentially desirable genes where the introduction of 112 new germplasm and selection for adaptation are occurring at the same time. The third option is to 113 develop synthetic varieties (Link et al. 1994a; Maalouf et al., 1999; Maalouf et al., 2002). Both recurrent 114 and synthetic breeding methods may lead to exploit heterosis in faba bean cultivars and then to enhance 115 yield and yield stability (Stelling et al., 1994, Link et al. 1994b, Abdelmula et al. 1999; Arbaoui and 116 Link 2008), as well as to increase the resistance or tolerance to major abiotic (Gasim and Link 2007; 117 Terzopoulos et al. 2008) and biotic stresses (Maalouf et al. 2008). 118

- 121 selection, Pedigree selection and Synthetic cultivars) in improving sustainable yield, and study which
- 122 traits can differentiate in the performance of different breeding methods
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¹²⁰ The purpose of this research is to evaluate the efficiency of three breeding methodologies (Recurrent

124 **Materials and Methods**

- 125 This study includes eleven faba bean accessions, which originated from Egypt, Morocco, China, United
- Kingdom and ICARDA (Table 1). These accessions were used to develop six crosses which were 126
- 127 advanced into recurrent and pedigree lines in open pollination and under insect proof cages, respectively.
- The open pollination trials were conducted in open field to allow honeybees and high diversity of wild 128
- 129 pollinators to provide services available near to the experimental station. The trials under insect proof
- cages was covered by tent to avoid bees to pollinate the breeding lines. A total of ten field experiments 130 were conducted from 2012/2013 to 2016/2017 at ICARDA Terbol Research Station, Lebanon, Bekaa
- 131 Valley (35.9 N, 33. 8E), altitude 890. These experiments are summarized as follows: 132
- 133 1- Pedigree and recurrent selection trials
- 134 (a) Two experiments were conducted in augmented design for 2013 summer season (June to October)
- to evaluate the performance of 150 F3 lines derived in open pollination (75 F3 lines) and in self-135
- 136 pollination (75F3 lines). Each line was planted in single row with 2 meters length and 10 seeds per line).
- Best performing single plants per line were selected in both conditions. 137
- 138 (b) Two experiments were conducted in augmented design for 2013/2014 winter season (November to June) to evaluate the performance of F4 single plant progenies selected in previous season. Lines 139 selected in open field were evaluated in open fields to expose them to pollinators and lines selected 140 under insect proof cages were planted under cages to avoid pollination. Each single plant was planted 141 in single row (10 seeds per line). In self-pollination conditions, pedigree methods were applied for 142 further selection while in open pollination recurrent selection was used and reserve seeds of each single 143 144 plants were multiplied under cages.
- 145 (c) Two experiments were conducted in alpha lattice design for 2014 summer season for F5 single plants derived in open pollination and in self-pollination and planted in single row (10 seeds per line). In self-146 pollination conditions, pedigree methods were applied for further selection while in open pollination 147 recurrent selection was used. 24 single rows selected under insect proof cages by pedigree method and 148 35 in open pollination using recurrent method in open field. For recurrent method, multiplied reserve 149
- seeds were used for further evaluation. 150
- 151 The intensity of selection varied from 1.37 to 1.52 from F3 to F5 generation in recurrent selection methods and from 1.24 to 1.84 for pedigree method (Table 2) 152
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- 154 2- Synthetic development trials
- (a) Topcross design, which includes the eleven parents and a tester with broad genetic base (mixture of 155
- all lines) was conducted in the winter of 2013/2014. Each parental line was planted 25 times randomly 156
- in single hills (3 plants per hill). One plant was covered to by insect proof cages during flowering time 157
- and another one left in open pollination conditions. 158
- 159 (b) The resulting progenies were evaluated during the summer of 2014 in open field and under screen houses to develop the synthetic varieties; 160
- 161 3- Evaluation trials
- 162 Two experiments were conducted in alpha design with two replications in two winter seasons
- (2014/2015 and 2016/2017) to compare the performance of the best 35 recurrent lines obtained in open 163
- field, 24 pedigree lines under screen houses, 9 synthetics and the 11 parental lines. The lines were 164
- 165 planted in 2 rows with 4 meters length and 0.45 m distance and 10 cm between seeds. Data were recorded
- for overall plot. Syno was evaluated 2014/2015 and Syn2 in 2016/2017 166

167 4- Recorded data

168 The following data were recorded in all selected plants per plots for trials conducted from F3 to F5 and

- 169 plot data were recorded in the evaluation trials in 205/2016 and in 2017/2018. The following recorded
- 170 data was based on ontology described in Maalouf F (2018) a) the phenological traits recorded are : days 171 to flowering (DELP) does to maturity (DMAT) b) Crain wield components (Crain wield hold to flow the start (CN)
- to flowering (DFLR), days to maturity (DMAT), b) Grain yield components Grain yield kg/hectare (GY)
 and its components: Pods per plant (PNPLT), number of seeds per pod (SNP), number of seeds per plant
- 172 and its components. Fous per plant (FWEF), number of seeds per pod (SWF), number of seeds per plant 173 (SNPLT), hundred seed weight (HSW), single-plant yield (GYPLT), c) Biological yield kg/ha (BY) and
- 174 its components: Plant height (PLHT) and number of branches per plant (BRPLT)
- 175 5- Biometric analysis

176 Data from each augmented design experiment were examined for possible spatial variability in terms of a set of nine models. The best model was selected by using the method described by Singh et al. (2003). 177 The spatial models accounted for the effects of complete randomized design (CRD), linear trends and 178 179 first-order autocorrelations in the plot errors along rows and columns. Genotypic variability was assessed 180 in terms of *P-values* (probability of observing more extreme data than can be observed under the hypothesis of no genotypic variation) using the Wald statistic. The best linear unbiased predicted 181 182 estimates and their estimated standard errors were obtained. Data from the Alpha design from the two 183 experiments in 2014/2015 and in 2016/2017 winter season were analyzed using an incomplete statistical 184 method procedure. Genetic variance and heritability were estimated for all evaluated traits in the three 185 winter seasons (2013/2014; 2014/2015 and 2015/2017) were computed by genetic module of Genstat 2019 using the method of residual maximum likelihood (REML) model and Best unbiased estimated 186 187 value. Response to selection (RS) is estimated as the difference of mean phenotypic value between the 188 offspring of the selected parents and the whole parental generation before selection (Falconer et al., 189 1960). Synthetic value was estimated using the below model described by Maalouf et al. (1999), 190 assuming that all lines have the same level of outcrossing rate:

191 $Sv_i = \frac{1}{k}v_i + \frac{k-1}{k}2gca_i$

192 Where Sv_i is the synthetic value of parent lines; v_i is parental value estimated the yield of parents under 193 insect proof cages; gca_i is the general combining ability estimated by the offspring of Topcross in open 194 field.

195 Overall differences between breeding methods were investigated by Principal Component Analysis (PCA) using best linear unbiased phenotype (BLUP) of the data obtained by the two experiments 196 197 conducted in 2014/2015 and 2016/2017. The PCA is to determine the differences between synthetics, recurrent, pedigree lines and parents, to determine which traits explain most of the variations and 198 199 determine whether the differences in breeding methods are associated with the patterns of variation of 200 developed lines. The various statistics including coefficient correlation between eigen value and 201 evaluated traits and genetic parameters were computed through REML of GENSTAT Release 18 202 statistical software (Goedhart and Thissen, 2018).

- 203
- 204 **Results**

205 **1- Pedigree and recurrent selection methods**

206 **1.1- Genotypic variation**

207 Genotypic variation relative to experimental error variation is presented in terms of the P-value 208 indicating its statistical significance (Table 2). Significant differences among lines developed by the 209 recurrent method were detected for DFLR, DMAT, PNPLT and GYPLT across generations (F3-F5). On the other hand, significant differences among lines developed by the pedigree method were observed for 210 DMAT, BRPLT, PNPLT across generations (F3-F5). In both methods, SNPLT was significant in only 211

- the F3 and F4 generations. The selection of best lines was based on early flowering and maturing time, 212
- and on plant height and pods number per plants, compared to the best checks. These results indicated 213 wide range of variation between populations for each trait (DFLR, DMAT, PNPLT and GY). 214
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216 **1.2-** Genetic parameters

The Genetic variance and heritability were presented in Table 3 for the different studied traits in the 217 three winter seasons. The genetic variances were higher in recurrent selection for DFLR, DMAT, HSW 218 and GY in recurrent selection than pedigree methods while genetic variance for PNPLT and SNPLT 219 were higher in pedigree methods than in recurrent. The estimated heritability h^2 was higher in case of 220 recurrent selection in more than one season for DFLR, DMAT and HSW. For yield (GYPLT and GY 221 kg/ha) and biological yield (BY), the genetic variance and heritability were higher in recurrent selection 222 than in pedigree methods. These results indicated that better selection for seed size and phenological 223 traits, yield and biological yield can be achieved in recurrent selection (presence of insect pollinators). 224 however better selection for higher number pods and higher number of seeds might be achieved in 225 pedigree methods under insect proof cages (self-fertility). 226

228 **1.3-** Response to selection

The response to selection (RS) in both recurrent and pedigree selection methods calculated from the 229 230 means of selected parents and its offspring are presented in Table 4. RS varied from 4.4% to 39.2% for grain yield in the populations developed by the recurrent selection method in 2014/2015. The population 231 S2012-85 demonstrated the highest response to selection among the population improved by the 232 recurrent selection method. In the pedigree selection method, RS varied from 22.3% to 25.4% for the 233 GY. The population S2012-018 had higher RS value than the other populations improved lines by this 234 235 method. 236

237 2- Selection of best parental lines for synthetic development

Significant differences among lines were observed between parents for DMAT, PLHT, SNP and GY in 238 open field and insect proof cages. Synthetic value was estimated using GY data in open field and the 239 parental value under screen houses. 11 synthetics were formed with 3 to 11 parents using the ranking of 240 parental lines by the synthetic value (Table 5). 241

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243 3- Comparison of different breeding methods.

244 3.1- Univariate analysis: Average value per traits and methods, standard error and p-value comparing different breeding methods in two seasons are presented in Table 6. High significant differences were 245 observed among breeding methods for BY, GY, HSW and BRPLT in 2014/2015 and 2016/2017 seasons. 246 The average GY, BY and HSW were significantly higher in developed synthetics and recurrent lines 247 than the pedigree and parental lines, which confirms the importance of cross pollination in increasing 248 the variance between breeding methods for most studied traits. The synthetic lines flowered significantly 249 earlier than recurrent, pedigree and parental lines in both seasons. 250

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- 252 Highly significant differences (p<0.001) among lines were observed for BY, GY, DFLR, DMAT, HSW
- 253 and SNP in 2014/2015 and 2016/2017 seasons, which indicate too wide genetic base in the studied

254 populations for most of the measured traits. Mean values for all traits for best selected lines by different methods, average of parent lines and best check and standard error are presented in Table 6. Grains yield 255 (GY) of tested parents varied from 2,060 to 3,553 kg/ha in 2015 and from 1,505 to 2,802 kg/ha in 256 257 2016/2017. GY of improved lines by pedigree methods varied from 1,284 to 3,100 kg/ha among lines developed by pedigree methods in 2014/2015 and from 2,151 to 3,607 kg/ha in 2016/2017 seasons. In 258 both seasons, the lines (PE32, PE33, PE39, PE40) showed significantly higher yields than the average 259 260 of the parents. For the lines developed by the recurrent method, the average GY varied from 1,649-3,710 261 in 2014/2015 and from 1,922 to 3,402 kg/ha in 2016/2017. Among the 30 developed recurrent lines, the yield of each of R1, R6, R9, R16, R22, R25, R28, R29, R45, R47 and R53 had significantly higher yield 262 than the average of parents. GY varied from 2,017 to 3,488 kg/ha for first synthetic generation (Syno) in 263 2014/2015 and from 2,881 to 3,523 kg/ha for second synthetic generation Syn1 in 2016/2017. 264

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266 **3.2- Multivariate analysis,**

267 The two Principal component analyses (PCA) were used to determine which traits would differentiate between the different lines obtained by different breeding methods. The correlation coefficients between 268 the two first principal component analyses and the studied traits and cumulative variance are reported 269 for evaluation trails conducted in 2014/2015 and 2016/2017 in Table 8. In the first year (2014/2015) 270 which includes, 74 lines (Syno, pedigree and recurrent lines, as well as parent lines, two principal 271 components were found to explain 99.9% of the total variability. The PCA1 accounted for 90.3% of the 272 variation and it was equally associated with BY and GY. A high PCA1 value corresponds to high values 273 274 of grain yield and biological yield. PCA2 accounted for 9.6% and was almost exclusively associated to grain yield. Higher values of PCA2 correspond to higher GY. In the second year (2016/2017), which 275 includes, Syn1, F6 pedigree and recurrent lines, as well as parent lines with best check, the first two 276 principal component analysis explained 99.8% of the total variability. The PCA1 accounted for 89.6% 277 of the variation and it was positively associated with biological yield and grain yield, and negatively 278 with days to flowering. A high PCA value corresponds to high grain yield and biological yields as well 279 as a high value of number of branches per plant and short duration of flowering time. PCA2 accounted 280 for 10.2% of total variability and was almost exclusively associated to grain yield. Higher PCA2 values 281 282 correspond to higher GY (Figure 1)

Overall, multivariate analysis of the data indicated the most variation between lines developed by different breeding methods (synthetic lines, recurrent lines, pedigree lines) and parental lines was found to be related to biological yield and grain yield, number of branches and days to flowering. Grain yield was also reflected in the second PCA with little variation (Table 8)

287 In both seasons, the evaluated lines are clustered according to their performance. Only biplot of 2016/2017 season is presented as similar results were obtained in both seasons. The two first axis of the 288 289 biplot allows location of the evaluated lines to be visualized in the space. During 2016/2017, the 290 developed lines were plotted in a two-dimensional diagram and reported in Figure 1. The first PCA1 showed clear differences among developed lines differentiated by their breeding methods with respect 291 to improvement made from their parents. The right hand of this axis is characterized by lines developed 292 293 by the three studied breeding methods that have grain and biological yield, number of branches and short flowering lines. This axis chiefly indicates that synthetics have higher grain and biological yield and 294 295 shorter flowering period than other developed lines. Most of the recurrent lines are in the right hand of the axis, but well separated from the synthetic. With exception of line R6, all other recurrent lines had 296

lower BY and GY than the synthetic lines. Most of the pedigree lines coincide with their respectiveparents and fall in the left part of the vertical axis.

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300 Discussions

301 Faba bean as a partial allogamous crop and entomophilous species can play a critical role in sustainable agriculture and in conserving wild pollinators in natural ecosystems. Faba bean breeders are seeking to 302 determine which breeding strategy is more effective in order to achieve high yielding lines of faba bean 303 304 varieties. This might include assessing the impact of breeding on the attractivity for a broader range of pollinator species or more targeted: breeding for higher nectar content, more diverse colors of petals or 305 easer to access flowers might enhance the attractivity of faba bean in future. Studies comparing breeding 306 strategies on faba bean have not been widely undertaken in recent years, as the recurrent selection 307 method is barely applied on faba bean populations, whereas pedigree selection and synthetic cultivars 308 are regarded as a major breeding method for this partial allogamous crop (Ibrahim et al. 2015; Maalouf 309 310 et al. 2002). We therefore conducted this study to compare the efficiency of different breeding methods 311 in improving faba bean productivity.

Wild bees are particularly important as crossing agents, they contribute to the expression of heterosis-mediated yield, yield stability and resilience of faba bean crop (Maalouf et al. 2008; Aouarsadli et al. 2008; Palmer et al. 2009; Suso et al. 2005; Suso and Maalouf 2010; Nayak et al. 2014; Andersson et al. 2014; Bishop et al. 2016). In that sense, pollinators are natural breeders of the highest importance (Christmann and Aw-Hassan 2012). Development of open pollinated varieties by using recurrent selection methods (Rowland 1986) and by developing synthetics (Stelling et al. 1994, Maalouf et al. 1999) may ensure floral display diversity favoring insect pollination (Suso et al. 2005).

319 Recurrent selection has been used to improve cross-pollinated crops, especially to improve the performance of maize populations (Viana 2007). It has also been shown to be efficient in improving rice 320 productivity (Morais Júnior et al. 2017). The first report on utilizing recurrent selection method in faba 321 bean was described by Rowland (1987), who revealed that a recurrent selection program consists of 322 growing superior lines in open pollinated random mating nurseries (RMN); selecting heavily podded 323 plants from these lines; evaluating the offspring for yield; and replacing inferior lines in the RMN with 324 selections deemed to be superior (Rowland, 1987). The selected lines showed a positive genetic gain of 325 1.8% per year. It might be possible that most single plants selected in open pollinated conditions were 326 hybrid plants as hybrid faba bean plants are more autofertile than inbred plants (Drayner, 1959) and 327 therefore it should produce more pods on a plant, which was the main selection criterion used in our 328 329 breeding program, which was similar to the method described by Rowland et al. (1986). Our results indicated higher genetic variability for grain yield and for seed size in recurrent than synthetics and 330 higher narrow sense heritability for most of studied traits. Higher yields in lines selected by recurrent 331 selection than lines developed by pedigree method was observed, as the response to selection in recurrent 332 lines was higher than those obtained by pedigree method. The possible explanation for this result may 333 be the greater partitioning of additive genetic variance within populations improved by recurrent 334 selection than those developed by pedigree selection. This led to the accumulation of desirable genes 335 across generations, for the lines improved by recurrent selection rather than the lines improved by 336 pedigree selection. 337

338 Synthetics cultivar may produce higher yield performance than breeding lines developed 339 recurrent varieties as our results indicated. Classical breeding studies require a longer time to select individual clones than the development of synthetic varieties (Flajoulot et al. 2005). Our results indicate that the lines developed by the synthetic method yielded more than those developed by the recurrent selection method. The major reason for this might be due to the exploiting of heterosis and heterogeneity in faba bean synthetic varieties (Poulsen 1981, Stelling et al. 1994). There is also an impact for additive gene action to increase the yield in synthetic as there is no random mating among the selected parents but depending on the floral discovery, attraction and reward traits of the parents.

346 The partially allogamous nature of faba bean and its susceptibility to inbreeding depression (Drayner, 1959) and its autofertility characteristic meant that it could not easily be handled by the 347 pedigree breeding method or any other breeding method used on self-pollinating crops (Lawes et al. 348 1983). Evaluation of the pedigree method, single seed descend, and mass selection have been conducted 349 by different researchers (Ahmed et al. 2008; Hawtin 1982; Nassib et al. 1978). These compared methods, 350 which are common methods for self-pollinated crops, revealed that the pedigree method was the most 351 appropriate for faba bean (Ahmed et al. 2008). In addition, some authors have proposed transforming 352 353 the mating system of faba beans (partial allogamy) towards autogamy and developing inbred lines that 354 are pollinator independent, which are especially useful for organic agriculture uniformity and specific adaptability (Ghaouti et al. 2008). Selection for a high self-fertility degree might represent an important 355 advantage for simplifying the breeding and facilitating seed production technology. Our results indicated 356 that the number of selected lines obtained by pedigree methods was lower than those obtained by 357 recurrent selections method, and the average yield gains in lines obtained by pedigree method were 358 much lower than those developed by recurrent and synthetic methods. 359

360 Multivariate analysis provides a useful mechanism for pinpointing the components that determine the components' variation when considering several traits simultaneously. Principal 361 Component Analysis (PCA) indicates that most of the variation among different lines developed by 362 different methods is due to variation in biological and grain yield. According to our results, variation in 363 yield among different lines developed by different breeding methods appeared to be based on the number 364 of branches, biological yield and was negatively associated with days to flowering. In addition, there is 365 little association with hundred seed weight, number of seeds and number pods per plants. Some authors 366 found that grain yield is associated with number of pods per plants (Schill et al. 1998). Others, however, 367 reported that hundred seed weight is associated with grain yield (Cubero and Martin 1981; Maalouf et 368 al. 2002). In our study, we found that the variation in grain yield, biological yield, number of branches 369 and flowering times explained the differences among lines developed by different breeding methods. In 370 open pollinated varieties, such as synthetics and recurrent lines, yield might be associated with different 371 functional floral traits such as keel petal dimension and floral display (Suso and del Rio 2015). 372 Therefore, integrating an optimized keel dimension with sexual dimensions and floral display-based 373 374 approaches could help enhance seed production, thereby improving faba bean food production and ecological services (Hajjar et al. 2008). Floral display and, to a lesser extent, floral design, were also 375 considered as plant traits that are useful to improve yield (Suso et al. 2005). Recently, floral traits, in 376 combination with pollinator behavior, have been proposed to be a useful approach to increase the level 377 of cross-pollination (Suso and Maalouf 2010). These pollinator-mediated traits may play a critical role 378 379 in attracting pollinators and increasing faba bean production.

380

381 *Future implications*

382 Breeding faba bean for sustainable agricultural production might target preserving sustainable 383 pollinators to enhance biodiversity protection, and to be as resilient as possible to climate change effects (Veloso et al. 2016). Pollinator independent faba bean cultivars such as self-pollinated cultivars, for instance, have higher climate change resilience, because they do not depend on insect pollinators and thus on favorable weather conditions allowing these insects to provide service, but do they adequately support pollinator protection? Large faba bean fields should provide nectar and pollen and thus sustain pollinators. Within human food, protein-rich faba bean might become even more essential as we have to shift to a balanced diet respecting the boundaries of our planet (Springmann et al. 2018).

Faba bean open pollinated cultivars are more adapted to drought-prone environments (Gasim and Link, 2007) and more tolerant to biotic stresses (Maalouf et al., 2008) and abiotic stresses (Bishop et al. 2016) than those developed under self-pollination as there is accumulation of additive genes. Higher seed size and higher yield can be achieved through the use of recurrent selection methods

394 while higher number of pods and number of seeds per plants can be obtained with pedigree methods.

395 In addition, open pollinated cultivars can contribute to pollinator protection; the risks can be balanced by the FAP approach (Christmann and Aw-Hassan 2012) without external compensation for 396 farmers (Christmann et al. 2017; Christmann 2019a; Christmann et al. in review). Local availability of 397 wild bees might promote stable faba bean yields in the course of climate change, but how to get farmers' 398 399 collaboration to restore agricultural lands as pollinator habitat without payment? The first FAP trials in Morocco on attracting higher pollinator diversity to faba bean fields using the FAP-approach are 400 promising concerning productivity, net income, reduction of pest abundance and acceptance by farmers. 401 The trials are currently under replication in four agro-ecosystems, publication is planned for 2020. 402 However, shift to breeding more pollinator dependent faba bean either widens the tasks of breeders or 403 requires further staff to ensure that farmers enhance capacity concerning threats and habitat requirements 404 of wild pollinators and can create the optimal environment for these faba bean lines. 405

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407 Producing faba bean with FAP approach can contribute to the protection of biodiversity in agricultural lands and to enhanced climate resilience of farming systems. Also environmental 408 governance agreements between farmers in a region concerning crop rotation of cereals and faba bean 409 ensuring that every 2000m there will be a faba bean field between cereal monocultures might contribute 410 to pollinator protection. Breeders improving the attractivity of faba bean for pollinators might contribute 411 412 in various aspects (color of petals, sugar content of nectar, easier access to the flower). We suggest that future research focuses more on the interplay of breeding and the environmental governance approach 413 414 FAP.

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420

421 **Conflict of interest**

422 Authors confirm that there is no conflict of interest to declare.

423

424 Authors' contribution

- 425 Kifah Gharzeddin conducted the experiments on evaluation of different breeding methods from 2012 to
- 426 2016 and wrote the draft paper. Narjes Ali Jamal Dine conducted the experiment during 2016/2017,
- 427 while preparing her Master at Lebanese University; Boulos Khoury reviewed the paper and supervised
- 428 Kifah PhD. Lynn Abou Khater contributed to the experiment's implementation, data collection and
- 429 analysis and to preparation of tables and list of references. Stefanie Christmann contributed to the
- pollinator related paragraphs in the introduction, future implication and integrated approach sections.
 Fouad Maalouf supervised the implementation of all experiments at ICARDA and made a major
- Fouad Maalouf supervised the implementation of all experiments at ICARDA and made a contribution to the paper writing and editing. All authors provided critical feedback to the paper.
- 433

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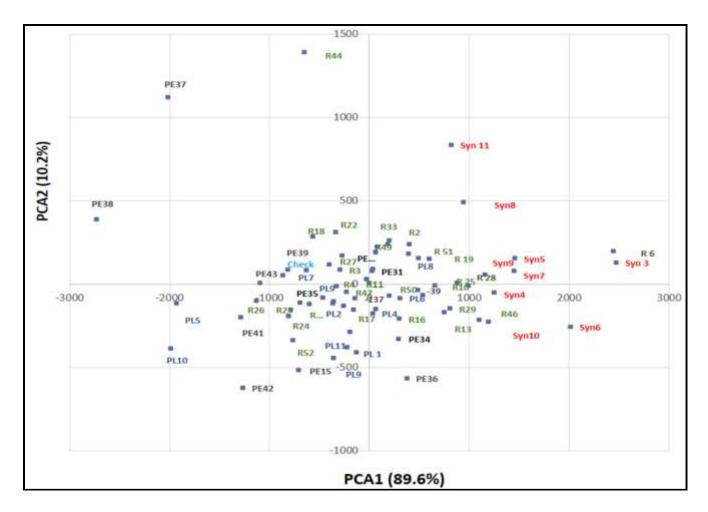
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598

599 Figure 1: Biplot for Principal Component Analysis of 74 lines evaluated in 2016/2017 and showing the

600 distribution of lines developed by synthetic (Syn), pedigree method (PE), recurrent selection (R) and 601 parental lines (PL)

Table 1: Parental lines used in hybridization for development of F1 for further selection in differentbreeding methods.

Serial number	Line name	Pedigree	Origin
PL1	S2011-111	Hudieba 93 x Sel. 2010 TER.192-1	Sudan/ICARDA
PL2	S2011-112	Wadi-1 x Sel. 2010 TER 192-1.	Egypt/ICARDA
PL3	Nubaria2	ILB1550 X Radiation 2095/76	ICARDA/Egypt
PL4	Misr2	F402XBPL710	Egypt/Ecuador
PL5	Aguadolce	ILB1266	North Africa
PL6	Atuna	Population	Egypt
PL7	Sel. Br./20640-1/2010	B7/TH2009/HBP/S0/2006	ICARDA
PL8	Sel. B7/ F7/8975/05	HBP/ L.8985 / F7- 2005	ICARDA
PL9	WRB767-3-1-2-08	White flower Reina Blanca	England
PL10	S2011-107	Sel. Br./20640-1/2010 x Sel.2010 Cold 679-11	ICARDA/china
PL11	Sel.2010- Cold 679-11	ILB0-132225	China

605

Table 2: Spatial model analysis performed for detecting significance differences of genotypic

607 variation in phenological and agronomical traits, expressed as P-value in development of lines

608 through pedigree method) and recurrent selection and selection intensity (*i*)

Traits	R	ecurrent select	ion	Pedigree method				
	F3	F4	F5	F3	F4	F5		
DFLR	<0.001	0.001	0.0029	0.326	0.687	0.0029		
DMAT	0.004	<0.001	0.0003	0.002	0.003	0.0003		
BRPLT	<0.001	0.041	1	<0.001	<0.001	0.0001		
PHLT	<0.001	0.472	0.1469	<0.001	0.596	0.1469		
PNPLT	0.069	<0.001	0.002	0.025	0.023	0.0019		
SNP	0.907	0.472	1	0.421	0.005	1		
SNPLT	0.05	0.025	0.9996	0.013	0.074	0.9996		
HSW	0.1	0.136	0.4506	0.004	0. 53	0.4506		
GYPLT	0.01	0.015	0.05	0.076	0.283	0.006		
i	1.37	1.54	1.54	1.24	1.36	1.84		

609 DFLR: days to flowering, BRPLT: number of branches per plant, PHLT: plant height, DMAT: days

to maturity, PNPLT: pods per plant, SNP: number of seeds per pod. SNPLT: number of seeds per

611 plant, HSW: hundred seed weight, GYPLT: single-plant yield. F3 conducted in summer 2013, F4 in

612 winter 2013/2013 and F5 in summer 2013.

		Pe	edigree sel	ection n	nethod			Rec	urrent sele	ction me	ethod	
Trait	2013/2014		2014/2015		2016	/2017	2013/2014		2014/	2015	2016/2	2017
	σ^2	h ²	σ^2	h ²	σ^2	h^2	σ^2	h ²	σ^2	h ²	σ^2	h ²
DFLR	0.00	0.00	2.993	0.27	2.893	0.62	0.361	0.38	1.129	0.15	1.33	0.34
DMAT	0.00	0.00	3.707	0.61	1.41	0.34	10.49	0.44	4.149	0.87	1.735	0.43
HSW	139	0.25	65.80	0.14	83.63	0.70	315.7	0.64	166.24	0.65	166.7	0.66
BRPLT	3.17	0.28	0.088	0.07	0	0.00	3.713	0.49	0.00	0.00	0.00	0.00
SNPLT	45	0.32	68	0.34	103.9	0.37	25.6	0.05	21.01	0.26	0.00	0.00
PNPLT	128	0.36	0.00	0.00	19.64	0.45	25.72	0.26	1.99	0.11	4.91	0.19
SNP	0.12	0.41	0.018	0.04	0.1947	0.6411	0.14	0.48	0.01	0.05	0.03	0.15
GYPLT	35.2	0.15	NA	NA	NA	NA	88.1	0.18	NA	NA	NA	NA
BY	NA	NA	181511	0.11	471687	0.37	NA	NA	395369	0.33	185792	0.30
GY	NA	NA	86225	0.17	NA	NA	NA	NA	47716	0.21	45708	0.32

Table 3: Genetic variance (σ^2) and narrow sense heritability (h^2) estimated in three winter seasons for Pedigree and recurrent selection methods

617 DFLR: days to flowering, DMAT: days to maturity. BRPLT: number of branches per plant, , PNPLT:

618 pods per plant, SNP: number of seeds per pod. SNPLT: number of seeds per plant, HSW: hundred

619 seed weight, GYPLT: average single-plant yield. BY: biological yield kg/ha; GY: grain yield Kg per

620 ha. NA: data not available

621

Table 4: Response to selection R in both methods estimated based on data collected in 2014/2015

Population	Pedigree	DFLR	DMAT	BRPLT	PNPLT	SNPLT	SNP	HSW	GY		
			Recurrent Selection								
S2012-001	$PL1 \times PL2$	0.15	-1.55	-0.45	3.35	-1.66	-0.10	9.77	142.20		
S2012-018	$PL3 \times PL8$	-2.33	1.58	-0.44	1.20	3.79	0.11	2.98	339.00		
S2012-019	$PL4 \times PL8$	-1.00	-0.13	-0.87	0.89	-2.41	-0.50	35.12	834.37		
S2012-079	$PL5 \times PL9$	-2.92	-0.50	0.33	4.47	3.10	-0.75	6.27	437.83		
S2012-085	PL6×PL10	-0.75	-0.17	-0.75	1.75	14.59	0.74	-5.40	1059.00		
S2012-133	PL7×PL11	-2.85	-2.33	-0.42	-5.05	-3.08	0.59	19.75	177.67		
			1	1	Pedigree	Selection mo	ethod	I	1		
S2012-001	$PL1 \times PL2$	3.75	2.25	-1.25	6.01	7.87	-0.61	-16.05	182.00		
S2012-018	$PL3 \times PL8$	-1.13	2.75	-0.25	0.24	6.58	0.56	-4.58	438.75		
S2012-019	$PL4 \times PL8$	-1.63	0.88	-1.63	0.40	-3.28	-0.53	18.43	345.50		
S2012-085	$PL5 \times PL9$	1.50	1.38	-0.75	2.03	9.75	0.41	-12.88	475.25		
S2012-133	PL6×PL10	-1.50	-1.00	-0.75	-2.61	6.44	0.82	-21.9	-601.50		

623 between F5 and the average of parental lines

DFLR: days to flowering, DMAT: days to maturity. BRPLT: number of branches per plant, , PNPLT:
pods per plant, SNP: number of seeds per pod. SNPLT: number of seeds per plant, HSW: hundred
seed weight; GY: grain yield Kg per ha.

627

Table 5: Estimation of synthetic value of faba bean progenies of Topcross design evaluated in off

season 2014 and developed synthetics lines from 3 to 11 parents based on the ranking of parent lines
by the estimated synthetic value (Svi)

Entry	SVi	Rank	Syn(3)	Syn(4)	Syn(5)	Syn(6)	Syn(7)	Syn(8)	Syn(9)	Syn(10)	Syn(11)
PL1	376.5	2	Х	Х	Х	X	X	X	X	X	X
PL2	387.1	1	Х	Х	X	X	X	X	X	Х	X
PL3	-133.8	7					X	X	X	Х	Х
PL4	10.6	5			X	X	X	X	X	Х	Х
PL5	-299.6	10								Х	
PL6	-310.2	11									Х
PL7	2.5	6				X	X	X	X	Х	Х
PL8	-240.8	9						X	X	Х	Х
PL9	-146.2	8							X	Х	X
PL10	197.9	3	Х	Х	X	X	X	X	X	Х	Х
PL11	155.88	4		Х	Х	X	X	X	X	Х	X

Table 6: Average, stand error and probability of significance for different traits among different methods
 in two seasons 2014/2015 and 2016/2017

Methods	BY	GY	DFLR	DMAT	HSW	BRPLT	PNPLT	SNP	SNPLT
				2	2014/2015				
Recurrent	5978	2777	107	181	117.3	3.6	15.1	2.5	36.7
Pedigree	5363	2416	108	183	98.7	3.3	15.6	2.7	40.2
Synthetics	7165	3227	107	182	103.1	4.3	15.5	2.6	40.3
Parents	6606	2723	109	182	110.5	4.0	14.0	2.6	34.0
P-value	<0.001	0.001	0.018	0.093	0.003	0.043	0.608	0.615	0.191
SE	442.8	221.7	1.0	0.9	8.5	0.4	1.8	0.2	4.0
CV%	19.0	21.1	2.6	1.2	20.2	28.2	31.2	22.6	28.0
				2	2016/2017				
Recurrent	5684	2460	105	165	93.2	5.8	16.9	3.2	52.9
Pedigree	5163	2348	105	166	87.6	4.9	17.2	3.2	52.3
Synthetics	6923	2900	104	166	105.4	6.5	18.0	3.3	57.5
Parents	5283	2199	105	167	99.9	4.9	16.1	3.3	51.4
P-value	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	0.485	0.947	0.136
SE	312.2	147.0	0.6	0.6	5.0	0.4	1.8	5.6	0.2
CV%	15.9	16.7	1.6	1.0	15.1	21.8	30.4	29.7	14.9

DFLR: days to flowering, DMAT: days to maturity. BRPLT: number of branches per plant, , PNPLT:
 pods per plant, SNP: number of seeds per pod. SNPLT: number of seeds per plant, HSW: hundred

seed weight, GYPLT: average single-plant yield. BY: biological yield kg/ha; GY: grain yield Kg per
 ha.

Table 7: Mean value of Biological and grain yield for all selected lines by different methods, averageof parent lines and best check and standard error

Lines	Population	BY (I	kg/ha)	GY (kg/ha)					
		2014/2015	2016/2017	2014/2015	2016/2017				
		Pedigree Lines							
PE32	S2012-018	5,276	5,663	2,758	2,679				
PE33	S2012-018	5,276	5,766	3,100	2,787				
PE39	S2012-018	5,139	4,852	2,832	2,311				
PE40	S2012-019	6,113	5,548	3,045	2,352				
			Recurre	ent Lines					
R-6	S2012-019	4,861	7,924	3,020	3,406				
R-9	S2012-019	6,116	5,766	2,850	2,353				
R-14	S2012-133	6,528	5,620	3,104	2,496				
R-16	S2012-019	6,807	6,006	2,993	2,371				
R-22	S2012-018	7,362	5,240	3,575	2,671				
R-25	S2012-085	6,667	6,282	3,296	2,669				
R-28	S2012-019	6,665	6,491	2,869	2,751				
R-29	S2012-019	7,083	6,470	3,114	2,582				
R-45	S2012-019	6,391	5,664	3,180	2,715				
R-47	S2012-001	6,111	5,452	3,711	2,363				
R-53	S2012-019	6,520	-	2,958	-				
		Synthetic Lines							
	Syn3	4,862	7,971	3,007	3,349				
	Syn4	6,947	6,863	3,437	2,809				
	Syn5	8,057	6,997	3,144	3,067				
	Syn6	7,493	7,652	3,433	2,843				
	Syn7		7,018		2,993				
	Syn8	6,667	6,409	3,340	3,232				
	Syn9	9,167	6,740	3,465	2,881				
	Syn10	7,084	6,862	3,388	2,623				
	Syn11	7,221	6,184	3,488	3,523				
Parent means		6,603	5,265	2,722	2,176				
Best check means		7,778	4,815	2,614	2,267				
Standard error		195.8	243.8	83.56	97.87				

641 BY: Biological yield; GY: Grain Yield

Table 8: Correlation between different analyzed traits and the two major principal components with percentage variation in the 2014/2015 and 2016/2017 seasons.

	2014/2015		2016/2017	7
	PCA1	PCA2	PCA1	PCA2
Biological yield (kg/ha)	0.99	-0.11	0.99	-0.11
Grain yield (kg/ha)	0.71	0.70	0.68	0.73
Days to flowering (DFLR)	-0.07	-0.15	-0.39	0.10
Days to maturity (DMAT)	0.09	-0.18	-0.04	-0.21
Hundred seed weight (HSW)	0.21	0.15	0.19	-0.02
Number of branches per plant (BRPLT)	0.24	0.01	0.49	-0.20
Number of pods per plant (PNPLT)	0.08	0.07	-0.13	0.06
Number of seeds per pod (SNP)	0.05	0.20	0.15	0.03
Number of seeds per plant (SNPLT)	0.13	0.25	-0.08	0.12
Percentage variation (%)	90.3	9.6	89.6	10.2