

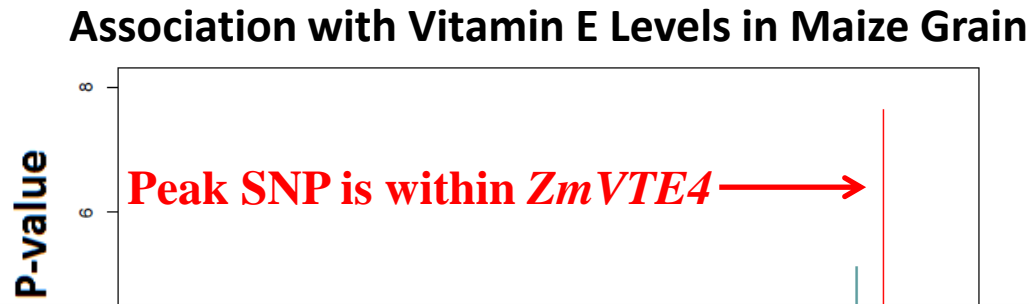
Implementing genomic selection and comparing it to marker-assisted selection

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Genome-wide association study (GWAS)



Markers exhibiting peak associations with traits are potential targets for marker-assisted selection (MAS)

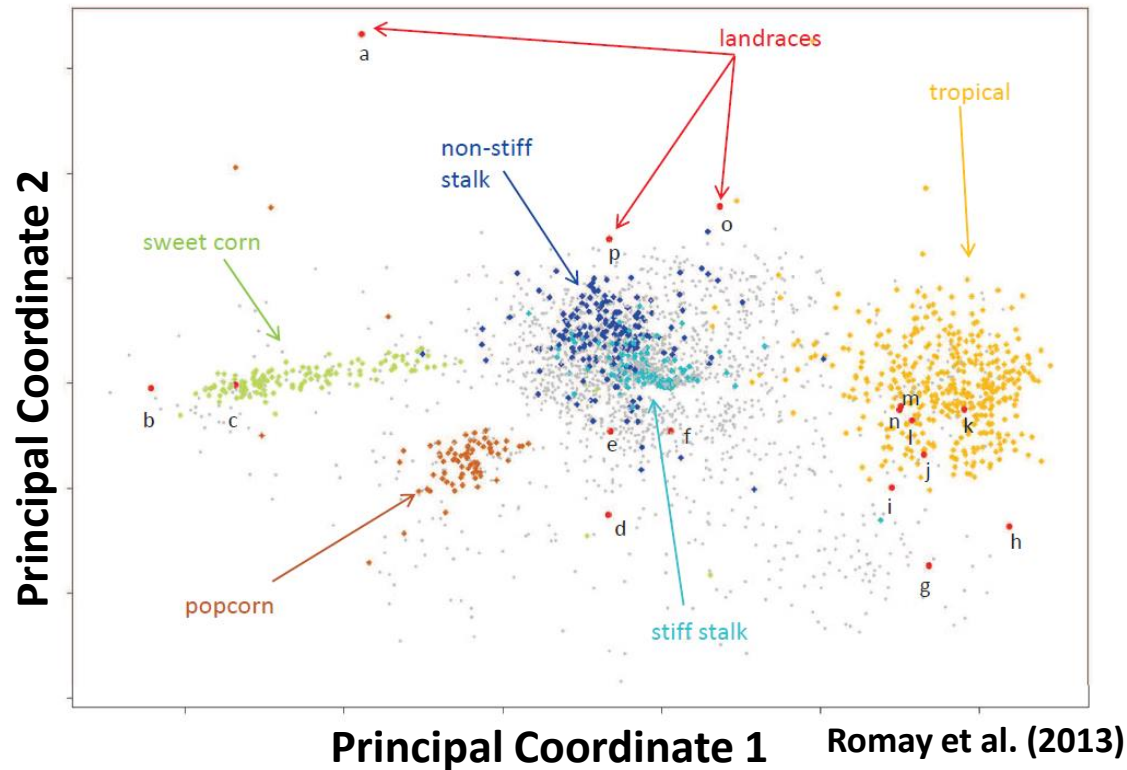
- Identify genomic regions associated with a phenotype
- Fit a statistical model at each SNP in genome
- Use fitted models to test H_0 : No association with SNP and phenotype

Examples of GWAS identifying potential targets for MAS breeding efforts

- Rincker et al. (2016): Targets for brown stem rot resistance in soybean
- Lipka et al. (2013): Targets for boosting vitamin E and antioxidant levels in maize grain
- Owens/Lipka et al. (2014): Targets for boosting provitamin A and other carotenoid levels in maize grain

Genetic diversity can lead to false positives in a GWAS

Genetic Diversity of 2,815 Maize Inbreds



- Two sources for false positives:
 - Population Structure
 - Familial Relatedness

Mixed models reduce false positives in GWAS

$$Y_i = \mu + \sum_{j=1}^3 \beta_j PC_{ji} + \alpha x_i + Line_i + \varepsilon_i$$

Phenotype of i^{th} individual

Grand Mean

Fixed effects: account for population structure

Marker effect

Observed SNP alleles of i^{th} individual

Random effects: account for familial relatedness

Random error term

- $(Line_1, \dots, Line_n) \sim \text{MVN}(\mathbf{0}, 2K\sigma_G^2)$
 - $K = \text{kinship matrix}$
 - $\varepsilon_i \sim \text{i.i.d. } N(0, \sigma_E^2)$
- Measures relatedness between individuals

Computational approaches for reducing computational burden

- The unified mixed linear model is a common

GAPIT R package (Lipka et al. 2012):

- **Employs computationally-efficient approaches for GWAS**
- **Makes it possible to perform mixed-model GWAS on an ordinary computer**
 - Newly-developed model fitting approaches need to be used to address this challenge

Unified mixed linear model (MLM)

$$Y = \mu + \sum^3 \beta PC + \alpha x + Line + \varepsilon$$

Grand Mean

Marker effect

Random effects:
account for familial
relatedness

- Variance component estimation is computationally intensive
- GAPIT employs two approaches to reduce this computational burden

- $(Line_1, \dots, Line_n) \sim \text{MVN}(\mathbf{0}, \mathbf{Z} \mathbf{\Lambda} \mathbf{O}_G)$

- K = kinship matrix

Measures relatedness between individuals

- $\varepsilon_i \sim \text{i.i.d. } N(0, \sigma_E^2)$

Approach 1: Compressed mixed linear model

$$Y_i = \mu + \sum_j \beta_j PC_{ji} + \alpha x_i + \text{Group}_i + \varepsilon_i$$

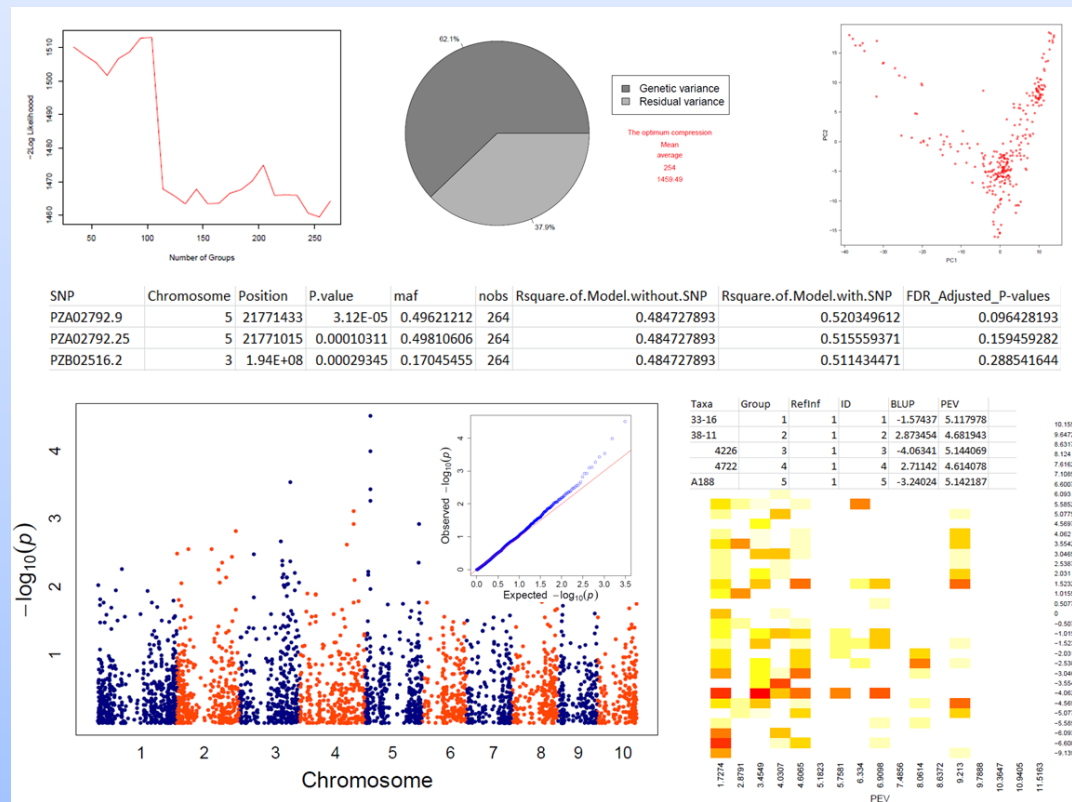
- Reduces computational time because it works with a smaller kinship matrix

using kinship matrix

- $(\text{Group}_1, \dots, \text{Group}_k) \sim \text{MVM}(0, N \times 20, 20 \times 20, K_C \sigma_G^2)$
- $K_C = \text{kinship ("compressed") kinship matrix}$
- $\varepsilon_i \sim \text{i.i.d. } N(0, \sigma_E^2)$

Approach 2: Population parameters previously determined (P3D)

Output Summary

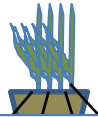


Lipka et al. (2012)

Zhang et al. (2010)

Accounting for multiple reps and locations

Seeds obtained from a germplasm bank



- **Fit a mixed model accounting for genetic, environmental, and genetic x environmental (GxE) sources of trait variation**
- **Output from this model:**
 - **BLUPs/BLUEs trait values for each taxa**
 - **Estimates of trait variation attributable to each source**

multiple reps and locations?

Statistical model used to obtain best linear unbiased predictions (BLUPs)

Grand Mean

Random Effect

$$Y_{ijk} = \mu + G_i + E_j + (GE)_{ij} + \varepsilon_{ijk}$$

- **Output 1: BLUPs of the genotype effect**
- **Output 2: Variance component estimates for calculating heritabilities**

- G_i = Random Genotype Effect
- E_j = Random Environment Effect
- $(GE)_{ij}$ = Random Genotype x Environment Effect

Statistical model used to obtain best linear unbiased estimators (BLUEs)

$$Y_{ijk} = \mu + G_i + E_j + (GE)_{ij} + \varepsilon_{ijk}$$

Diagram illustrating the components of the statistical model:

- μ : Grand Mean (indicated by a red arrow pointing down)
- G_i : Fixed (indicated by a blue circle and the label "Fixed" below it)
- E_j : Random Effect (indicated by a blue circle and the label "Random Effect" above it)
- $(GE)_{ij}$: Random effect (indicated by a blue circle and the label "Random effect" below it)
- ε_{ijk} : Error term (indicated by a red arrow pointing up)

- Output: BLUEs of the genotype effect**

- G_i = Fixed Genotype Effect
- E_i = Random Environment Effect
- $(GE)_{ij}$ = Random Genotype x Environment Effect

BLUPs vs BLUEs

- BLUPs:
 - Advantage: Makes more sense from a biological perspective
 - Disadvantage 1: BLUPs “shrink” values towards the mean
 - Disadvantage 2: Fitting random effects is more computationally intensive than fitting fixed effects
- BLUEs:
 - Advantage 1: BLUEs do not shrink values towards the mean
 - Advantage 2: Less computationally intensive
 - Disadvantage: Makes less sense from a biological perspective

BLUPs and BLUEs: Some Technical Notes

- In plant breeding, estimate of grand mean is added to BLUPs and BLUEs
 - Rationale: BLUEs/BLUPs will be in the same units of measurement as raw trait data
 - After adding grand mean estimate, they are still called BLUPs/BLUEs
- Consider transforming your phenotypic data before fitting statistical models:
 - Rationale: This would help with deviations from normality and constant variance assumptions

Software I used to obtain BLUPs and BLUEs


- SAS:
 - Advantage: (Relatively) simple to use
 - Disadvantage 1: Annual license fee
 - Disadvantage 2: Takes a long time to compute
- ASReml:
 - Advantage: Can fit very complicated models quickly
 - Disadvantage 1: Not simple to use
 - Disadvantage 2: Expensive annual license fee
- R:
 - Advantage: Free
 - Disadvantage: Potentially not as extensively tested as SAS and ASREML

Phenotype: kernel color visually assessed using standardized color scale



- **Also included AR1xAR1 correlation structure to account for spatial variation**
- **Backwards elimination conducted to remove non-significant effects**
- **Analysis conducted in ASREML**

Example: Rincker et al. (2016)

- Brown stem rot (BSR) and 
 - **Three genes associated with BSR resistance, *Rbs1-3*, have been identified in previous studies**
 - **Critical need to obtain a more precise location of these loci**
 - **Result in more efficient MAS for BSR resistance**

Source: cornandsoybeandigest.com/ 

Separate GWAS performed on four association panels

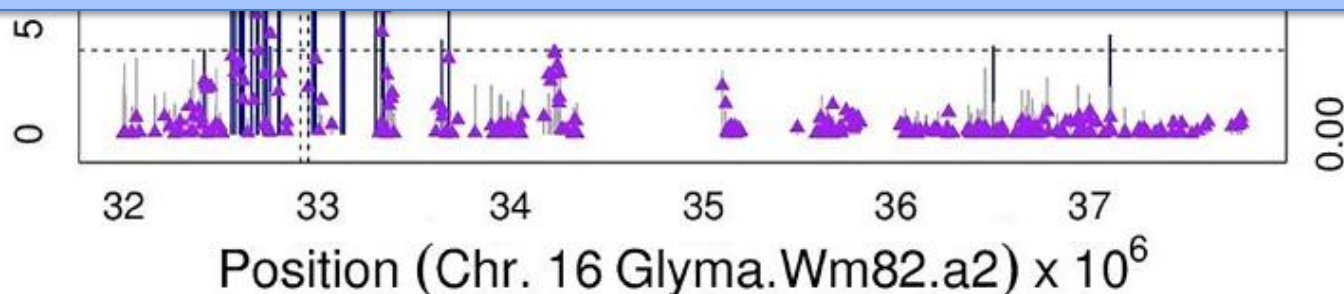
Table 1. Characteristics of association panels analyzed with genome-wide association study and stepwise procedures.

Panel	Data type	Symptoms measured	Accessions	SNP† markers	Box-Cox lambda	BSR Score‡		
						Mean	SD§	$h^2 $
N-1989	Binary	Foliar and stem	2773	33,240	na	na	na	na#
B-1997	Proportion 0–1	Foliar	540	33,486	log	0.09	0.15	0.49
B-1997	Proportion 0–1	Stem	540	33,486	1	0.38	0.20	0.61
B-2000	Proportion 0–1	Foliar	825	32,150	0.25	0.33	0.29	0.93
P-2003	Proportion 0–1	Stem	606	29,815	0.75	0.39	0.25	0.68

- N-1989 panel:
 - Binary phenotype: logistic regression + stepwise model selection
- Other panels:
 - Quantitative phenotype: Unified MLM + multi-locus mixed model

Unified MLM GWAS identifies signals near *Rbs1-Rbs3*

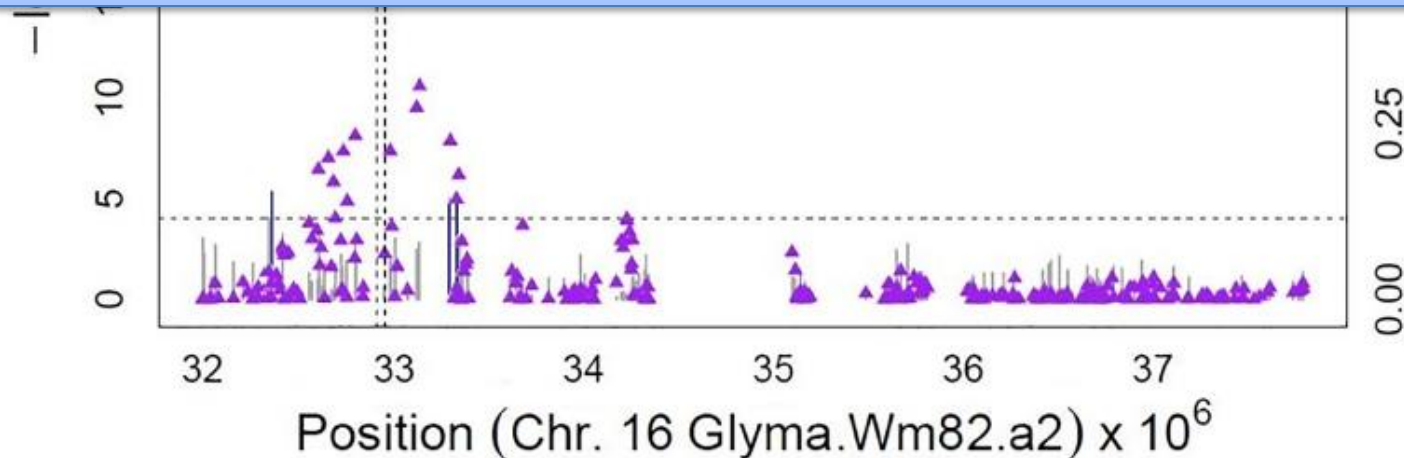
- Multi-locus mixed model identified two peak SNPs from this region in the final model
- GWAS was reran using these two peak SNPs as covariates



Peak SNPs from MLMM reduces explains most of *Rbs1-Rbs3* signal



- Similar findings were obtained in the other association panels



Breeding Ramifications



Source: blogs.ext.vt.edu

- Previous *Rbs1-Rbs3* signals been refined to a 0.3 Mb region on Chromosome 16
- Should facilitate both MAS-based approaches and gene cloning efforts
- Demonstrates the utility of GWAS in soybean

Biofortification

- Identify target genes associated with nutrients in crops
- Increase nutritional value of local crop varieties by selecting on these target genes
- Results in increased availability of essential nutrients



Source: www.aboutharvest.com

Compounds analyzed in Lipka et al. (2013)

- **Tocochromanols**
 - Lipid-soluble antioxidants
 - Consist of **tocopherols (T)** and **tocotrienols (T)**
 - **α -tocopherol (α T)** has greatest vitamin E activity
- **Vitamin E**
 - Essential nutrient
 - Suboptimal dietary intake exists in specific population segments
 - Deficiency associated with cardiovascular disease and decreased immune function

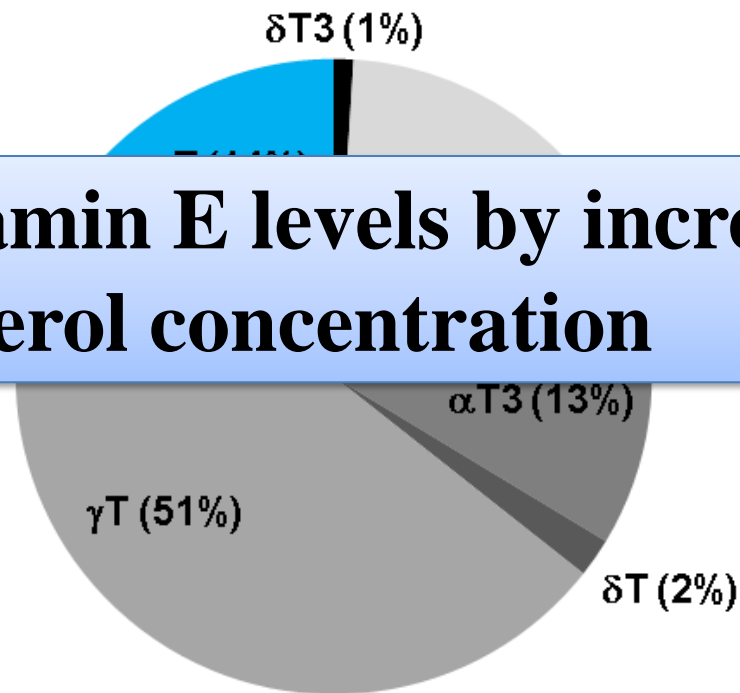


Source: wartremovalexperts.com

Grain tocochromanol compositions across a maize diversity panel

Distribution of
Tocochromanol Compounds

Highest
VitE
Activity



- **Boost vitamin E levels by increasing α -tocopherol concentration**

Data analyzed in Lipka et al. (2013)



Source: Brenda Owens

- 281-member Goodman diversity panel
- Grown at Purdue University in 2009 and 2010 field seasons
- Compound levels quantified in grain:
 - Tocochromanols for 252 lines

Phenotypic data used for analysis



- **20 tocochromanol compounds, sums, ratios, and proportions were analyzed in GAPIT**
- **GWAS was conducted using 294,092 SNPs with minor allele frequency ≥ 0.05**
fitted to each phenotype
- Best linear unbiased predictors (BLUPs) of lines from each model used as phenotypes for our GWAS

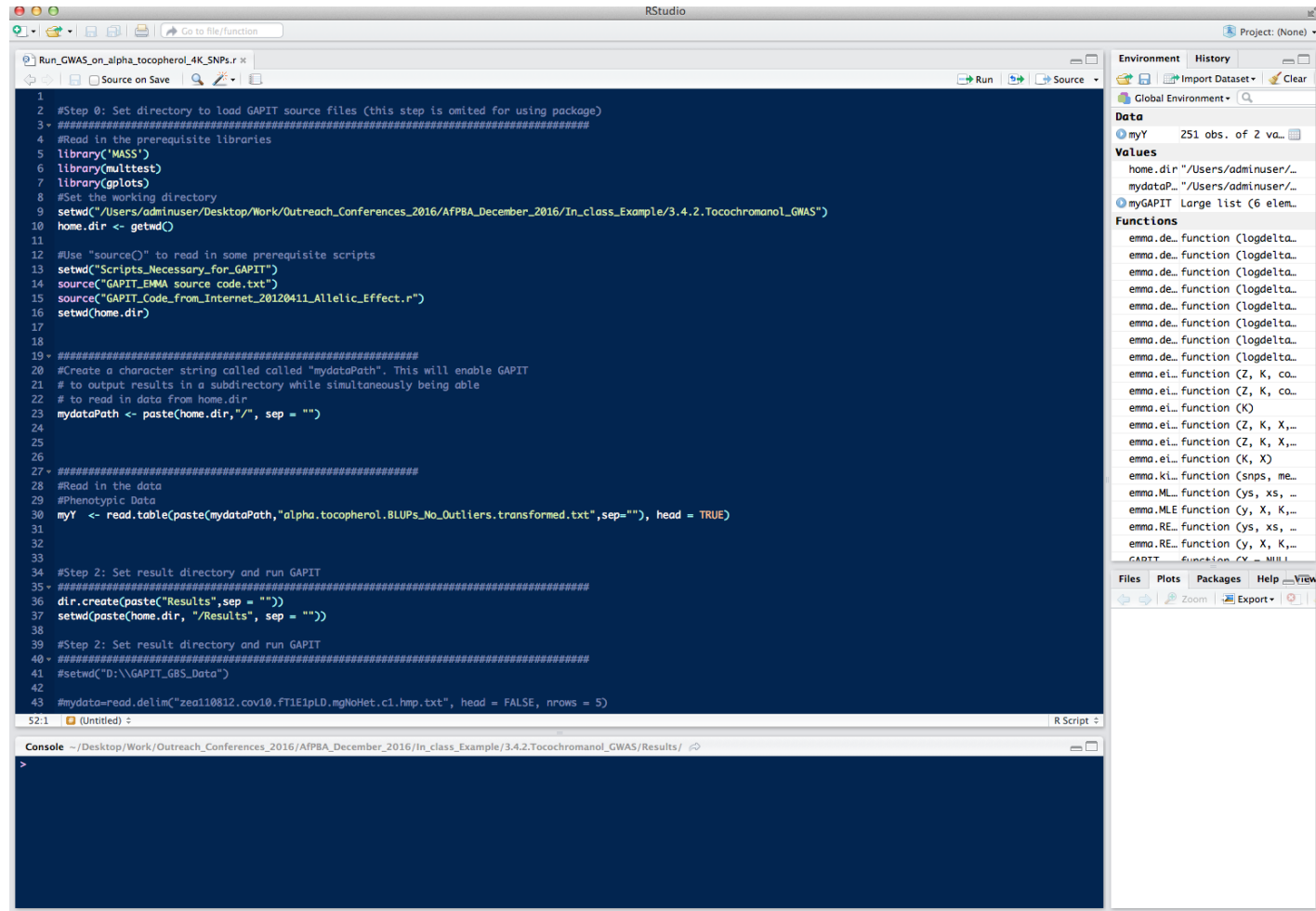
In-class example: GWAS scan of Lipka et al. (2013) data subset

- Trait: α -tocopherol
 - Has the greatest Vitamin E activity
- Marker subset:
 - 3,093 marker set obtained from various marker technologies (i.e., the 4k marker set)
- GWAS software used: Genome association and prediction integrated tool (GAPIT)
 - Unified mixed linear model is fitted at each SNP
 - Population parameters previously determined (P3D) used to save computational time

In-class example: GWAS scan of Lipka et al. (2013) data subset

- 4K_SNPsmdp_genotype_test1_GBS_Names1.hmp.txt
 - Genotypic data: 3,093 SNPs
- alpha.tocopherol.BLUPs_No_Outliers.transformed.txt
 - Phenotypic data: α -tocochperhol levels
- Scripts_Necessary_for_GAPIT
 - Folder containing scripts to be read into R
- Run_GWAS_on_alpha_tocopherol_4K_SNPs.r
 - R script for conducting the GWAS

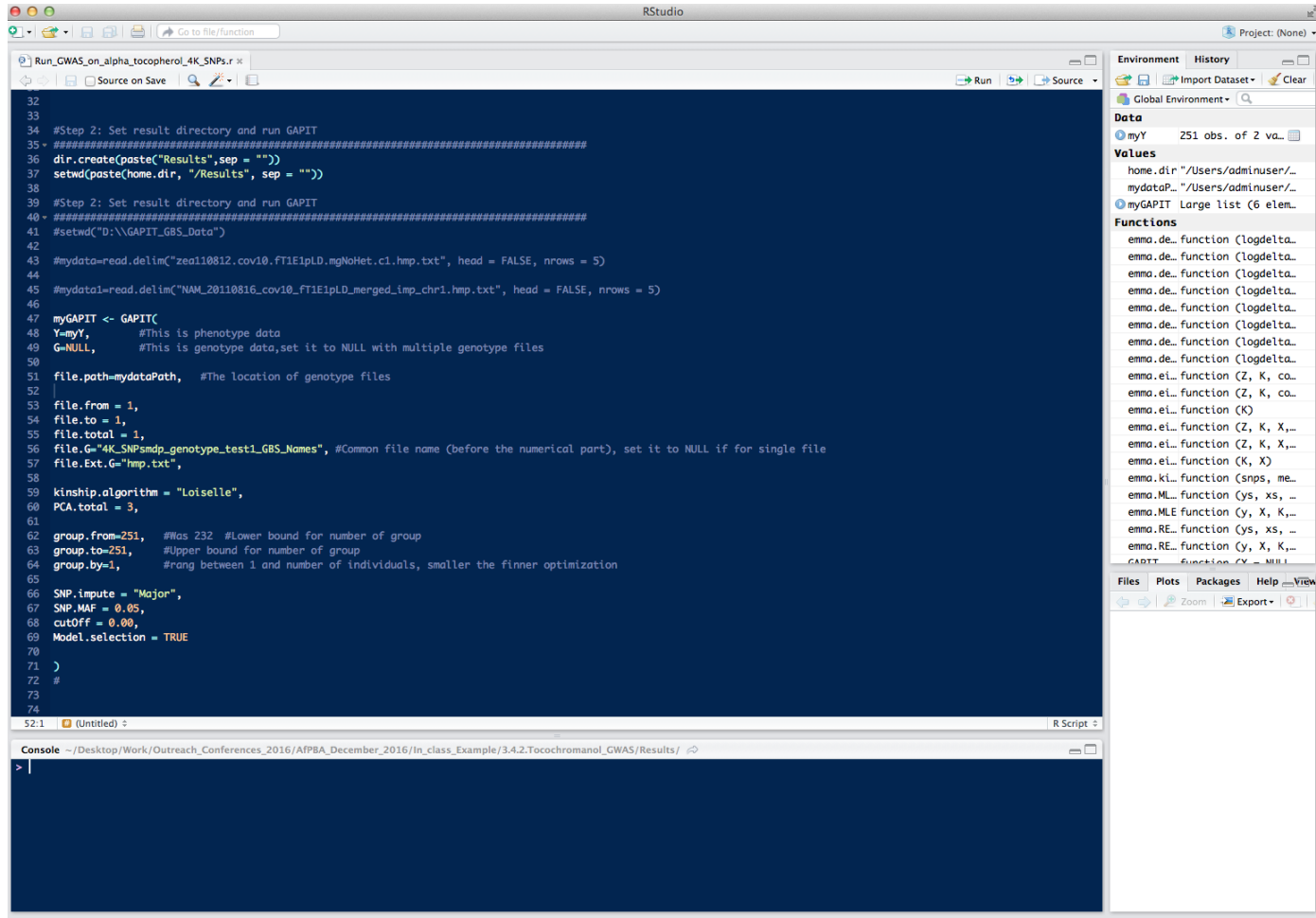
In-class example: GWAS scan of Lipka et al. (2013) data subset



The screenshot displays the RStudio interface. The main editor window shows an R script titled 'Run_GWAS_on_alpha_tocopherol_4K_SNPs.r'. The script is divided into several sections with comments: Step 0 (loading libraries and setting directories), Step 1 (reading data), and Step 2 (setting result directory and running GAPIT). The script uses functions like `library()`, `setwd()`, `source()`, `read.table()`, `dir.create()`, and `read.delim()`. The Environment pane on the right shows the 'Data' section with a variable 'myY' of type 'matrix' (251 obs. of 2 variables). The 'Functions' section lists several functions loaded from the 'emma' package, including `emma.de.function`, `emma.el.function`, `emma.kl.function`, `emma.ML.function`, `emma.MLE.function`, `emma.RE.function`, and `GAPIT`. The Console pane at the bottom shows the execution output, including the path to the results directory: `~/Desktop/Work/Outreach_Conferences_2016/ATPBA_December_2016/In_class_Example/3.4.2.Tocochromanol_GWAS/Results/`.

```
1 #Step 0: Set directory to load GAPIT source files (this step is omitted for using package)
2 #####
3 #Read in the prerequisite libraries
4 library('MASS')
5 library(multtest)
6 library(gplots)
7 #Set the working directory
8 setwd("~/Users/adminuser/Desktop/Work/Outreach_Conferences_2016/ATPBA_December_2016/In_class_Example/3.4.2.Tocochromanol_GWAS")
9 home.dir <- getwd()
10
11 #Use "source()" to read in some prerequisite scripts
12 setwd("~/Scripts_Necessary_for_GAPIT")
13 source("GAPIT_EMMA_source_code.txt")
14 source("GAPIT_Code_from_Internet_20120411_Allelic_Effect.r")
15 setwd(home.dir)
16
17 #####
18 #Create a character string called "mydataPath". This will enable GAPIT
19 # to output results in a subdirectory while simultaneously being able
20 # to read in data from home.dir
21 mydataPath <- paste(home.dir, "/", sep = "")
22
23 #####
24 #Read in the data
25 #Phenotypic Data
26 myY <- read.table(paste(mydataPath, "alpha.tocopherol.8LUPs_No_Outliers.transformed.txt", sep = ""), head = TRUE)
27
28 #####
29 #Step 2: Set result directory and run GAPIT
30 #####
31 dir.create(paste("Results", sep = ""))
32 setwd(paste(home.dir, "Results", sep = ""))
33
34 #Step 2: Set result directory and run GAPIT
35 #####
36 #setwd("D:\\GAPIT_GBS_Data")
37
38 #mydata=read.delim("zeal10812.cov10.FT1E1pLD.mgNoHet.c1.hmp.txt", head = FALSE, nrows = 5)
```

In-class example: GWAS scan of Lipka et al. (2013) data subset



The screenshot shows the RStudio interface with a script editor on the left containing R code for running GAPIT. The code includes comments and function calls for setting directories, reading data, and configuring GAPIT parameters. The Environment pane on the right shows the 'myY' variable as a large list of 251 observations. The Console pane at the bottom is empty.

```
32
33
34 #Step 2: Set result directory and run GAPIT
35 #####
36 dir.create(paste("Results", sep = ""))
37 setwd(paste(home.dir, "/Results", sep = ""))
38
39 #Step 2: Set result directory and run GAPIT
40 #####
41 #setwd("D:\\GAPIT_GBS_Data")
42
43 #mydata=read.delim("zeal10812.cov10_FT1E1pLD.mgNoHet.c1.hmp.txt", head = FALSE, nrows = 5)
44
45 #mydata1=read.delim("NAM_20110816_cov10_FT1E1pLD_merged_imp_chr1.hmp.txt", head = FALSE, nrows = 5)
46
47 myGAPIT <- GAPIT(
48   Y=myY,           #This is phenotype data
49   G=NULL,          #This is genotype data, set it to NULL with multiple genotype files
50
51   file.path=mydataPath, #The location of genotype files
52
53   file.from = 1,
54   file.to = 1,
55   file.total = 1,
56   file.G="4K_SNPsmmp_genotype_test1_GBS_Names", #Common file name (before the numerical part), set it to NULL if for single file
57   file.Ext.G="hmp.txt",
58
59   kinship.algorithm = "Loiselle",
60   PCA.total = 3,
61
62   group.from=251,   #Was 232 #Lower bound for number of group
63   group.to=251,     #Upper bound for number of group
64   group.by=1,       #rang between 1 and number of individuals, smaller the finner optimization
65
66   SNP.impute = "Major",
67   SNP.MAF = 0.05,
68   cutOff = 0.00,
69   Model.selection = TRUE
70
71 )
72 #
73
74
```

Environment: myY (251 obs. of 2 va...)

Values:

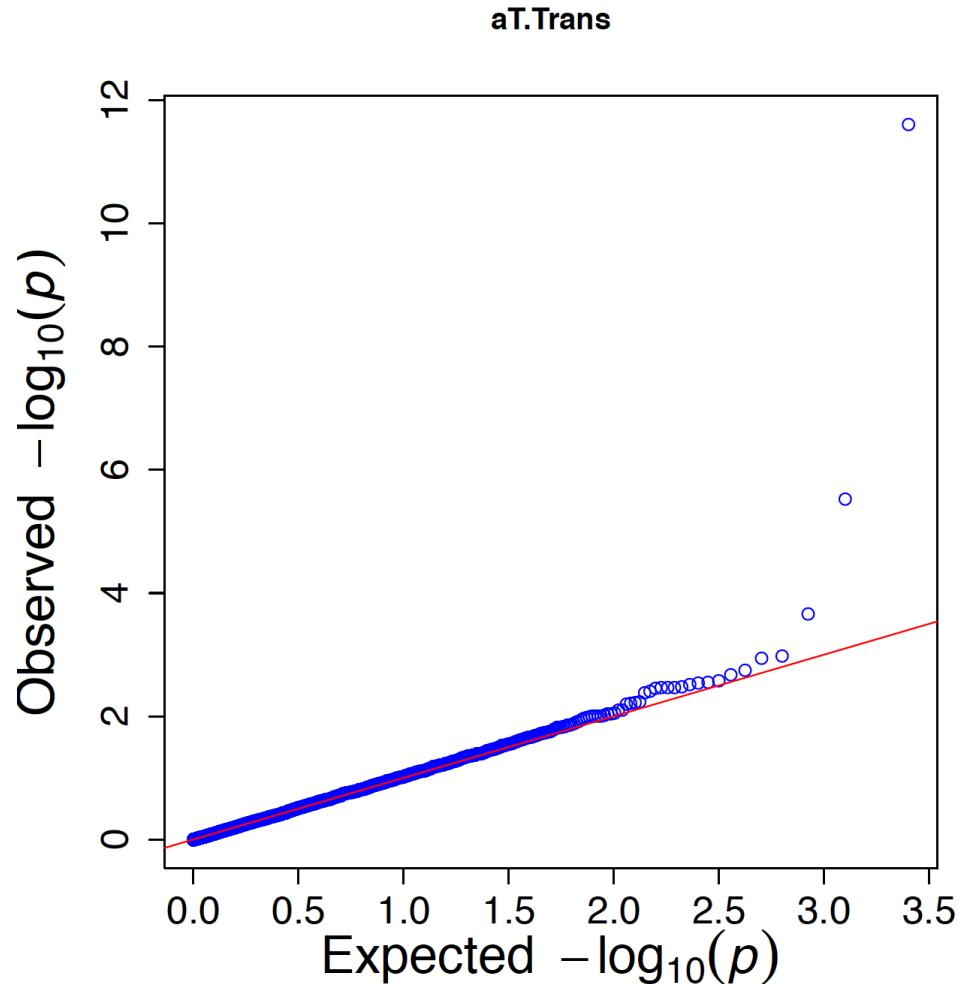
- home.dir "/Users/adminuser/...
- mydataP... "/Users/adminuser/...
- myGAPIT Large list (6 elem...

Functions:

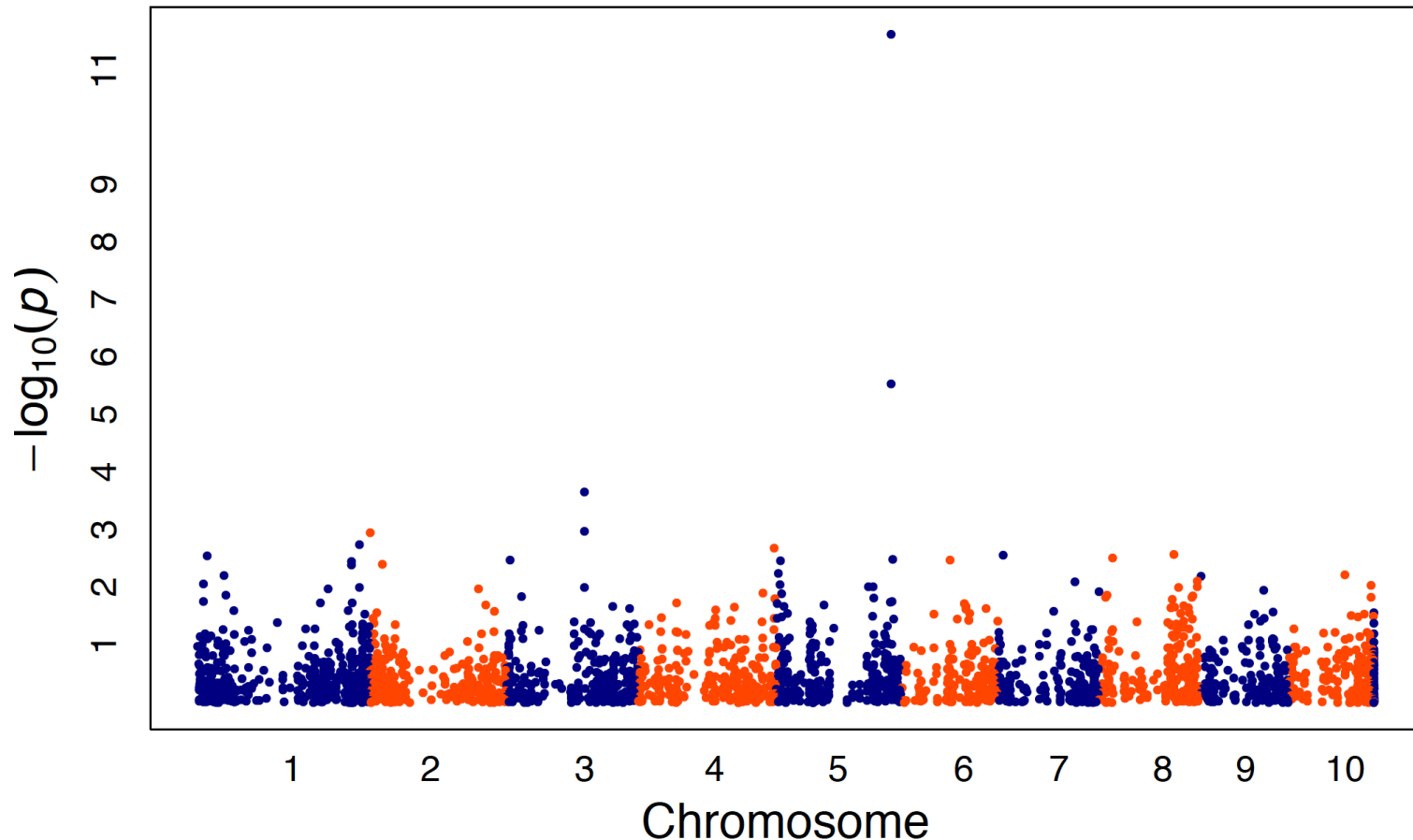
- emma.de... function (logdelta...
- emma.de... function (logdelta...
- emma.de... function (logdelta...
- emma.de... function (logdelta...
- emma.de... function (logdelta...
- emma.de... function (logdelta...
- emma.de... function (logdelta...
- emma.eL... function (Z, K, ca...
- emma.eL... function (Z, K, ca...
- emma.eL... function (K)
- emma.eL... function (Z, K, X, ...
- emma.eL... function (Z, K, X, ...
- emma.eL... function (K, X)
- emma.kL... function (snps, me...
- emma.ML... function (ys, xs, ...
- emma.ML... function (y, X, K, ...
- emma.RE... function (ys, xs, ...
- emma.RE... function (y, X, K, ...
- GAPIT... function (Y = NULL...

- For details on running GAPIT, here is the user manual:
http://zzlab.net/GAPIT/gapit_help_document.pdf

In-class example: GWAS scan of Lipka et al. (2013) data subset



In-class example: GWAS scan of Lipka et al. (2013) data subset

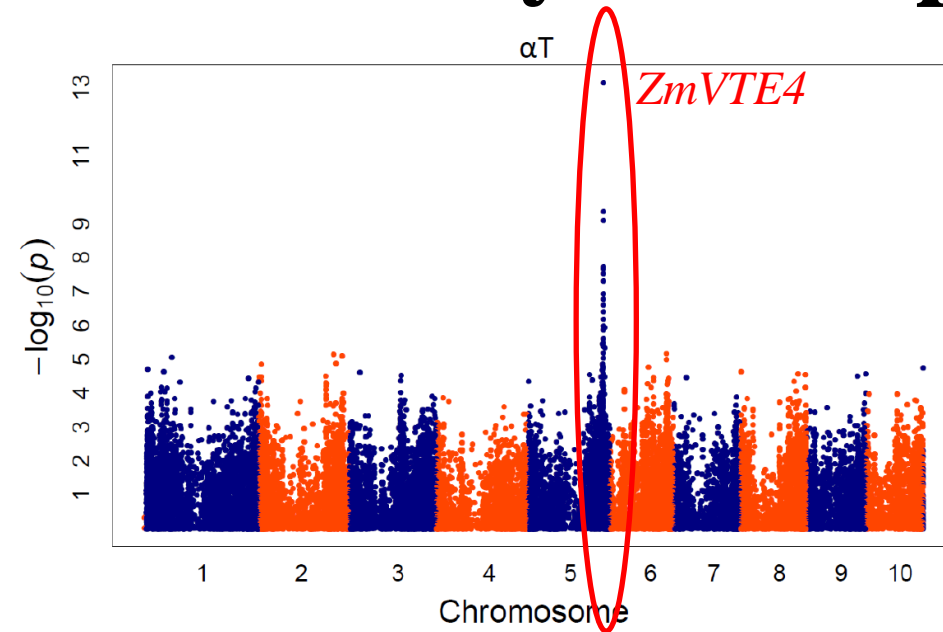


In-class example: GWAS scan of Lipka et al. (2013) data subset

Excel spreadsheet showing GWAS scan results for Lipka et al. (2013) data subset. The spreadsheet displays 19 rows of data, including SNP IDs, Chromosome, Position, P-value, maf, nobs, Rsquare.without.SNP, Rsquare.with.SNP, Effect.Est, and FDR_Adjusted_P-values.

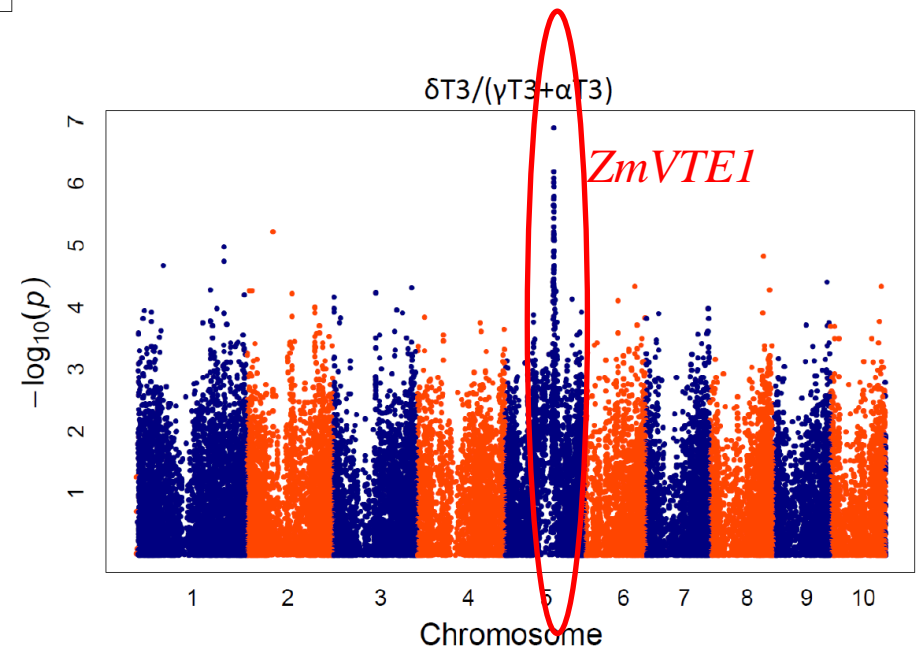
	A	B	C	D	E	F	G	H	I	J
	SNP	Chromosome	Position	P.value	maf	nobs	Rsquare.without.SNP	Rsquare.with.SNP	Effect.Est	FDR_Adjusted_P-values
1	PZB02283.1	5	200,367,532	2.47E-12	0.203187251	251	0.244613724	0.408846946	0.390584717	6.24E-09
2	PZB02424.2	5	200,370,309	2.95E-06	0.167330677	251	0.244613724	0.313756139	-0.25623365	0.003722329
3	PZB02002.1	3	137,231,734	0.000218352	0.199203187	251	0.244613724	0.287148157	0.19282257	0.183634318
4	PZD00015.5	3	137,229,812	0.001045234	0.24501992	251	0.244613724	0.277860945	0.15824979	0.553336778
5	PZA00732.2	2	1,187,041	0.001129994	0.101593625	251	0.244613724	0.277405113	0.215716626	0.553336778
6	PZA03188.2	1	281,708,766	0.001781959	0.374501992	251	0.244613724	0.274756039	0.137534504	0.553336778
7	PZA03322.1	4	236,407,395	0.002084866	0.348605578	251	0.244613724	0.273848712	-0.134203303	0.553336778
8	PHM5468.25	8	130,509,443	0.002645262	0.252988048	251	0.244613724	0.272478664	0.141549925	0.553336778
9	PZB02215.7	7	9,256,489	0.002776692	0.059760956	251	0.244613724	0.272200495	-0.256858949	0.553336778
10	PZA02393.2	1	16,581,396	0.002859692	0.472111554	251	0.244613724	0.272031681	-0.130129573	0.553336778
11	PZA00758.1	8	23,769,876	0.003061352	0.199203187	251	0.244613724	0.271641559	-0.145079639	0.553336778
12	PZA02060.1	5	203,205,315	0.003261396	0.472111554	251	0.244613724	0.271279724	0.134959714	0.553336778
13	PZB01947.1	3	7,600,438	0.003347877	0.183266932	251	0.244613724	0.27113028	-0.161852658	0.553336778
14	PZA00022.2	6	85,884,402	0.003386473	0.37250996	251	0.244613724	0.271064853	-0.12155659	0.553336778
15	PZB01993.3	5	7,871,700	0.003424801	0.111553785	251	0.244613724	0.271000634	0.166582084	0.553336778
16	PZB01725.2	1	267,887,581	0.003509072	0.235059761	251	0.244613724	0.270861986	-0.13178931	0.553336778
17	PZA00590.1	2	22,069,205	0.00390483	0.239043825	251	0.244613724	0.270253433	0.156250018	0.575777451
18	PZB01725.1	1	267,887,847	0.004107806	0.414342629	251	0.244613724	0.269965411	-0.126078331	0.575777451

GWAS identified signals near two biosynthetic pathway genes



- Peak SNP within *ZmVTE4* (P -value = 7.36×10^{-14})
- *ZmVTE4* has been previously identified

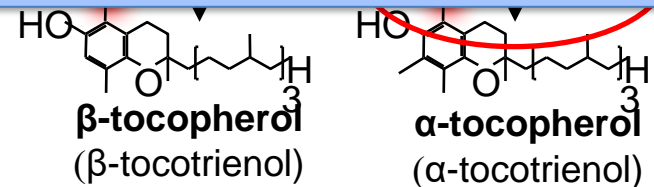
- Peak SNP located 70 bp from *ZmVTE1* start site (P -value = 1.29×10^{-7})
- We are the first to identify *ZmVTE1* in a maize association panel



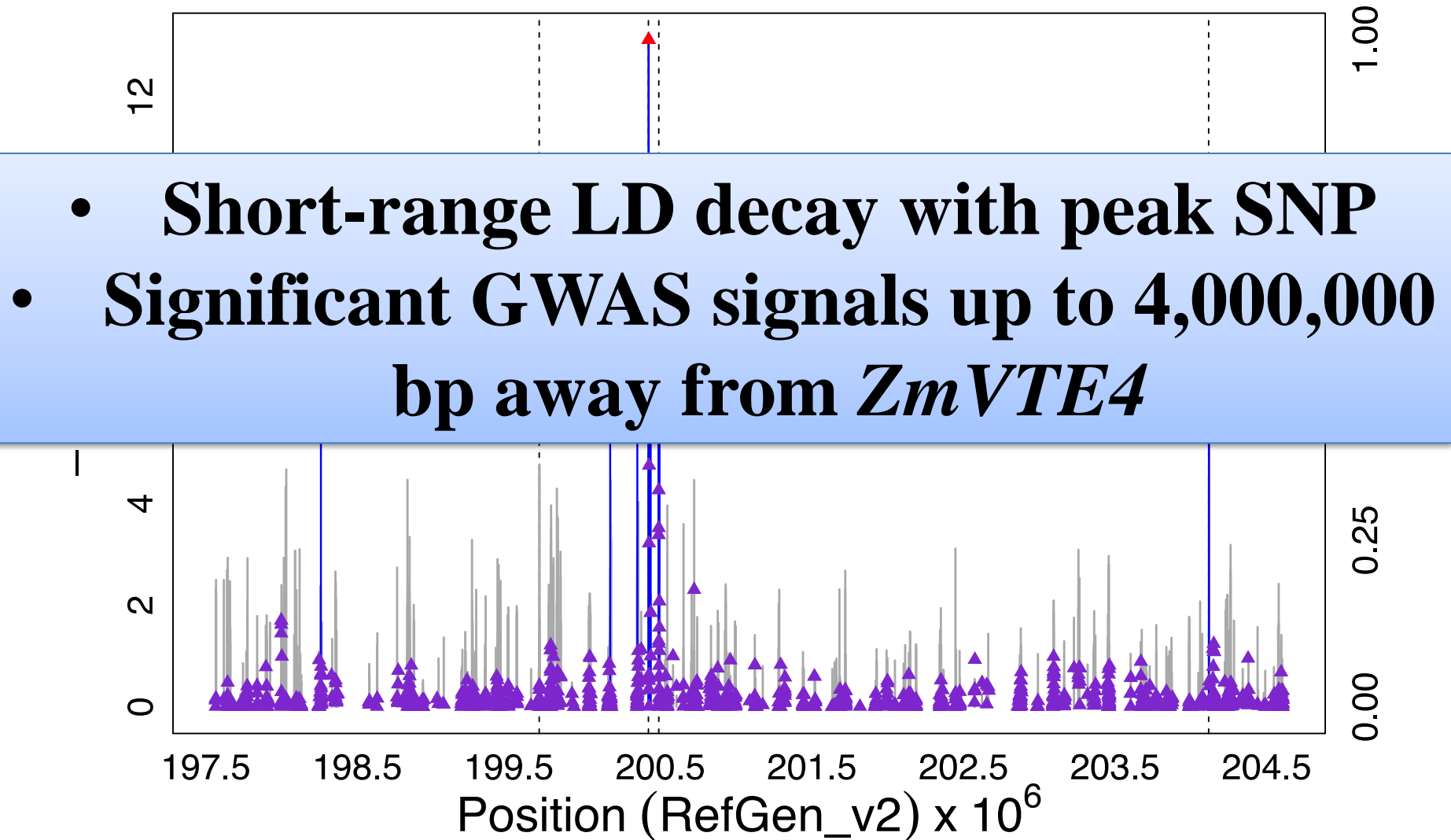
ZmVTE4 and *ZmVTE1* are important genes

Aromatic Amino

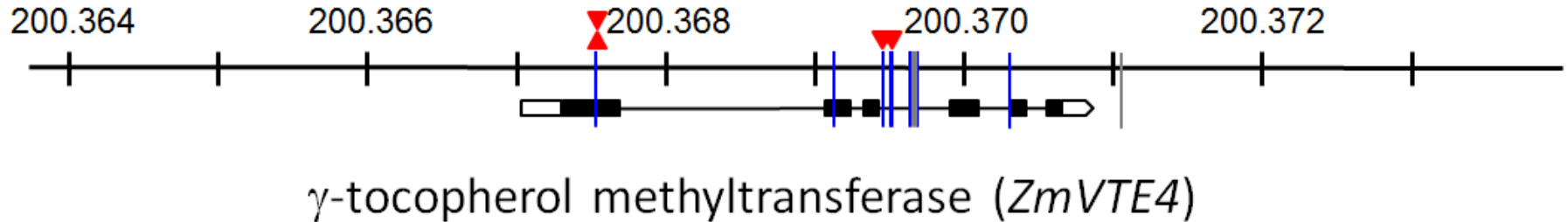
- Possible to develop maize grain with enhanced vitamin E and antioxidant levels via marker-assisted selection of *ZmVTE4* and *ZmVTE1*



Elucidating the association between αT and *ZmVTE4*



Stepwise model selection identified two other *ZmVTE4* SNPs associated with α T



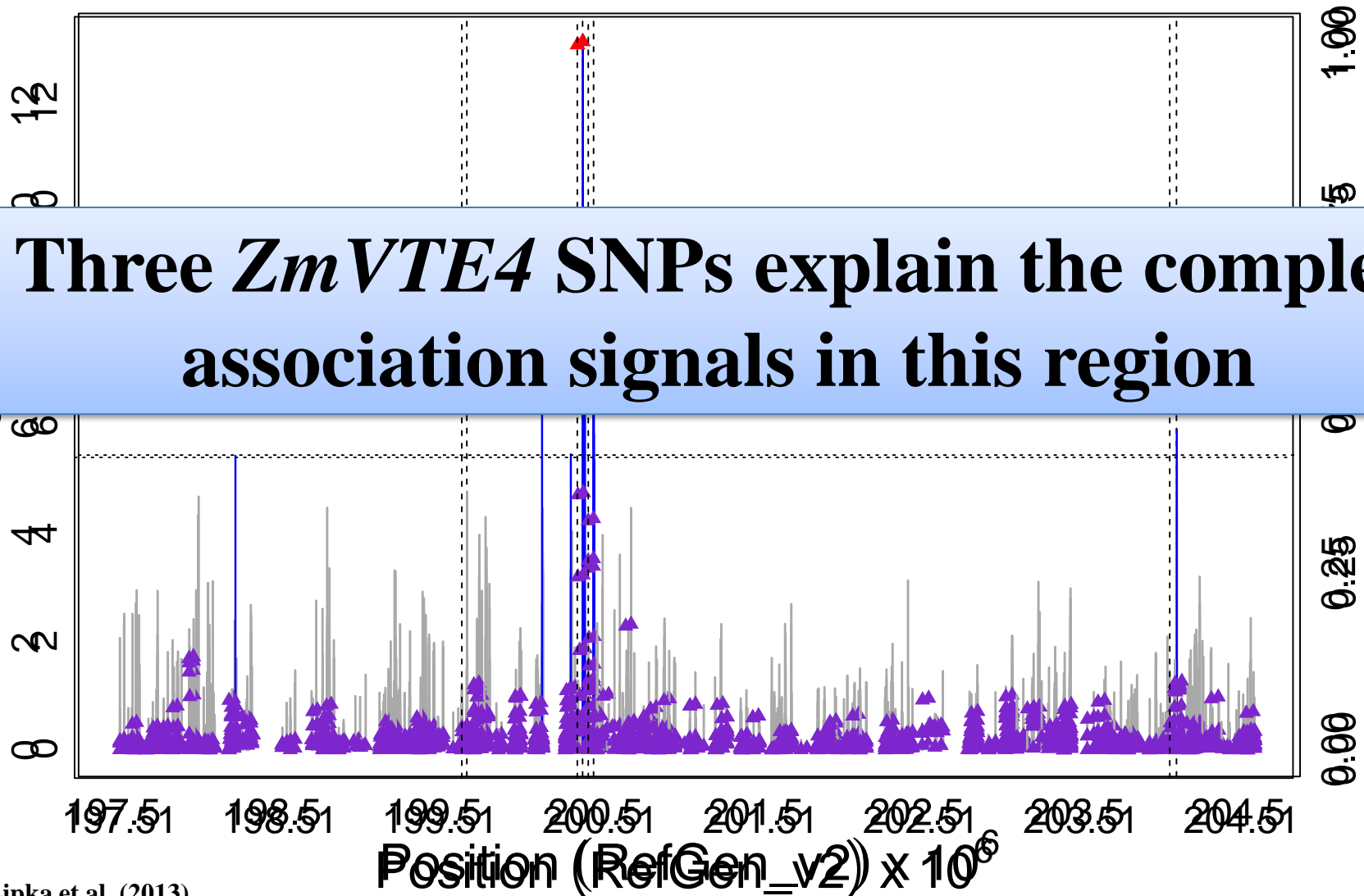
▲ = SNP identified in GWAS

▼ = SNP identified in stepwise model selection (developed in Segura et al., 2012)

- *ZmVTE4* signal explained by three SNPs
- 5.76-fold change in α T levels between most and least favorable haplotypes of these three SNPs

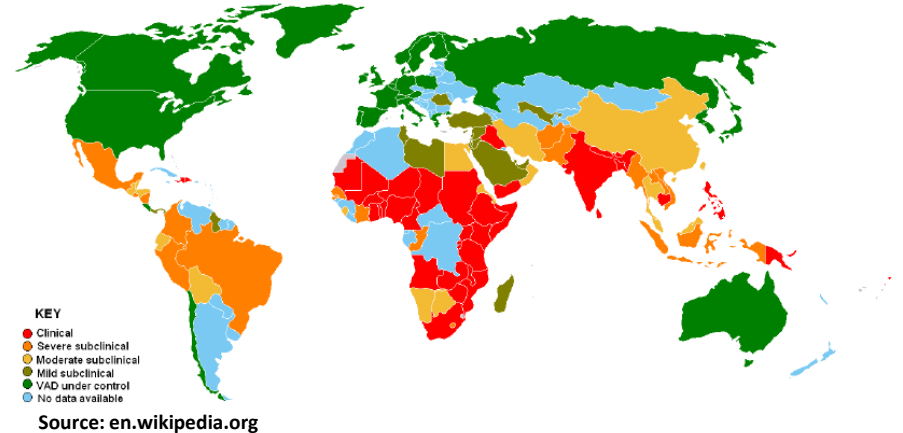
Including three *ZmVTE4* SNPs as covariate removes signal

Three *ZmVTE4* SNPs explain the complex association signals in this region



Targeting vitamin A deficiency through biofortification

- Vitamin A deficiency (VAD):
 - Affects 17-30% of children under 5
 - 250-500,000 children become blind every year
 - Infant morbidity and mortality
- Maize is a primary food source in many vitamin A deficient regions
- Biofortification: breed locally-adapted maize lines for increased provitamin A levels in grain



Work in maize provitamin A biofortification prior to Owens/Lipka et al. (2014)

- Candidate gene studies identified loci in maize (Harjes et al., 2008; Vallabheneni et al., 2010; Yan et al. 2010)

Owens/Lipka et al (2014):

1.) Conduct an GWAS to identify new candidate genes

2.) Determine a minimal marker set to accurately predict carotenoid levels

Heritability identified among metabolite
QTL (Kandianis et al., 2013)



Source: Chandler/Lipka et al., 2013

Data analyzed in Owens/Lipka et al. (2014)



- **Maize lines with white kernels do not produce measureable carotenoids**
- **We only analyzed a subset of 201 lines that range from light yellow to dark orange kernel color**

field seasons

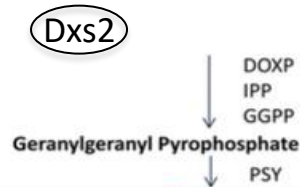
- Compound levels quantified in grain:
 - Carotenoids for 252 lines

GWAS found significant marker-trait associations near carotenoid pathway genes

- Adjusting for multiple testing at the genome-wide level was conservative
- We also conducted a pathway-level analysis, where only markers near 58 *a priori* genes were considered

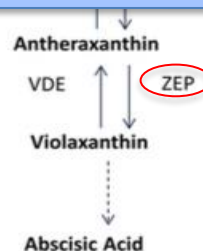


GWAS found significant marker-trait associations near carotenoid pathway genes



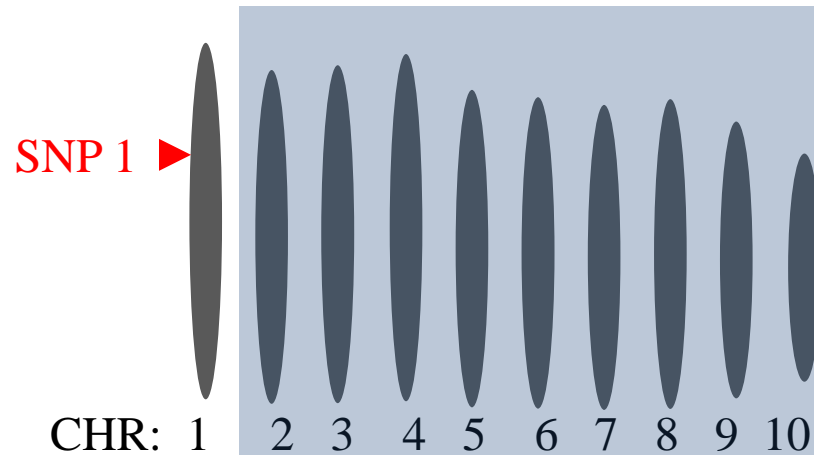
○ = Significant at the genome-wide level

- This work identified potential targets for marker-assisted selection (MAS)
 - Are selecting for these target loci sufficient for improving provitamin A content in maize grain?



Targeted marker subsets for estimating kinship

- Suppose we are testing **SNP 1 on chromosome 1**
- **K_chr model has greater power to detect marker-trait associations in high-LD regions**
 - Similar “leave one chromosome out” approach used for other chromosomes





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Re-evaluated associations using K_chr model



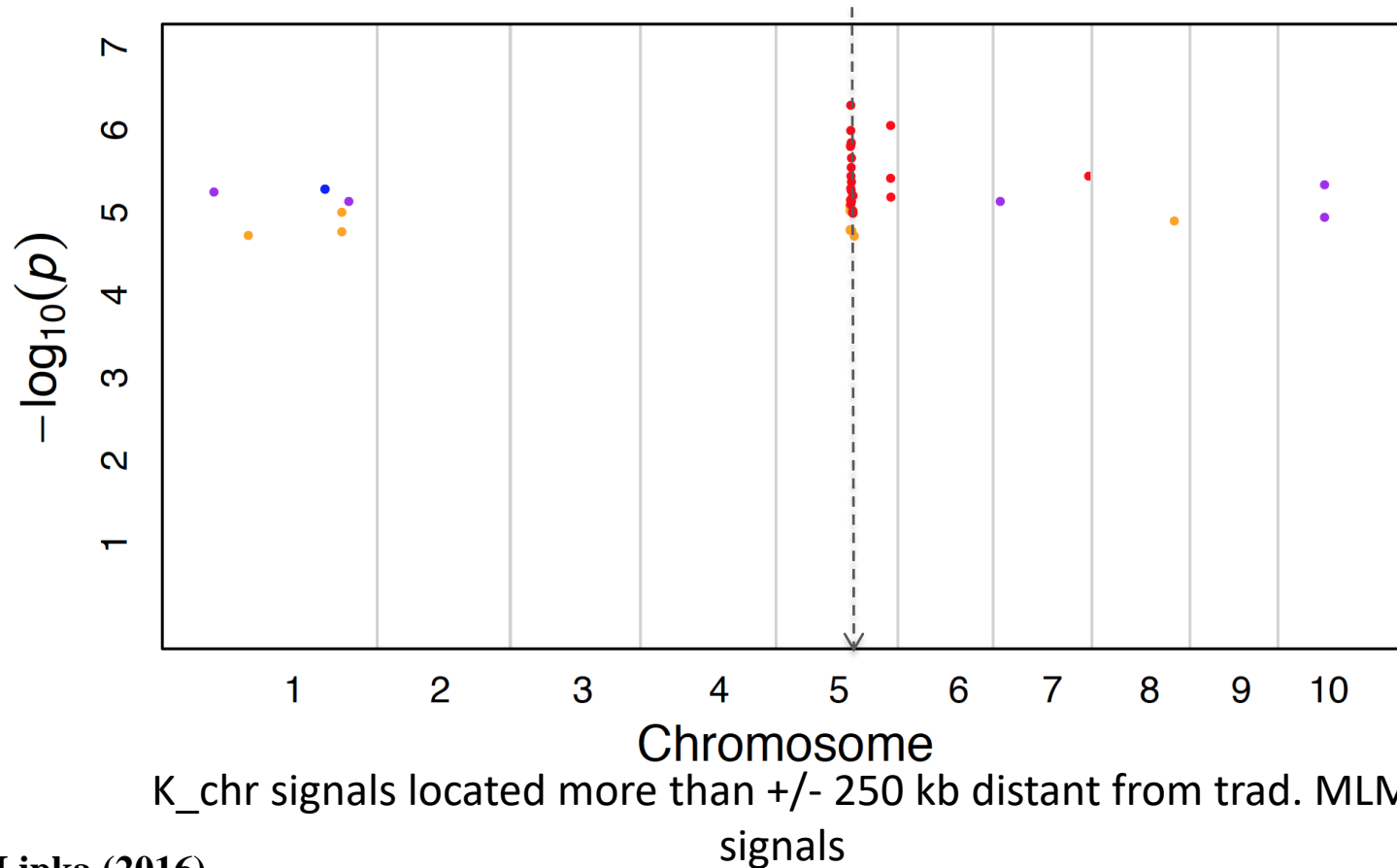
- **Previously published GWAS results from two maize diversity panels:**
 - Mendelian: Sweet vs. starchy corn
 - Polygenic: Carotenoids and tocochromanols
 - Complex: Flowering time and plant height
- **Compared results of the K_chr model to the unified MLM:**
 - Did the K_chr model identify signals in “novel genomic regions”?
 - Did the K_chr model identify more statistically significant associations in high LD regions?

K_chr identified signals in “novel genomic regions”



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Four tocochromanol traits in Goodman diversity panel
ZmVTE1





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K_chr identified stronger associations in high LD regions

Associations with tocotrienol ratio in vicinity of *ZmVTE1*

