1	Experimental designs for precision in phenotyping
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19 Abstract

Precision phenotyping is evaluation of the genotype's expression in a given environment with minimum influence of the experimental error. This chapter presents the basic principles of experimental designs and lists commonly used experimental designs for phenotyping crop genotypes. Experimental designs include unreplicated designs, incomplete block designs and variable replication block designs along with some selected software that can be used to generate the designs. Some illustrations experimental designs and key directives of the software have also been included.

27

28 Key words: phenotyping, experimental designs, statistical analysis

- 29
- 30

31 Introduction

32 Phenotyping stands for observing or evaluating a genotype(s) in an environment, with least effect 33 due to experimental error, while genotyping stands for observing and describing primarily the 34 genetic make-up of the genotype which is done in terms of using various molecular markers such 35 as amplified fragment length polymorphism (AFLP), simple sequence repeats (SSR) and single 36 nucleotide polymorphism (SNP). A phenotype is an expression of the molecular construct of a 37 genotype in a given environment and depends on the various sources that govern the expression. 38 Thus, if a genotype is to be phenotypically evaluated in a specified-factor controlled/designated 39 environment, for example a drought-stressed environment, effort should be made to eliminate the

40 effects of all the other factors which influence the phenotypic expression. We will discuss 41 designs commonly used for phenotyping in grain legumes or for crop variety evaluation in 42 general. The experimental designs may depend on the nature of genetic material and its 43 availability.

44

45 The selection of the traits for phenotyping is important from various perspectives. Tuberossa 46 (2011) has discussed key concepts, issues and approaches for phenotyping for drought-stressed 47 crops. The role of phenotyping of drought-adaptive traits and use of germplasm resources and 48 genomics methods has been emphasized to improve drought-resistance, and important elements 49 of field plot techniques for obtaining phenotypic data under water-limited conditions have been 50 listed. Recent interests have been found in identifying traits that can be used to identify genotype 51 for climate change using climatic ad agro-ecological information (Bari et al. 2012). The field-52 based precision phenotyping may be used to generate high-quality and large-scale datasets under 53 managed stressed environments providing valuable guidance for drought screening (Campos et 54 al. 2011). Depending on the trait, the mechanism of phenotyping could vary. The phenotyping 55 can take place in petri dishes in a temperature controlled room, pots in a green house or plots in a 56 field at a location with known biotic, abiotic and edaphic conditions/factors. The phenotypic 57 expression of the traits of interest of what is being phenotyped, for example the genetic material, 58 requires the identification of the population of the responding units, for example the field plots 59 under an environment with known stress levels.

The objective of this chapter is to briefly discuss basic principles of experimental designs and provide examples of various experiment designs used in phenotyping the crop genotypes at various stages of plant growth. We give main features of statistical analysis of data generated using such designs. We also overview some main statistical software which are used to generate these designs.

66

67 **Design of Experiments**

68

69 Experimental design for phenotyping will depend on the experimental material and sources of 70 variation there in which are likely to distort the genotypic value of the genotypes. Experimental 71 design is a mechanism to generate scientific evidences for collecting statistically valid and 72 reliable pieces of evidence on the phenotype of the underlying genotype and is guided by the 73 level of variability within the experimental material, size and shape of the experimental unit (e.g. 74 a pot in a greenhouse and a plot in a field), operational convenience and cost. The experimental 75 material may be seeds of a genotypes kept in petri-dishes for dormancy and germination is the 76 trait for phenotyping; seedlings grown in tubes for tolerant to salinity levels; plants in pots kept 77 in the green-house response to controlled application of stress—moisture stress, insect, disease 78 infection, or field plots for yield and yield components evaluation.

79

80 An experimental unit is smallest division of the experimental material to which a genotype is 81 assigned recognizing the fact that any neighbouring experimental units may be assigned to a

82 different genotypes. Set of all the experimental units form the experimental material. In simplest 83 terms, an experimental design is an assignment of treatments to the experimental units and is 84 implemented using the principles of randomization, replications and local control of 85 experimental error or reduction of errors with a view to obtain valid and precise evaluation of the 86 treatments under investigation. These three basic principles of experimental designs are also 87 known as 3Rs of Sir R.A. Fisher (1990). Randomization is a random assignment of genotypes 88 (treatments) to the experimental unit. It is a key element for assigning validity to the information 89 on phenotype and forms the basis for describing the phenotype using a statistical model. 90 Replication, the number of experimental units assigned to a given genotype, is essential for 91 estimating the experimental error or experimental error variance which is variation arising from 92 the responses of the same genotypes on homogeneous experimental units. In reality experimental 93 material is not homogenous, the effort is made to eliminate the effect of any systematic factor 94 using proper field plot management techniques and or by accounting for these systematic factors, 95 which helps in reducing the experimental error variance. The experimental error variance also 96 depends on the size and shape of the experimental units determined by the nature of the 97 experimental material required for phenotyping and the treatments applied.

98

99 The precision of the treatment performance or effect depends on the variability in the 100 experimental material and number of replications, and can be increased by reducing the error 101 variability and or by increasing the replication. When the error variability cannot be reduced 102 further, the number replications (r) can be chosen to achieve estimates with a given precision of

103 the treatment estimates using the following commonly used expression

 $r = \frac{4\theta^2}{r^2}$, where θ is the coefficient of variation of the trait of interest for the population or the 104 105 experimental material after eliminating the effects of every systematic factors, and ε is the 106 relative absolute difference in the observed treatment mean from the r replications and the true 107 treatment mean. The basics of the principles of experimental designs are described in standard 108 texts Federer (1955), Cochran and Cox (1957), Kempthorne (1983), Cox and Reid (2000), Mead 109 et al (2002), Hinkelmann and Kempthorne (2005, 2008) among others. A number of specific 110 situations related experimental designs are given in Hinkelmann and Kempthorne (2012). We 111 also refer to a checklist of questions experimenters are advised to answer, were provided by 112 Jeffers (1978).

113

114 There are primarily two types of effects assumed for the treatments (genotypes) which form the 115 basis for developing the criterion for which the designs are constructed. Under genotypes effects 116 assumed as fixed, designs are developed by minimizing the average variance of estimated 117 difference between effects of pairwise treatments and the resulting designs are called A-optimal 118 (Kiefer, 1959). Under this set-up one evaluates the phenotypes in form of best linear unbiased 119 estimates (BLUEs). The crop variety trial process comprises of selecting a number of desired 120 genotypes from a much larger number under evaluation and, therefore, the genotypes keep 121 varying with time and the prediction of future performance of a genotype is needed. In this 122 situation genotypes are seen to have been randomly drawn from a population or a process 123 resulting from a breeding strategy, and the genotype effects may more appropriately could be 124 assumed as random. Maximization of genetic gain or heritability are the parameters of interest.

- 125 These lead to developing experimental designs which could optimize for average variance of
- 126 predicted difference between the best linear unbiased predictors (BLUPs) (Cullis et al. 2006).
- 127

At various stages during plant development, observations are recorded on the expressions or responses in the field-books or in an electronic form using a hand-held palmtop or other electronic devices. The data are then subjected to transformation, e.g. yields recorded at plot basis are transformed to yield per hectare, before using them in statistical analysis.

- 132
- 133 Software for generating experimental designs

There are several statistical packages such as GenStat (Payne 2011), SAS (SAS Institute Inc. 135 1989), CycDesigN (Whitaker et al 2002), Agrobase (Agronomix Software, Inc. 1999) etc. that 136 can be used to generate randomized plans. Design for partial replications can be generated using 137 codes of DiGGer, an R-package (Coombes 2009).

138

139 Data Analysis Procedures

Statistical analysis is a procedure to draw inference on the genotypes by searching pattern in the phenotypic evidences and assessing the strength of the pattern relative to the noise arising from experimental errors. Power of the evidence on the genotype effects can be enhanced by incorporating any features inherited in the experimental material at the design and analysis stages. The data or response values are generally modelled using the following representation: Data or function of (Data) = Pattern (experimental factors, environmental patters, any
other systematic feature in the experimental material) + random error,

147

The total variability in the data is then partitioned into that due to various components of the pattern and errors. The error variance, measured by error mean-squares, is used to assess the significance or contribution of the components of interest in the pattern. Often we use analysis of variance (ANOVA) and estimate means with standard errors, perform multiple comparisons and residual plot analysis is used to examine the validity of assumptions underlying the ANOVA. We will now discuss a number of commonly used experimental designs for phenotyping in a wide range of disciplines, such as plan breeding and genetics, physiology, pathology and entomology.

155

156 Experimental Designs for Phenotyping of Crop Genotypes

For phenotyping of improved genetic material, resistant to biotic and abiotic stress factors, through collection-selection missions, crossing, and evaluation in field conditions, the experimental designs are needed for preliminary screening, advanced yield trials, multi-locational trials, international nurseries, as given in the following. The necessary codes and steps for using GenStat menus and Rpackage DiGGer are given in the Appendix.

162

163 Preliminary screening/unreplicated trials

164 At the preliminary stage of genetic material development or the early generation variety trials, the 165 number of genotypes is reasonably large with seeds limited to one two replications. Further, seeds

166	of a number of genotypes, called checks with similar maturity level, are available in sufficient
167	number for required number replications for evaluation of experimental errors. A number of
168	experimental designs that are available include reinforced block designs (Das 1958), augmented
169	designs of Federer (1961) in one-way blocks, and those due to Federer and Raghavarao (1975), and
170	Lin and Poshinsky (1983) in two-way blocks. A randomized plan for 45 unreplicated test genotypes
171	in total in 9 incomplete blocks of size 8 and comprising 3 checks and 5 test entries each is given in
172	Table 1.
173	[Insert Table 1 here]
174	
175	The statistical analysis model accounts for the effects of incomplete blocks, or row and column
176	effects, and genotype effects. Interest lies in estimates of adjusted means for the genotypes and their
177	standard errors, along with estimate of error variance, coefficient of variation CV%, standard errors
178	of comparisons of two test entries, test and check entries, two check entries. The software that could
179	be used includes GenStat (REML command), SAS (PROC MIXED) and ICARDA programs using
180	GenStat software codes.
181	
182	Advanced yield trials/replicated trials
183	Majority of research has gone into developing experimental designs for situations in crop variety
184	evaluations where seeds are available to implement replicated trials. Designs with high efficiency
185	factor are available for almost any number of genotypes evaluated in practices. Our experience

186 indicates that the following types of designs have been found to be used frequently. However, these

187 are not our recommendations.

188

189 Small number of genotypes (V<8)

Often the experimental units within small sized blocks can be expected to be homogeneous. Phenotyping a relatively small number of genotypes in tubes or pots in green house or in plots in the field one may use Randomized Complete Block (RCB) designs with larger number of replications resulting into error degrees of freedom around 30. For controlling experimental error variation in two directions, for example in the field, Latin Square (LS) designs and Youden Square designs are found suitable. LS designs, the number of replications is equal to the number of genotypes while in RCB designs they can be chosen at will. An example is given in Table 2.

197

198

[Insert Table 2 here]

199 Moderate number of genotypes ($V \le 15$)

While scope lies in having a better control of variability, with moderate number of genotypes frequent use of randomized complete block (RCB) designs can be found with three or more replications. An example is given in Table 3.

203

[Insert Table 3 here]

- 204
- 205 *Large number of genotypes (V>15)*

In field trials, the plot-to-plot variability within block increases with size of the block. If a large number of genotypes are experimented using complete blocks then plot-to-plot variability within the large sized blocks could be perceived to be considerably high and thus RCB design may not 209 give precise estimates unless replications are increased at added cost. Experimentation in relatively 210 smaller sized blocks i.e. use of an incomplete block design seems to be a favorable alternative. 211 Further, it is possible to find designs in incomplete blocks such that if we rearrange/position the 212 incomplete blocks in such a way that the group of incomplete blocks placed physically together on 213 the layout also form full replicates. Such designs are called resolvable block designs. An advantage 214 of resolvable block design is that effectiveness of incomplete blocks can be assessed in relation to 215 complete blocks. Literature contains several classes of resolvable incomplete block designs: 216 balanced incomplete block designs, square lattice designs, rectangular lattices, α -designs, also 217 called α - lattices, in one-dimension; these designs are based on the structure of number of 218 genotypes, e.g. it may be a square number or a rectangular number. The α - designs (Patterson and 219 Williams 1976) are available for almost every practical number of genotypes, with a small 220 difference in block sizes, and suit most of the field configuration. The number of replications can 221 also be chosen at will.

222

223 *α*-Designs: A class of Resolvable Incomplete Block Designs

Patterson and Williams (1976) introduced a class of resolvable incomplete block designs for any number of varieties v and block size k such that v is a multiple of k, i.e., v=ks where s is the number of incomplete blocks of the same size k. Thus the square lattices, rectangular lattices, and resolvable cyclic designs are the special cases of α - designs. Construction of these designs required knowledge of generation array, a combinatorics concept and the methods are given in Patterson and Williams (1976), Patterson et al 230 (1978), and John and Williams (1995). However, these can be obtained by using 231 CycDesigN software (Whitaker et al 2002) and GenStat (Payne 2011) for number of 232 genotypes less than 100. These computer generated methods have shown to provide high 233 efficiency factors within their comparable class of designs for a wide range of parameter 234 values. There may also be situation where the number of genotypes is not a multiple of block size, i.e v \neq ks. Suppose the number of treatments v is represented by $v = k_1 s_1 + k_2 s_2$; 235 k_1 , k_2 , s_1 , s_2 being positive integers. Every replication has s_1 blocks of size k_1 each 236 and S_2 blocks of size k_2 each. In such situations, it is possible to develop designs with 237 two block sizes k_1 and k_2 where k_1 and k_2 are very close, say have a difference $|k_1 - k_2|$ 238 239 equal to 1 or 2. The small difference in the block size may still support the homogeneity 240 of experimental error variances within such blocks. For example for evaluating v = 23genotypes, one may use $v = 23 = 4 \times 5 + 3 \times 1 = k_1 \times s_1 + k_2 \times s_2$, thus using 5 blocks of size 4 241 242 and 1 block of size 3 in each replicate. Such designs are derived by omitting one or more 243 varieties of the α - designs with v = ks. Two examples of α designs are given in Table 4 244 and Table 5. In case of the designs in Table 5, the empty cell need not be retained or if 245 required for keeping the planting machinery or any other logistics then it could be filled 246 by a filler check genotype.

247

[insert Table 4 here]

[insert Table 5 here]

250

251 Designs Eliminating Heterogeneity in Two Directions

252 When the direction of soil fertility is unknown or if variability exists in two 253 perpendicular directions in the field it is often helpful to use two-way blocks in the field 254 to reduce the experimental error. There are several designs controlling variability in 255 two directions. Some of the frequently discussed designs are row-columns (Pearce, 256 1975), Youden-squares (Youden 1940), lattice squares, (Yates, 1940; Cochran and 257 Cox 1957), lattice rectangles (Federer and Raktoe, 1965), row-column α -designs (John 258 and Eccleston, 1986), incomplete block designs with nested rows and columns (Singh 259 and Dey, 1979).

260

261 In recent years, a more realistic approach has been suggested for searching experimental designs 262 using a criterion which maximizes genetic gain due to selection (Kempton 1984). Another related 263 criterion, minimize average pairwise prediction error variance is presented in Cullis et al (2006). 264 These designs were obtained for an early generation variety trials (EGVTs), called p-rep designs 265 are alternative to augment designs in blocks (referred as grid-plots). Simulation studies, based on 266 1000 runs and 12 different combinations of genetic variance ratio and spatial autocorrelation 267 parameters along rows & columns, have shown that p-rep designs resulted in higher genetic gain. 268 In variety evaluation, a more practical situation shows that different sets of genotypes could have

269	seeds available for varying replications. Further, in the field layout the spatial variability exists and
270	the plot errors are generally correlated (Singh et al 2003). To generate experimental designs
271	incorporating the need of variable replications and correlated errors, Coombes (2009) has
272	developed an R-program package called, DiGGeR. An example of p-rep design is in Table 6 for
273	20 test genotype with no replications and 10 test genotypes with 2 replications and 3 check
274	genotypes. To generate randomized plans for p-rep designs, DiGGeR package in R- language
275	programs has been developed by Coombe (2009).
276	[Insert Table 6 here]

278 Multi-environment Trials

279 Multi-environment trials (MET), normally designed in replicated designs, e.g., RCB or α -design, 280 are conducted over multi-locations and multi-years to obtain information on the variety response to 281 the environments and study the nature of the genotype x environment (GxE) interaction. Main 282 objectives of METs are selection of varieties for high and stable yield, and their adaptability to 283 specific zones (clusters) of the environments. The number of and variability due to the locations, 284 years and experimental error may be used to determine the number of replications per trial. 285 However, for moderately large number of locations and years, two replications per trial have been 286 found to be optimal (Kempthorne 1983). A large list of methods of analyses can been found in 287 literatures and in several review papers (Lin et al 1986, Westcott 1986, Smith et al 2005). The 288 methods for analysis of GxE interaction studies have used based on extracted patterns in form of 289 multiplicative models for GxE interaction (Gauch 1988), multiplicative model for G+GxE 290 interactions (Weikai and Hunt, 2001), factor analytic representations of GxE interactions using 291 fixed genotype effects and random environmental effects (Piepho 1997) and fixed environment 292 effects and random variety effects (Smith et al 2001biometrics). See Smith et al (2005) for a review 293 of mixed models used in multi-environment variety trials. Singh et al. (1996) using information on 294 genotype means and standard errors in multi-location trials assessed thee varieties using indices 295 measuring inter-site transferability of varieties. The combined analysis at plot levels used to be 296 under similar designs and under the assumption of homogeneous error variances, primarily due to 297 limitations of computational software, but in the recent years, a much more complex models can be 298 fitted at the plot level data with complex structures of variance-covariance matrices using GenStat,

299 ICARDA modules in GenStat, SAS, AGROBASE and ASReml (Gilmour et al., 2009).

300

A large number of phenotyped data are obtained through the International Nurseries, with specific purposes, which facilitate screening and evaluation of genetic material across a wide range of environments. Experimental designs such as RCB, α - designs, augmented designs are used. Trials should have independent randomizations. CGIAR (Consultative Group for International Agricultural Research) centers use an ICIS (International Crop Information System) for generating randomizations and storage and retrieval of crop information in terms of genotype pedigree and response data.

308

309 Inheritance Studies form a part of the genetics of the traits used in phenotyping through the use of 310 specific mating designs such as such as complete/partial diallel crosses and line x tester to generate

311	information on the gene actions controlling the traits in terms of genetic ratios, genetic variance and
312	its components (such as additive, dominance, and allelic interactions of various orders). Embedding
313	of mating and environmental designs derived from incomplete crosses and blocks are discussed and
314	reviewed in Singh et al. (2012).
315	
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Table 1. Layout of an augmented design in blocks containing test entries numbered from 1 to

Blocks	1	2	3	4	5	6	7	8	9
Plots									
1	47	23	41	43	14	36	25	21	22
2	46	35	26	48	47	13	48	48	1
3	9	47	46	47	16	4	47	3	5
4	28	46	33	15	31	47	12	47	47
5	48	48	47	29	48	48	32	24	48
6	38	19	6	46	34	46	46	46	44
7	7	45	48	17	46	20	11	18	46
8	39	10	30	37	2	40	27	8	42

45 and check entries numbered from 46 to 48.

421 Table 2. A randomized plan of a Latin Square design in six genotypes numbered 1 to 6

Column						
S	1	2	3	4	5	6
Rows						
1	1	2	4	5	6	3
2	4	5	1	2	3	6
3	6	4	3	1	2	5
4	2	3	5	6	4	1
5	5	6	2	3	1	4
6	3	1	6	4	5	2

Table 3 A randomized plan for a randomized complete block design in four replications and

Rep	1	2	3	4
Plots				
1	3	11	7	3
2	2	2	12	8
3	11	12	4	2
4	4	3	2	7
5	9	7	9	10
6	1	6	10	12
7	7	4	6	1
8	12	1	3	6
9	10	9	11	9
10	5	5	5	11
11	6	8	8	5
12	8	10	1	4

425 ten genotypes numbered 1 to 10.

429 Table 4. A randomized plan for an alpha design in 40 genotypes, incomplete blocks of size 5

430 and 3 replications

	Plots	1	2	3	4	5
Replicates	Blocks					
1	1	7	40	12	30	36
	2	39	34	33	29	1
	3	26	32	4	31	14
	4	9	2	21	20	10
	5	25	17	6	23	19
	6	24	8	11	3	22
	7	15	37	13	5	28
	8	16	35	38	18	27
2	1	36	38	11	5	32
	2	3	13	16	39	30
	3	40	31	6	20	1
	4	27	8	25	28	33
	5	17	9	26	34	37
	6	2	29	24	23	15
	7	21	35	7	19	14
	8	12	22	10	4	18
3	1	33	2	17	16	32
	2	9	40	27	15	14
	3	20	8	26	23	30
	4	35	12	24	31	34
	5	11	4	19	39	28
	6	25	29	13	36	10
	7	37	22	6	7	38
	8	21	3	1	18	5

431

433 Table 5. A randomized plan for an alpha design in 29 genotypes, incomplete blocks of sizes

	Plots	1	2	3	4	5
Replicates	Blocks					
1	1	17	15	18	5	29
	2	3	8	1	9	16
	3	28	12	#	22	7
	4	2	26	23	19	24
	5	11	21	27	4	25
	6	10	14	6	20	13
2	1	29	10	25	7	8
	2	#	21	15	1	26
	3	3	4	23	28	14
	4	5	22	19	20	9
	5	2	16	13	27	17
	6	12	6	11	18	24
3	1	16	6	15	25	22
	2	23	8	#	11	17
	3	18	26	20	7	4
	4	14	27	19	12	1
	5	28	13	9	29	24
	6	3	21	2	5	10

4 and 5, and 3 replications

436 #: the empty plot need not be retained or, if required, could be filled by a suitable filler check.

Table 6. A randomized plan, on an 8 × 8 layout, of a p-rep design in 33 genotypes
numbered 1 - 20 have no replications, 21 - 30 have two replications and 31 - 33 are checks
with 8 replications

Rows\columns	1	2	3	4	5	6	7	8
1	4	33	20	29	24	5	31	32
2	31	9	25	23	1	32	19	27
3	14	30	21	10	31	18	33	28
4	32	13	33	17	21	27	24	31
5	6	28	23	32	12	26	30	33
6	31	16	22	3	33	11	32	15
7	7	32	26	33	22	31	8	32
8	33	29	31	25	32	2	33	31

444	Appendix
445	Some key codes used in generating the experimental designs under various tables.
446	A1. GenStat Code for Table 2 (Geno stands for genotypes)
447	AGLATIN [PRINT=design; ANALYSE=Yes] NROWS=6; NSQUARES=1; \
448	TREATMENTFACTORS=!p(Geno); ROWS=Rows; COLUMNS=Columns; SEED=27257
449	
450	A2. GenStat code for Table 3 (Rep, Plots and Geno stand for replications or complete blocks,
451	plots within block and genotypes respectively)
452	AGHIERARCHICAL [PRINT=design; ANALYSE=Yes;SEED=2534] \
453	BLOCKFACTORS=Rep,Plots; TREATMENTFACTORS=*,!p(Geno); LEVELS=4,12
454	
455	A3. R Language code for Table 6
456	library(DiGGer)
457	trep <- rep(c(1, 2, 8), c(20, 10, 3))
458	<pre>design <- DiGGer(33, 8, 8, TreatmentRep = trep)</pre>
459	design <- run(design)
460	getDesign(design)
461	layout <- getDesign(design)
462	<pre>des.plot(layout, seq(1, 20), col = 5, new = TRUE)</pre>
463	<pre>des.plot(layout, seq(21, 30), col = 6, new = FALSE)</pre>
464	<pre>des.plot(layout, seq(31, 33), col = 7, new = FALSE)</pre>
465	
466	A4. Further details on GenStat menu and R-program
467	A4.1 Generate an a- design using GenStat:
468	To generate randomizations using GenStat statistical package go to its "Stats" menu, "Design"
469	sub menu, then "Select Design" item, (see the screenshot below):

Summary Statistics Statistical Tests Distributions Regression Analysis	• 📼 • ta •	> < <u> </u> <u> </u> 2 8 IIII IIII IIII III <u> </u> 3 8 IIII IIII III <u> </u> 3 9 10 10 10 10 10 10 10 1
Design	•	Generate a Standard Design
Analysis of Variance	•	Generate a Factorial Design in Blocks
Mixed Models (REML)	•	Generate a Fractional Factorial Design
Multivariate Analysis	•	Generate a Covariate Design
Six Sigma	•	Select Design
Survey Analysis	•	Generate Factors in Standard Order
Time Series	•	Randomize
Spatial Analysis	• • T	

471 This will pop-up the dialog box listing several special analyses (see the screenshot below):

Question	X
Which type of design would you like to generate?	
 orthogonal designs (randomized blocks, split-plots etc) 	C complete and quasi-complete Latin squares
 complete or fractional factorials (with confounded interactions) 	alpha designs
C factorial designs from a repertoire (with confounded interactions	C cyclic designs
 fractional factorial designs from a repertoire (with blocking) 	C balanced-incomplete-blocks
C square lattice designs	C neighbour designs
C lattice square designs	C central composite designs
C Latin squares (also Graeco-Latin squares etc as feasible)	C Box-Behnken designs
C Latin squares balanced for carry-over effects	C Plackett Burman (main effect) designs
 semi-Latin squares (Trojan, interleaving and inflated) 	
	OK Help Exit

472

473 Select "alpha designs" option then click "OK" button and answer the series of questions on 474 number of treatments (within the range 20 - 100), number of blocks per replication, number of 475 replications, and the labels that should be assigned to the factors. Using the "Spread" menu and 476 further "Data in GenStat", item from "New" sub menu, one can obtain the randomized plan in 477 the GenStat spreadsheet as shown in the following screenshot. For more than 100 genotypes, one 478 may use CycDesigN software (Whitaker et al. 2002).

	Data Spread Graphics Stats	User Tools	Vindow Help			
	A Rew	•	Create	Ctrl+F10		
	2 Column	<u>+</u>	Data in GenStat	Shift+F10		
	Factor	*	From Clipboard	Alt+F2		
	Calculate	F	ODBC Data Query	Alt+Ctrl+F10	Load Spreadsheet	Spreadsheet [Boo 🗖 🔳 🔀
	Delete	۲	Evcel Import Wizard	Ctrl+Alt+F	 Vector (Variate, Text or Factor) 	Row Rep Blk Plot Geno +
	Insert	۶.	Excel import incordin	curry act c	🔿 Matrix 🔘 Table 🔘 Scalar	1 1 1 7
	Select Restrict/Filter		Tabbed-table from GenStat		Available Data: Data to Load:	
	Sort	Ctrl+F9			Bik Rep A	
	Manipulate	*	Append Multiple Files		Plot Bep Geno	5 1 1 5 36
	Sheet	۶	Merge Multiple Files		· · · · · · · · · · · · · · · · · · ·	6 1 2 1 39
	Book	· · · ·	, ,			7 1 2 2 34
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	Set as Active Sheet		Rep Blk		New Book	
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			3 26 32 4 31 14 4 9 2 21 20 10			13 1 3 3 4
			5 25 17 6 23 19			14 1 3 4 31
			7 15 37 13 5 28			15 1 3 5 14
			8 16 35 38 18 27			
			2 3 13 16 39 30	=		
			3 40 31 6 20 1 4 27 8 25 28 33			
			5 17 9 26 34 37			
			7 21 35 7 19 14			
			8 12 22 10 4 18 3 1 33 2 17 16 32	-		
480						
401						
481						
482	The plan in Ta	able 4, i	n 40 genotypes ir	n blocks o	of size 5 and 3 replications	, can be obtained by
483	running the fol	llowing	code			
105	i similing the for	10,01118				
484						
405				10		
485	AGALPHA [F	PRINT=	design] LEVE	LS=40;	NREPLICATES=3; NB	LOCKS=8;\
486	TREATMEN	ITS=Ge	no;\			
187			\mathbf{n}			
	KGE LI CAI	цо-ке	P_{\prime} \			
488	BLOCKS=E	Blk;\				

- 489 UNITS=Plot; \setminus
- 490 SEED=1592654

- 492 A4. 2. R- package DiGGer codes for Table 6.
- 493 Generate Design for Partial Replications using DiGGer and R Language:
- 494 To use DiGGer tool, one needs to carry out required installation for the R package and download

- 495 the following zip-files "R.methodsS3_*.zip"¹, "R.oo_*.zip"², and "DiGGer_*.zip"³ where "*"
- 496 in the filenames denotes the current version available. Then one may start the R program, go to
- 497 the "Packages" menu and select "Install package(s) from local zip files...". Find the downloaded
- 498 files and let R install them.
- 499

```
RGui (64-bit)
       File Edit View Misc Packages Windows Help
                           Load package...
                r da
                           Set CRAN mirror...
        🖳 R Console
                                                                           - - X
                           Select repositories...
                           Install package(s)...
       R version 2.15.2
                           Update packages...
       Copyright (C) 201
                                                          Computing
       ISBN 3-900051-07-
                           Install package(s) from local zip files...
       Platform: x86 64-
500
501
502
      Once DiGGer packages are installed, the following codes are used to generate the experimental
503
      design in the Table 6.
504
      # load required package
505
      library(DiGGer)
506
507
      # 20 genotypes with no replications [1 - 20]
508
      # 10 genotypes with 3 replications [21 - 30]
509
            genotypes with 8 replications [31 - 33]
      # 3
510
      trep <- rep(c(1, 2, 8), c(20, 10, 3))
511
512
      # in total we have 33 genotypes (i.e. 20 + 10 + 3)
513
      # in total we have 64 plots (i.e. 20*1 + 10*2 + 3*8)
514
      # field layout set as 8 rows x 8 columns
515
      design <- DiGGer(33, 8, 8, TreatmentRep = trep)</pre>
516
```

¹ http://www.austatgen.org/files/software/downloads

² http://cran.rstudio.com/web/packages/R.methodsS3/index.html

```
517
     # once the design search object has been created
518
     # we can produce the design
519
     design <- run(design)</pre>
520
521
     # extracting matrix of design numbers
522
     layout <- getDesign(design)</pre>
523
524
     # draw colored field layout
525
     # or you may simply use plain plot(design) function in this case
526
     des.plot(layout, seq(1, 20), col = 5, new = TRUE)
527
     des.plot(layout, seq(21, 30), col = 6, new = FALSE)
528
     des.plot(layout, seq(31, 33), col = 7, new = FALSE)
```



```
1 2 3 4<sup>Range</sup>5 6 7 8
```

```
529
530
```

³ http://cran.rstudio.com/web/packages/R.oo/index.html

[#] export into CSV file

531 write.csv(design\$dlist, "Variable Replications.csv")

X	- 9 - (*	* -		Var	iable Replica	ations.csv -	Microsoft Ex	cel		_	
File	Home	e Insert	Page I	.ayout F	ormulas	Data R	eview Vi	ew Deve	loper A	dd-Ins ♡	2 - d X
	A1	-	6	f _*							×
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1	U	NIT I	D	ENTRY	ROW	RANGE	REP	TRT	B111	B112	B121
2	1	1 \	/_11	11	1	1	1	11	1	1	1
3	2	2 ۱	/_23	23	2	1	1	23	1	1	1
4	3	3 \	/_21	21	3	1	1	21	1	1	1
5	4	4 \	/_28	28	4	1	1	28	2	1	2
6	5	5 ۱	/_2	2	5	1	1	2	2	1	2
7	6	6 ۱	/_33	33	6	1	1	33	2	1	2
8	7	7 ۱	/_7	7	7	1	1	7	3	1	3
9	8	8 ۱	/_31	31	8	1	1	31	3	1	3
10	9	9 ۱	/_22	22	1	2	1	22	1	1	1
11	10	10 \	/_3	3	2	2	1	3	1	1	1 🚽
Variable Replications											
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