

Feasibility of pedigree recording and genetic selection in village sheep flocks of smallholder farmers

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2 **Abstract**

4 Pedigree recording and genetic selection in village breeding flocks has been deemed
6 infeasible by researchers and development workers. This is mainly due to the difficulty of sire
8 identification under uncontrolled village breeding practices. A pilot village-based genetic
10 improvement program as well as a central nucleus breeding flock has been established for
12 Menz sheep of Ethiopia. A performance and pedigree recording scheme was developed for the
14 village-based genetic improvement activities. Selection of breeding stock in the village flocks
16 was based on phenotype of the candidates or farmers subjective criteria; and response to
18 selection was estimated based on phenotypic trends across generations. In this paper we
20 evaluate the reliability and accuracy of village-based pedigree recording by comparing genetic
22 parameter estimates and their standard errors estimated from the village and the nucleus
datasets. Effectiveness of selection was evaluated based on trends in breeding values over
generations. The village herd boys were able to identify the sires of 80% of the matings that
took place between 2002 and 2004. The coefficients of variation and the heritability estimates
and their standard errors for 6-month weights recorded in the villages and nucleus flocks were
very similar. There were increasing trends in the average estimated breeding values over
generations for birth, 3-month and 6-month weights in the village flocks. The third generation
lambs had a genetic superiority of 1.08 kg over the base generation lambs in 6-month weight.
Similar trends were observed for the phenotypic values over generations. These results have a
number of implications. The major finding is that the pedigree recorded in the village flocks is
reliable. Secondly, appreciable genetic improvement could be achieved from selection using

farmers' subjective criteria. Thirdly the use of adjusted phenotypic values as an approximation to breeding values and to estimate responses to selection in village-based breeding programs could be justified. Furthermore, genetic parameters such heritability can be estimated based on village recording. Reliable village recording system can be organized and implemented by farmer enumerators recruited from the village community. However, this requires designing innovative recording scheme suitable to smallholder village breeding practices and a continuous monitoring by expert breeders from research and development institutes.

1. Introduction

The common characteristic of livestock breeding under smallholder systems in developing countries is the absence of structured genetic improvement programs (Kosgey and Okeyo, 2007). This could partly be due to the difficulty of implementing effective breeding programs under smallholder conditions. Earlier attempts focused on central nucleus breeding flocks to generate and disseminate genetic improvement to village flocks (Ponzoni, 1992; Gizaw et al., 2007). Recently, village-based breeding programs are being attempted (Wurzinger *et al.* 2008; Gizaw *et al.* 2009; Gizaw *et al.* 2011; Aynalem et al., 2011; Mirkena et al., 2011).

Implementation of effective village-based selective breeding programs under smallholder livestock farming systems is indeed a challenge (Philipsson et al., 2011; Rege et al., 2011). The major challenges include selecting genetically superior breeding stock and estimating responses to selection based on accurate estimates of breeding values. The underlying bottleneck is the difficulty of recording reliable and accurate pedigree and performance data required to estimate breeding values.

1 A pilot village-based genetic improvement program (Gizaw et al., 2011) as well as a
2 central nucleus breeding flock (Gizaw et al., 2007) has been established for Menz sheep of
Ethiopia. A performance and pedigree recording scheme was developed for the village-based
4 genetic improvement activities. Selection of breeding stock in the village flocks was based on
phenotype of the candidates or farmers subjective criteria; and response to selection was
6 estimated based on phenotypic trends across generations. In this paper we evaluate the village-
based performance and pedigree recording in terms of its reliability and accuracy to estimate
8 genetic parameters, predict breeding values and estimate genetic trends across generations; So
far, these have been estimated only based on data collected in flocks maintained in research
10 centers under controlled breeding practices.

2. Materials and methods

12 2.1. Village flocks

A pilot village-based breeding program was set up in 2009 in two villages in Menz sheep
14 breeding area in the subalpine highlands of Ethiopia. The area is subalpine with an altitude of
about 3200 m above sea level. The objective was to improve the genetic merits of Menz sheep
16 in growth traits so as to increase their contributions to the livelihoods of their keepers, and by
so doing the competitiveness and long-term development and use of Menz sheep breed.

18 *Organization*

The breeding program was organized as a cooperative breeding group based on a
20 conceptual framework described elsewhere by Gizaw *et al.* (2009) and Gizaw *et al.* (2011b). The
program was designed to benefit from the existing sheep production practices, while ensuring

that the existing bottlenecks such as inbreeding, uncontrolled mating, pedigree recording and
2 small household flock sizes were taken into account and overcome. The breeding group was
formed by two adjacent villages whose flocks share common grazing fields and watering points,
4 hence can be considered as one big interbreeding population, separate from other village
populations. The group consisted of fifty farmers with a total breeding flock of 1005 ewes. The
6 breeding group was sub-grouped into 17 ram groups each comprising 2 to 4 farmers. The ram
groups were expected to use a ram communally. The formation of the ram groups was based
8 on mapped social structure (i.e. settlement, social connections) and grazing management of
their flocks. Bylaws were drafted to guide and govern the cooperators and the cooperative. The
10 bylaws include regulations on membership and breeding activities including recording,
selection, use and management of breeding rams.

12 *Recording*

One of the participating farmers in the project villages was recruited and trained as an
14 enumerator. His role was to coordinate the breeding program and collect pedigree and
performance data from the participating village flocks. All animals in the villages were uniquely
16 identified using ear tags. Data collection commenced prior to the start of the first round of
selection. Baseline information collected include parity of the ewes using farmer-recall method;
18 age of the ewes based on their dentition; date of birth, dam identity , birth weight and
subsequent weights of lambs sired by non-selected village rams at the start of the breeding
20 program. The performance of lambs sired by the unselected village sires served as a baseline or
contrasts against which the genetic progress resulting from selection was assessed.

After the selection activity started, data on matings, lambings and body weights were recorded. Mating records were collected as follows: the enumerator collects information from the flock owners on matings that took place within the breeding groups. Matings that took place in grazing areas were recorded or reported to the enumerator by the herdsboys to identify sires of new born lambs. The enumerator makes rounds of visits to the villages every morning to record lambs born, identify lambs by ear tags, and weigh the newborn. The lambs were also weighed at three and six months of age.

8 *Selection and mating*

A one-tier breeding structure was adopted, i.e. selection was implemented in the whole village sheep population. Selection was implemented across villages and flocks. All six month old ram lambs from all flocks in the project villages were evaluated together as cohorts. Selection of the best young rams was planned to be based on their six-month weight corrected for non-genetic factors, and to subject these criteria further to farmers' selection criteria which have been defined earlier (Getachew, 2008; Gizaw *et al.* 2010). Farmers however put heavier weights on their own subjective morphometrics-type of criteria (i.e. pelvic width and body length) to select the rams. The selected rams were assigned to ram groups following a family mating plan to avoid inbreeding (Croston and Pollot, 1994). The ram groups were organized in such a way that the rams would be used and managed communally. Mating was planned and restricted to within the ram groups. However, some matings could happen across the ram groups. All unselected ram lambs and old breeding rams were culled at each round of selection, castrated fattened and sold to establish a revolving fund which was then used to compensate or pay for the selected rams.

2.2. Nucleus flock

2 A nucleus flock of Menz sheep was established in 1998 at Debre Birhan Agricultural
Research Center and substantial genetic gains have been achieved (Gizaw *et al.* 2011a).
4 Selection of breeding stocks and evaluation of genetic progress was based on breeding values
for yearling weight estimated using BLUP procedure. Mating was arranged in single-sire mating
6 groups. Recording included dates, body weights and pedigrees.

2.3. Evaluation of village recording and selection

8 The basis for evaluating the reliability and accuracy of village-based recording was
comparison of genetic parameters (heritability and correlations) estimated from the village
10 data set with estimates from the nucleus data set recorded under controlled research
conditions. The criteria used were the coefficients of variation of body weight records, genetic
12 parameter estimates and their standard errors. The reliability of pedigree recording and
effectiveness of genetic selection in village flocks was evaluated based on estimates of breeding
14 values and the genetic progress achieved in village flocks.

The village and nucleus datasets respectively consisted of 1442 and 1948 records for
16 birth weight, 1247 and 1597 for 3-month weight, and 1098 and 1320 for 6-month weight. The
3-month and 6-month records were adjusted to a standard 90 and 180 days weights. The two
18 data sets were separately fitted to the same analysis model to estimate genetic parameters and
breeding values. Variance components and best linear unbiased prediction (BLUP) of breeding
20 values for birth, three month and six month weights were obtained from the solutions for the
random animal genetic effect in a multi-trait individual animal model analysis. The data were

analyzed using WOMBAT Version 01-11-2011 (Meyer, 2009). The following model was fitted to the data:

$$Y_i = X_i b_i + Z_i a_i + e_i$$

where Y_i is vector of observations for trait i , b_i denotes a vector of fixed effects for trait i (sex, season and year of lamb birth, and dam parity), a_i is a vector of random animal effects for trait i , e_i is vector of random residual effects for trait i , and X_i and Z_i are incidence matrices relating records for trait i to fixed effects and random animal effect, respectively.

3. Results

3.1. Pedigree and performance Recording

The percentage of lambs whose birth date, sex, dams and sires were identified and recorded in a cooperative breeding village and a nucleus breeding flock is shown in Table 1. The results are very similar for the village and nucleus data sets, except the percentage of sires identified. The percentage of the sires identified in the village flock was lower than in the nucleus flock. However, the herd boys were able to identify the sires in about 80% of the matings.

Table 1. Percentage of new born lambs with their birth date, sex and pedigree identified and recorded at a cooperative breeding village in Menz region and nucleus breeding flock at Debre Birhan Research Center, Ethiopia

Birth date	Sex	Dams	Sires	Doubtful
recorded	identified	identified	identified	dam/sire

Village data set	97.86	97.86	97.86	79.49	1.10
Nucleus data set	100.00	99.89	99.89	99.78	0.27

2 Two measures of accuracy were considered to evaluate the reliability of performance
recording in a cooperative village flocks and a nucleus breeding flock (Table 2). The coefficients
4 of variation for 6-month and 3-month weights recorded by a farmer enumerator in the
cooperative village were very similar to the records collected in the nucleus flock by the
6 research staff. However, the coefficients of variation for the village data set were lower than
the nucleus data set. Similarly, the standard deviations from the two data sets were very
8 similar, except for birth weight.

Table 2. Coefficients of variation (CV), means and standard deviations (SD) of body weight
10 measurements recorded in village and nucleus flocks

	Birth weight		3 month weight		6 month weight	
	Village data set	Nucleus data set	Village data set	Nucleus data set	Village data set	Nucleus data set
No. of observations	1400	1953	1115	1599	1045	1322
CV (%)	10.55	19.73	18.63	21.44	15.38	19.43
Mean (kg)	2.41	2.24	8.92	8.69	13.04	11.08
SD (kg)	0.29	0.47	2.09	2.30	2.26	2.51

3.2. Estimates of genetic parameters

The heritability estimates for body weight at six months of age (the selection criterion in Menz sheep breeding program) estimated from the village and nucleus data sets were almost identical (Table 3). The standard errors of the heritability estimates for the village and nucleus data sets were also very similar for all the traits studied. The heritability of birth weight based on the village data set was however lower than that estimated from the nucleus data set.

The genetic and phenotypic correlations of six month weight with both birth weight and three month weight were higher than the correlations between birth and three month weights (Table 4). These correlation trends were similar for both the village and nucleus data sets. However, in all cases the correlations estimated from the nucleus data set were higher than those estimated from the village data set. The standard errors of the correlation estimates from the village data set were higher than the estimates from the nucleus data set.

Table 3. Heritabilities and their standard errors estimated from village and nucleus data sets

	Birth weight		3 month weight		6 month weight	
	Estimate	Standard error	Estimate	Standard error	Estimate	Standard error
Village data set	0.375	0.064	0.168	0.054	0.479	0.076
Nucleus data set	0.471	0.046	0.429	0.052	0.471	0.055

Table 4. Genetic (above diagonal) and phenotypic (below diagonal) correlations and their standard errors estimated from village and nucleus data sets

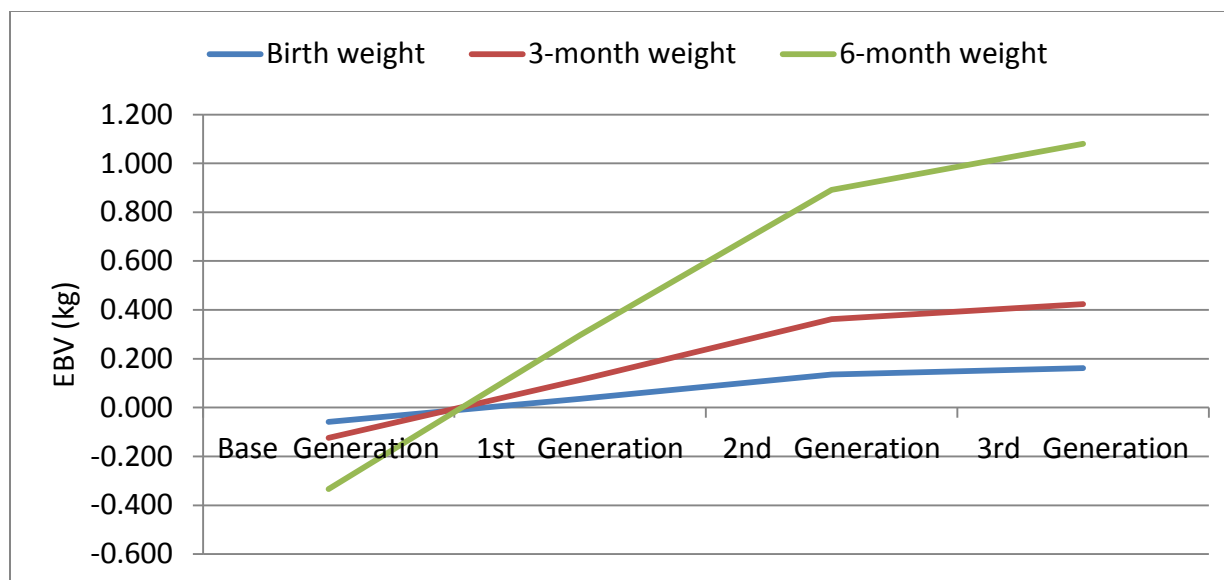
BWT		WWT		SWT	
Village	Nucleus	Village	Nucleus	Village	Nucleus

BWT			0.460±0.171	0.603±0.071	0.598±0.114	0.633±0.068
WWT	0.197±0.030	0.436±0.024			0.817±0.101	0.995±0.010
SWT	0.248±0.031	0.442±0.025	0.525±0.023	0.809±0.010		

BWT birth weight; WWT 3-month weight; SWT 6-month weight

2 3.3. Estimates of genetic progress

Genetic progress per generation achieved from selection for 6-month weight in village
4 flocks is presented in Figure 1. There were increasing trends in the average estimated breeding
values of birth weight, 3-month weight and 6-month weight. The third generation lambs had a
6 genetic superiority of 1.08 kg over the base generation lambs in 6-month weight. The base
generation lambs are those lambs sired by non-selected rams at the beginning of the breeding
8 program. The average least squares means for the base, first, second and third generations
respectively were 11.61, 13.24 and 14.30 kg for 6-month weight, 8.08, 9.13 and 9.96 kg for 3-
10 month weight, and 2.18, 2.47, 2.56, and 2.69 for birth weight. The level of inbreeding was low
across generations; 0.0, 0.0017, 0.0006, and 0.0023 for the base, first, second and third
12 generations, respectively.



2 Figure 1. Genetic progress per generation (Estimated breeding values, EBV) resulting from
 3 selection for 6-month weight in a cooperative breeding village in Menz region, Ethiopia

4 4. Discussion

5 Pedigree recording in village breeding flocks has been deemed infeasible by researchers
 6 and development workers. This is mainly due to the difficulty of sire identification under
 7 uncontrolled village breeding practices; uncontrolled breeding practices is common in Menz
 8 region with 44.1% of the farmers practicing uncontrolled breeding (Gizaw et al., 2009). Genetic
 9 selection in smallholder farming systems has thus remained a challenge so far. As a result,
 10 selection of breeding stock and estimation of genetic progress in village-based breeding
 11 programs had to be based on phenotypic trends, which might be less reliable than estimates
 12 obtained from BLUP breeding values.

13 The data from the current study show that pedigree and performance recording can be
 14 reliably undertaken in village flocks of smallholder farmers, so long as this is done by one of

their own. The percentage of lambs whose birth date, sex and dams identified and recorded in
2 cooperative breeding villages was found to be as high as the pedigree recorded in a nucleus
flock maintained in a research centre. The farmers were also able to identify the sires of about
4 80% of the lambs born in the villages. The current results are in full agreement with a previous
survey of farmers' sheep breeding practices in Menz region, where farmers claim that 97.5%
6 and 36.3% of farmers in Menz region can identify the dams and sires of their lambs (Gizaw et al.
2009). In fact, the current result indicates that sire identification in village breeding can be more
8 feasible than the farmers thought or practiced if suitable pedigree recording is devised.

The validity of the performance and pedigree records collected in the cooperative
10 breeding villages was put under some rigorous assessment. The coefficient of variation (CV),
which is a function of the means and their standard errors, of body weight measurements were
12 considered as a measure of their reliability and/or accuracy. It is suggested that the CV instead
of the standard deviation should be used for comparison between data sets with different units
14 or widely different means, as the CV would be constant over a large range of measurements.
Accordingly, the 3-month and 6-month weight data could be considered reliable and thus used
16 to compare the respective village collected data to those collected in the nucleus flock.

Genetic parameters such as heritabilities and genetic correlations have so far been
18 estimated based on data collected on flocks maintained in research centers under controlled
mating. No genetic parameters estimated based on recording in smallholder sheep locks have
20 been reported. The striking similarity of the heritability estimates for 6-month body weight and
particularly their standard errors (which can be taken as a measure of reliability) from the

village and nucleus datasets is a good indicator for the feasibility of the village recording system adopted in our breeding program. The current estimates are also within the range of estimates in the literature (Safari et al. 2005; Gizaw and Joshi, 2004). The discrepancy between some of the genetic parameter estimates, particularly estimates for birth weight, from the two datasets could be explained by fact that the heritability is not only a genetic property of a population, but also of the distribution of environmental values that the population experiences. However, recording birth weights under village conditions is difficult and may not be reliable.

Results of the positive genetic trends and the reliable genetic parameters estimates for the village dataset in this study have a number of implications. The major finding is that when participatorily implemented, village recording systems can be highly reliable and effective. Secondly, appreciable genetic improvement could be achieved from selection using farmers' subjective criteria. This can be explained by the high genetic correlation between body weights and linear size traits such as pelvic width (Janssens and Vandepitte, 2004; Afolayan et al., 2007; Gizaw et al. 2008). The phenotypic trends estimated in the current study correspond very well to the genetic trends. This could thus justify the use of phenotypic values (duly adjusted for non-genetic factors) as an approximation to breeding values and to estimate responses to selection in village-based breeding programs. Furthermore, genetic parameters such heritability can be estimated based on village recording, which has so far been estimated from recording in nucleus flocks.

Nonetheless, our results also indicate that genetic selection which requires pedigree recording and estimation of breeding values to select breeding animals and estimate genetic

progress is also feasible under village conditions too. Reliable village recording system can be
2 organized and implemented by farmer enumerators recruited from the village community.
However, recording in village flocks requires designing simple but innovative recording
4 schemes, which if agreed upon and implemented in participatory manner would be feasible and
suitable to smallholder village breeding practices. This could be made even more sustainable if
6 faster feedbacks were enabled, by innovatively using emerging cell phone based recording
technologies such as ODK (open data kit), thus allowing more continuous monitoring by expert
8 breeders from research and development institutes. This would further enable timely
feedbacks of the results and implications to the farmers, thus provide the key incentive for
10 recording that has hitherto remained elusive in low-input livestock production systems where
elaborate supportive organizational structure and infrastructure for western world type of
12 recording are poor or non-existent.

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