

# CGIAR Outcome/ Impact Case Study Template

[Explanations and guidance in brackets]

## 1. Title (up to 20 words)

[The title should clearly indicate the nature of the outcome/impact. E.g. Use of seasonal forecasts by an estimated 2 million farming households led to increased production / income / etc. of the order of XX% in Country X... ]

## 2. Short outcome/impact statement (up to 80 words)

[In a succinct statement of 1-3 sentences that can fit into a table, make the outcome crystal clear to readers. The following points should be covered: (i) the CGIAR research innovation that led to the outcome/impact, (ii) the specific users of/beneficiaries from the research innovation, and (iii) the nature of the outcome/impact]

## 3. Link to Common Results Reporting Indicator #I3

Does this outcome reflect a contribution of the CGIAR in influencing or modifying policies (or strategies / laws/ regulations/ budgets/ investments or curricula)? [See guidance for common reporting indicator #I3 for further explanation and definitions of what is included under the term 'policy'] **YES/NO**

If **NO**, continue to question 4,

If **YES** .. insert link to loop on disaggregates for I3 here.

## 4. Maturity of change reported (tick-box)

**[Tick one of the following boxes corresponding to the highest level of maturity.**

Note: The case study may describe outcomes or impacts that vary in maturity and scale, from early behavior changes in 'boundary partners' (CGIAR sphere of influence) to long-term, large-scale ex-post changes in health, wealth or environmental factors to which CGIAR research has contributed (sphere of interest), see figure 1. All stages of maturity are important and interesting for reporting. There is particular demand, however, for case studies that document ex-post, at-scale impacts showing linkages to the CGIAR Strategic Results Framework System Level Outcomes and targets. Research programs are encouraged to invest (with partners, such as SPIA) in relevant ex-post Impact Assessments wherever possible.]

**Stage 1:** (*sphere of influence*) CGIAR research (and related activities) has contributed to changed discourse and/or behavior among next users (related to the theory of change). Examples of evidence: outcome mapping study, media analysis, e-mail correspondence

**Stage 2:** (*sphere of influence*) CGIAR research (and related activities) has contributed to documented policy<sup>1</sup> change and/or a change in practice by end users. This may include changes such as income, nutrient intake etc. in the sphere of influence (e.g. project level). Example of evidence: a study of adoption and effects, commissioned at project level.

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<sup>1</sup> See common reporting indicator #I3 for definitions of what is included under the term 'policy'

**Stage 3:** (*sphere of interest*) Policy and/or practice changes influenced by CGIAR research (and related activities) has led to impacts at scale or beyond the direct CGIAR sphere of influence. Example of evidence: ex-post Impact Assessment

It may not always be obvious which stage to choose. Some examples are listed below. If in doubt, please contact XXXX or use the MIS chat for advice. The plan is to gradually build up a body of examples as a guide.

**Examples:**

- Indication of intention to change policy, rules, investment etc., (e.g. official statements) corresponding to 'Research taken up by next user' in the policy indicator: **Stage 1**
- Policy change corresponding to 'enacted' in the policy indicator: **Stage 2**
- Evidence of concrete benefits to people or the environment from the policy change: **Stage 3**
- Uptake of variety or technology by next users, corresponding to 'Stage 4' in the Innovations indicator, for example seed multiplication by a commercial company, or recommendations made by the national extension service based of CGIAR research: **Stage 1.**
- Uptake of variety or technology by farmers in CGIAR area of influence (e.g. project of CGIAR or direct partners): **Stage 2**
- Benefits to people or environment in area of influence (CGIAR or direct partners): **Stage 2**
- Benefits to people or environment outside direct area of influence (i.e. without any inputs or hidden subsidies from CGIAR or partners): **Stage 3**

## 5. Links to the Strategic Results Framework (tick-boxes and optional comment box)

To which CGIAR IDOs and targets does the outcome you are describing make a direct contribution?

5. a) Sub-IDOs - drop down list, multiple selection possible -- max. 2

5. b) SRF 2022/2030 targets drop down list, multiple selection possible -- max. 2

5 c) Comment box

[**Optional**, e.g. if you wish to highlight additional sub-IDOs or targets, or to explain the selection made]

## 6. Geographic scope - Countries (tick box and optional comment box)

6. a) [Specify the country(s) of where the outcome/impact case occurred] dropdown, multiple

6. b) Comment box [*Optional*: e.g. if you wish to highlight other geographic areas where it is projected that this innovation will also lead to positive outcomes/impacts]

## 7. Key Contributors (tick boxes)

Contributing CRPs : drop-down list (choose multiple)

Contributing Flagships: drop-down (choose multiple) [not public domain]

Contributing external partners: drop-down list (choose multiple from dropdown list)

## 8. Elaboration of Outcome/Impact Statement (up to 400 words)

[This should include:

- a) Description of the outcome/impact in a bit more detail, including on how the change happened.
- b) Clear specification of the CGIAR innovation that resulted in this outcome. If relevant, please link this to the common reporting indicator on innovations (#C1) (using an identical title)
- c) Description of users/beneficiaries of the CGIAR innovation and how the innovation was used or produced its benefits. If possible, differentiate between the use/benefits for types of users/beneficiaries, for example by gender, youth, wealth, ethnic group etc.
- d) Spell out any important activities that were conducted to link the innovation to the outcome (e.g. “a major dissemination campaign was conducted, in which ...”; “a science-policy workshop was conducted, attended by ...”).

Please cite supporting references for key statements or claims, by citing the number of the reference in your reference list below (section 8), in parenthesis, e.g., “This technology was adopted by 50,000 farmers **(3)**”. ] References are needed both to evidence both that a) the change happened and b) that the CGIAR innovation contributed to this. The type of evidence may vary, depending on stage of maturity (see notes under Point ), but please be aware that the quality of evidence may potentially be checked by evaluators.

## 9. References cited

[Please limit this to references cited in Section 7 which provide evidence for the statements made, and do not include publications that are interesting but only marginally relevant.

Please number the references (for citation) and include full reference citation and doi, cgspace or other permanent link. (If you are not sure how to do this for unpublished papers, please contact XXX)

Examples:

- 1 Lorem I, et al. 2017. *Title*, Journal)
2. Research project report dated XXX
- 3 Times of India article (2 Mar. 2017) containing report of Ministerial speech
- 4 E-mail from xx to yy dated 2. Feb. 2016]

## 10. Quantification (where data is available)

[Share any quantitative counts or estimates of people, hectares or other appropriate unit, if available. You can choose to fill a), b) or both.

- a) Actual counts or estimates from a particular study (please provide reference):

Number box ---

Unit box ---

Comment box. For example, indicate the relative level of confidence in any estimates.

[add line to provide more numbers]

- b) Extrapolated estimates.

Number box --

Unit box---

Comment box For example, indicate the relative level of confidence in any estimates, or a link or reference for the calculation.

[add line to provide more numbers]

Where relevant: provide direct link to the common reporting indicators #11/2: **Projected number of beneficiaries/hectares** (*starting in 2019*)

## 11. Gender, Youth, and Capacity Development (tick boxes and comments)

[*Optional*: only if supporting evidence is available.]

Please use the OECD marker for any gender youth and capacity development relevance, and describe main achievements (maximum 100 words each). Please be specific. Please cite references for statements made (see instructions under section 7), adding references as needed to section 8.

OECD Markers	 Gender	 Youth	 Capacity Development
Relevance	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2
Main achievements with specific gender relevance *	<input type="text"/>		
Main achievements with specific youth relevance *	<input type="text"/>		
Main achievements with specific capacity development relevance *	<input type="text"/>		

## 12. Other cross-cutting dimensions (Up to 100 words)

[Comment box: *Optional, where applicable*; Describe any other cross-cutting dimensions, like equity dimensions, climate change, environment. Please be specific. Please cite references for statements.]

## 13. Communications materials

[Please attach or provide links to any materials relating to this outcome that could be used for system-level communications purposes, e.g. CRP publicity material, videos. Ensure you use permanent identifiers for any files, e.g. cgspace.]

## 14. Contact person

[Please indicate: Name, Position, CRP, Center and Email of the person to whom any questions can be addressed. This should be a lead researcher, or someone able to discuss technical aspects of the innovation, outcome and evidence.]